

ARITHMETIC AND DIFFERENTIAL  
SWAN CONDUCTORS.  
THE RANK ONE CASE VIA  $\pi$ -EXPONENTIALS.

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## SUMMARY

- Arithmetic Swan conductor
  - Kato's definition in the non perfect case
- Differential Swan conductor
  - Kedlaya's definition in the non perfect case

- Co-monomials and explicit description of

$$H^1(k((t)), \mathbb{Q}_p/\mathbb{Z}_p)$$

- $\pi$ -exponentials as solutions of Differential equations
  - Explicit computation of the monodromy functor in the rank one case

$$Proof : \left\{ \begin{array}{l} \bullet \text{ Decomposition in } \textit{pure} \text{ co-monomials} \\ \bullet \text{ Radius of the differential equation} \\ \text{attached to a pure co-monomial} \end{array} \right.$$

## NOTATIONS

$K :=$ finite extension of  $\mathbb{Q}_p$ ,

$\mathbb{F}_q :=$ residue field of  $K$ ,

$k :=$ field containing  $\mathbb{F}_q$ ,

$E :=$ c.d.v.f. with residue field  $k$ ,

$\mathcal{O}_{L_0} :=$ a Cohen ring of  $k$ ,

$\mathcal{O}_{\mathcal{E}_{L_0}} :=$ a Cohen ring of  $E$

$\mathcal{O}_L := \mathcal{O}_{L_0} \otimes_{\mathbf{W}(\mathbb{F}_q)} \mathcal{O}_K$ ,

$\mathcal{O}_{\mathcal{E}_L} := \mathcal{O}_L \otimes_{\mathcal{O}_{L_0}} \mathcal{O}_{\mathcal{E}_{L_0}}$

$$\begin{array}{ccccccc}
 & & \mathcal{O}_K = \mathcal{O} & \longrightarrow & \mathcal{O}_L & \longrightarrow & \mathcal{O}_{\mathcal{E}_L} & \cdot \\
 & & \uparrow & & \uparrow & & \uparrow & \\
 \mathbb{Z}_p & \longrightarrow & \mathbf{W}(\mathbb{F}_q) & \longrightarrow & \mathcal{O}_{L_0} & \longrightarrow & \mathcal{O}_{\mathcal{E}_{L_0}} & \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow & \\
 \mathbb{F}_p & \longrightarrow & \mathbb{F}_q & \longrightarrow & k & \longrightarrow & E \cong k((t)) & 
 \end{array}$$

- $E \cong k((t))$  (once we have chosen an uniformizer element  $t$ )
- $\mathcal{O}_{\mathcal{E}_{L(0)}} \cong \{ \sum a_i T^i \mid \lim_{i \rightarrow -\infty} a_i = 0, \forall i |a_i| \leq 1, a_i \in L_{(0)} \}$   
(Amice-Fontaine ring)

## NOTATIONS

- $\mathcal{A}_L(I) = \left\{ \sum_{-\infty}^{+\infty} a_i T^i, \text{ s.t. } \lim_{i \rightarrow \pm\infty} |a_i| \rho^i = 0, \forall \rho \in I \right\}$   
 (= Analytic Funct. on the annulus  $|T| \in I$ )
- $\mathcal{R}_L := \bigcup_{\varepsilon > 0} \mathcal{A}_L(]1 - \varepsilon, 1[)$  (= Robba ring)
- $\mathcal{E}_{L,T}^\dagger = \mathcal{E}_L^\dagger := \mathcal{R}_L \cap \mathcal{E}_L$  (= Bounded Robba ring).
- $\varphi :=$  Lifting of the Frobenius  $x \mapsto x^q$
- $G_E := \text{Gal}(E^{\text{sep}}/E), \quad \mathcal{I}_E := \text{inertia}, \quad \mathcal{P}_E := \text{wild inertia}$
- $\text{Rep}_{\mathcal{O}_K}^{\text{fin}}(G_E) = \left\{ \alpha : G_E \rightarrow GL(V) \mid V = \text{finite free } \mathcal{O}_K\text{-module,} \right.$   
 such that  $\alpha(\mathcal{I}_E)$  is finite  $\left. \right\}$

## FONTAINE'S EQUIVALENCE: THE PERFECT CASE

- Assume  $k = \text{perfect}$ .
- (Fontaine-Tsuzuki's) classical case (1998):

$$\mathbf{D}^\dagger : \text{Rep}_{\mathcal{O}_K}^{\text{fin}}(\mathbf{G}_E) \xrightarrow{\sim} (\varphi, \nabla) - \text{Mod}(\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_L)$$

where:

- $(\varphi, \nabla) - \text{Mod}(\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_L) := \{(\mathbf{D}, \varphi^{\mathbf{D}}, \nabla) \mid \mathbf{D} := \text{finite free}/\mathcal{O}_{\mathcal{E}_L^\dagger},$   
 $\varphi^{\mathbf{D}} : \mathbf{D} \rightarrow \mathbf{D} \text{ is } \varphi\text{-semilinear}$   
 $\nabla : \mathbf{D} \rightarrow \mathbf{D} \otimes \Omega_{\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_L}^1 \text{ connection}\}$

NOTE: If  $t = \text{uniformizer of } E \cong k((t))$ ,  $T = \text{lifting of } t \text{ in } \mathcal{O}_{\mathcal{E}_L^\dagger}$ , then

$$\Omega_{\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_L}^1 \cong \mathcal{O}_{\mathcal{E}_L^\dagger} \cdot dT, \quad \mathbf{D} \otimes \Omega_{\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_L}^1 \cong \mathbf{D} \cdot dT$$

the data of  $\nabla : \mathbf{D} \rightarrow \mathbf{D} \otimes \Omega_{\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_L}^1$  is equivalent to a connection

$$\nabla_T : \mathbf{D} \rightarrow \mathbf{D} .$$

## FONTAINE'S EQUIVALENCE REVISITED: THE NON PERFECT CASE

- Assume  $k = \text{arbitrary}$ .
- Kedlaya's generalization (December 2006):

$$\mathbf{D}^\dagger : \text{Rep}_{\mathcal{O}_K}^{\text{fin}}(\mathbf{G}_E) \xrightarrow{\sim} (\varphi, \nabla) - \text{Mod}(\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K)$$

where:

- $(\varphi, \nabla) - \text{Mod}(\mathcal{O}_{\mathcal{E}_L^\dagger}) := \{(\mathbf{D}, \varphi^{\mathbf{D}}, \nabla) \mid \text{with } \mathbf{D} := \text{finite free}/\mathcal{O}_{\mathcal{E}_L^\dagger},$   
 $\varphi^{\mathbf{D}} : \mathbf{D} \rightarrow \mathbf{D} \text{ is } \varphi\text{-semilinear}$   
 $\nabla : \mathbf{D} \rightarrow \mathbf{D} \otimes \Omega_{\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K}^1 \text{ integrable}\}$
- If  $t = \text{uniformizer of } E \cong k((t))$ , if  $\{\bar{u}_1, \dots, \bar{u}_r\} = p\text{-basis of } k$ ,  
 $T, u_1, \dots, u_r \in \mathcal{O}_{\mathcal{E}_L^\dagger}$  are lifting of  $t, \bar{u}_1, \dots, \bar{u}_r$ , then

$$\Omega_{\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K}^1 \cong \mathcal{O}_{\mathcal{E}_L^\dagger} \cdot dT \oplus \left( \bigoplus_{i=1, \dots, r} \mathcal{O}_{\mathcal{E}_L^\dagger} \cdot du_i \right).$$

The data of  $\nabla : D \rightarrow D \otimes \Omega_{\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K}^1$  is equivalent to a family of connections

$$\nabla_T : D \rightarrow D$$

$$\nabla_{u_1} : D \rightarrow D$$

$$\nabla_{u_2} : D \rightarrow D$$

.....

$$\nabla_{u_r} : D \rightarrow D$$

commuting with  $\varphi$ , and commuting between them.

- NOTE: If  $k$  is perfect, then  $\Omega_{\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_L}^1 = \Omega_{\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K}^1$ , hence this theory refines that of Fontaine-Tsuzuki.

- We are interested only to these differential equations. We set

$$\text{MCF}(\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K) = \{(D, \nabla) \mid \nabla : D \rightarrow D \otimes \Omega_{\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K}^1, + \text{commutations, with an (unspecified) Frobenius } \varphi^D : \varphi^*(D) \rightarrow D\}$$

## Perfect case VS non perfect case

Assume  $k$  non necessarily perfect. We notice that

$$\text{Gal}(k^{\text{perf}}((t))^{\text{sep}}/k^{\text{perf}}((t))) \xrightarrow[\text{can}]{\sim} \text{Gal}(k((t))^{\text{sep}}/k((t)))$$

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$$\mathcal{I}_{k^{\text{perf}}((t))} \xrightarrow[\text{can}]{\sim} \mathcal{I}_{k((t))}$$

hence:

$$\begin{array}{ccc} \text{Rep}_{\mathcal{O}_K}^{\text{fin}} \left( \text{Gal}(k^{\text{perf}}((t))^{\text{sep}}/k^{\text{perf}}((t))) \right) & \xleftarrow[\sim]{\text{can}} & \text{Rep}_{\mathcal{O}_K}^{\text{fin}} \left( \text{Gal}(k((t))^{\text{sep}}/k((t))) \right) \\ \wr \downarrow \mathbf{D}^\dagger & \odot & \wr \downarrow \mathbf{D}^\dagger \\ (\varphi, \nabla) - \text{Mod}(\mathcal{O}_{\mathcal{E}_L^\dagger} / \mathcal{O}_{L^{\text{perf}}}) & \xleftarrow[\sim]{\text{can}} & (\varphi, \nabla) - \text{Mod}(\mathcal{O}_{\mathcal{E}_L^\dagger} / \mathcal{O}_K) \end{array}$$

where the last horizontal functor is given by

$$(\mathbf{D}, \varphi^{\mathbf{D}}, \nabla_T, \{\nabla_{u_i}\}_i) \longmapsto (\mathbf{D} \otimes_{\mathcal{O}_{\mathcal{E}_L^\dagger}} \mathcal{O}_{\mathcal{E}_L^\dagger}, \varphi^{\mathbf{D}} \otimes \varphi, \nabla_T \otimes 1)$$



## List of main results

$$\mathbf{D}^\dagger : \text{Rep}_{\mathcal{O}_K}^{\text{fin}}(\mathbf{G}_E) \xrightarrow{\sim} (\varphi, \nabla) - \text{Mod}(\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K)$$

- *On the left hand side:* One has a complete description of

$$H^1(\mathbf{G}_E, \mathbb{Q}/\mathbb{Z})_{p\text{-tor}} = H^1(\mathbf{G}_E, \mathbb{Q}_p/\mathbb{Z}_p) \quad (\text{Pulita 2006}).$$

- *On the right hand side:* We obtain a complete description of the group  $\text{Pic}^{\text{Frob}}(\mathcal{O}_{\mathcal{E}_L^\dagger}) = \text{group}$ , under tensor product, of isomorphism classes of rank one objects in  $\text{MCF}(\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K)$ .

- After choosing an identification  $(\mathbb{Q}_p/\mathbb{Z}_p) \supset (\mathbb{Z}/p^n\mathbb{Z}) \xrightarrow{\sim} \mu_{p^\infty}(\mathcal{O}_K)$  we make explicit the isomorphism

$$\text{Hom}^{\text{fin}}(\mathbf{G}_E, \mathbb{Q}_p/\mathbb{Z}_p) \supset \text{Hom}^{\text{fin}}(\mathbf{G}_E, (\mathcal{O}_K)^\times)_{p\text{-tor}} \xrightarrow{\sim} \text{Pic}^{\text{Frob}}(\mathcal{O}_{\mathcal{E}_L^\dagger})_{p\text{-tor}},$$

induced by the functor  $\mathbf{D}^\dagger$  (Pulita 2006).

- Understanding of the *Kato's filtration* on the L.H.S., and of the *Kedlaya's Irregularity* on the R.H.S. proof of the equality

$$(\text{Kato}) \quad \text{Swan}_{\text{Arithm}} = \text{Swan}_{\text{Diff}} \quad (\text{Kedlaya}).$$

## Abbes-Saito's filtration in rank one case: Kato's filtration

The Artin-Schreier-Witt theory describes  $H^1(G_E, \mathbb{Q}_p/\mathbb{Z}_p)$ :

$$\begin{array}{ccccccccc}
 0 & \rightarrow & \mathbb{Z}/p^{m+1}\mathbb{Z} & \longrightarrow & \mathbf{W}_m(\mathbb{E}) & \xrightarrow{\bar{F}-1} & \mathbf{W}_m(\mathbb{E}) & \xrightarrow{\delta} & \mathrm{Hom}(G_E, \mathbb{Z}/p^{m+1}\mathbb{Z}) & \rightarrow & 0 \\
 & & \downarrow \iota & & \downarrow \nu & & \downarrow \nu & & \downarrow j & & \\
 0 & \rightarrow & \mathbb{Z}/p^{m+2}\mathbb{Z} & \longrightarrow & \mathbf{W}_{m+1}(\mathbb{E}) & \xrightarrow{\bar{F}-1} & \mathbf{W}_{m+1}(\mathbb{E}) & \xrightarrow{\delta} & \mathrm{Hom}(G_E, \mathbb{Z}/p^{m+2}\mathbb{Z}) & \rightarrow & 0 \\
 & & & & \downarrow & & \downarrow & & & & \\
 & & & & \downarrow & & \downarrow & & & & \\
 0 & \rightarrow & \mathbb{Q}_p/\mathbb{Z}_p & \longrightarrow & \mathbf{CW}(\mathbb{E}) & \xrightarrow{\bar{F}-1} & \mathbf{CW}(\mathbb{E}) & \xrightarrow{\delta} & \mathrm{Hom}^{\mathrm{cont}}(G_E, \mathbb{Q}_p/\mathbb{Z}_p) & \rightarrow & 0
 \end{array}$$

where

$$\mathbf{CW}(\mathbb{E}) = \varinjlim_m \left( \mathbf{W}_m(\mathbb{E}) \xrightarrow{\nu} \mathbf{W}_{m+1}(\mathbb{E}) \xrightarrow{\nu} \dots \right),$$

and where  $\mathrm{Hom}^{\mathrm{cont}}$  means that a character  $\alpha : G_E \rightarrow \mathbb{Q}_p/\mathbb{Z}_p$  factorizes by a finite quotient of  $G_E$ .

NOTE: Elements in  $\mathbf{CW}(\mathbb{E})$  are  $(\dots, 0, 0, \bar{f}_0, \dots, \bar{f}_m)$ ,  $\bar{f}_i \in \mathbb{E}$ .

## Abbes-Saito's filtration in rank one case: Kato's filtration

• K.Kato (1989) defined a filtration on  $H^1(G_E, \mathbb{Q}/\mathbb{Z})$  in 3 steps:

1) The setting

$$v(\cdots, 0, 0, \bar{f}_0, \dots, \bar{f}_m) := \min(v_t(\bar{f}_0)/p^m, v_t(\bar{f}_1)/p^{m-1}, \dots, v_t(\bar{f}_m))$$

defines a valuation on  $\mathbf{CW}(E)$ . Define a filtration on  $\mathbf{CW}(E)$  as:

$$\mathrm{Fil}_d(\mathbf{CW}(E)) = \{\mathbf{c} := (\cdots, 0, 0, \bar{f}_0, \dots, \bar{f}_m) \mid v(\mathbf{c}) \geq -d\}.$$

2) Thank to the A-S-W sequence

$$0 \rightarrow \mathbb{Q}_p/\mathbb{Z}_p \rightarrow \mathbf{CW}(E) \xrightarrow{\bar{F}-1} \mathbf{CW}(E) \xrightarrow{\delta} H^1(G_E, \mathbb{Q}_p/\mathbb{Z}_p) \rightarrow 0.$$

we define:  $\mathrm{Fil}_d(H^1(G_E, \mathbb{Q}_p/\mathbb{Z}_p)) := \delta(\mathrm{Fil}_d(\mathbf{CW}(E)))$ .

$$\begin{aligned} \mathbf{3)} \quad \mathrm{Hom}^{\mathrm{cont}}(G_E, \mathbb{Q}/\mathbb{Z}) &= \bigoplus_{\ell=\mathrm{prime}} \mathrm{Hom}^{\mathrm{cont}}(G_E, \mathbb{Q}_\ell/\mathbb{Z}_\ell) \\ \implies \mathrm{Fil}_d(H^1(G_E, \mathbb{Q}/\mathbb{Z})) &:= \text{Inverse image of } \mathrm{Fil}_d(H^1(G_E, \mathbb{Q}_p/\mathbb{Z}_p)). \end{aligned}$$

$$\mathbf{4)} \quad \boxed{\mathrm{Swan}_{\mathrm{Arithm}}(\alpha) = \min\left\{d \geq 0 \mid \alpha \in \mathrm{Fil}_d(H^1(G_E, \mathbb{Q}/\mathbb{Z}))\right\}}$$

## Description of the Kato's filtration of $H^1(G_E, \mathbb{Q}_p/\mathbb{Z}_p)$

- Once chosen a uniformizer element  $t \in E$ , one has  $E \cong k((t))$  and

$$\mathbf{CW}(k((t))) = \mathbf{CW}(t^{-1}k[t^{-1}]) \oplus \mathbf{CW}(k) \oplus \mathbf{CW}(tk[[t]])$$

- One has

$$\begin{aligned} H^1(E, \mathbb{Q}_p/\mathbb{Z}_p) &\cong \frac{\mathbf{CW}(E)}{(\bar{F} - 1)(\mathbf{CW}(E))} \\ &= \frac{\mathbf{CW}(t^{-1}k[t^{-1}])}{(\bar{F} - 1)(\mathbf{CW}(t^{-1}k[t^{-1}]))} \oplus \frac{\overbrace{\mathbf{CW}(k)}^{H^1(G_k, \mathbb{Q}_p/\mathbb{Z}_p)}}{(\bar{F} - 1)(\mathbf{CW}(k))} . \end{aligned}$$

$$\left\{ \begin{array}{l} \frac{\mathbf{CW}(t^{-1}k[t^{-1}])}{(\bar{F} - 1)(\mathbf{CW}(t^{-1}k[t^{-1}]))} = \text{Pontriagyn dual of } \mathcal{P}_{G_E^{\text{ab}}} \\ \frac{\mathbf{CW}(k)}{(\bar{F} - 1)(\mathbf{CW}(k))} = \text{Pontriagyn dual of } (G_k^{\text{ab}})_{p\text{-tor}} \\ = H^1(G_k, \mathbb{Q}_p/\mathbb{Z}_p) \end{array} \right.$$

## Description of the Kato's filtration of $\mathbf{CW}(\mathbf{E})$

DEFINITION (PULITA 2006): A co-monomial of degree  $-d$  is a co-vector of the form

$$\boldsymbol{\lambda}t^{-d} := (\dots, 0, 0, \lambda_0 t^{-n}, \lambda_1 t^{-np}, \dots, \lambda_m t^{-np^m}) \in \mathbf{CW}(k((t)))$$

where  $d = np^m$ ,  $(n, p) = 1$ ,  $\boldsymbol{\lambda} := (\lambda_0, \dots, \lambda_m) \in \mathbf{W}_m(k)$ . We call  $\mathbf{CW}^{(-d)}(k)$  the sub-group of  $\mathbf{CW}(\mathbf{E})$  formed by such elements.

PROPOSITION:  $\mathbf{CW}(\mathbf{E})$  together with the Kato's filtration is graduated:

$$\mathbf{CW}(\mathbf{E}) := \bigoplus_{d \geq 0} \text{Gr}_d(\mathbf{CW}(\mathbf{E})) .$$

Moreover:

$$\text{Gr}_d(\mathbf{CW}(\mathbf{E})) = \begin{cases} \mathbf{CW}(k[[t]]) & \text{if } d = 0 , \\ \mathbf{CW}^{(-d)}(k) & \text{if } d > 0 . \end{cases}$$

NOTE: For all char. $p$  ring  $R$  (not necessarily with unit el.t) one has

$$\frac{\mathbf{CW}(R)}{(\bar{F} - 1)(\mathbf{CW}(R))} = \varinjlim \left( \mathbf{CW}(R) \xrightarrow{\bar{F}} \mathbf{CW}(R) \xrightarrow{\bar{F}} \dots \right)$$

• Hence we are interested to the action of  $\bar{F}$ .  $\text{Gr}_0(\mathbf{CW}(E))$  is a sub- $\bar{F}$ -module, while

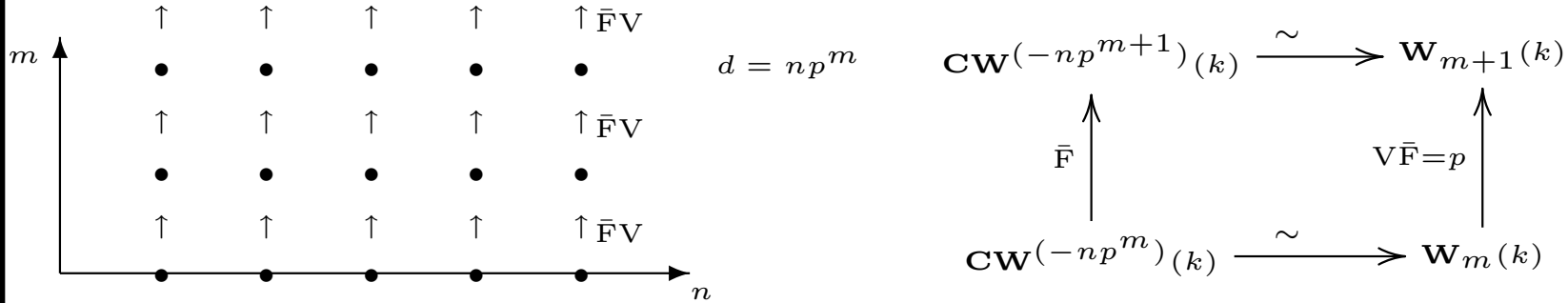
$$\bar{F}(\mathbf{CW}^{(-d)}(k)) \subset \mathbf{CW}^{(-pd)}(k),$$

in fact

$$(\dots, 0, 0, \lambda_0 t^{-n}, \dots, \lambda_m t^{-d}) \xrightarrow{\bar{F}} (\dots, 0, 0, \lambda_0^p t^{-pn}, \dots, \lambda_m^p t^{-pd})$$

• If  $d = np^m$ ,  $(n, p) = 1$ , one has a isomorphism:

$$\begin{array}{ccc} \mathbf{CW}^{(-d)}(k) & \xrightarrow{\sim} & \mathbf{W}_m(k) & (\dots, 0, 0, \lambda_0 t^{-n}, \dots, \lambda_m t^{-d}) & \longrightarrow & (\lambda_0, \dots, \lambda_m) \\ \downarrow \bar{F} & & \downarrow \vee \bar{F} = p & \downarrow \bar{F} & & \downarrow \cdot p \\ \mathbf{CW}^{(-pd)}(k) & \xrightarrow{\sim} & \mathbf{W}_{m+1}(k) & (\dots, 0, 0, \lambda_0^p t^{-pn}, \dots, \lambda_m^p t^{-pd}) & \longrightarrow & (0, \lambda_0^p, \dots, \lambda_m^p) \end{array}$$



Hence for all  $(n, p) = 1$ ,  $n > 0$  one has:

$$\begin{aligned} \varinjlim_m \left( \mathbf{CW}^{(-np^m)}(k) \xrightarrow{\bar{F}} \mathbf{CW}^{(-np^{m+1})}(k) \xrightarrow{\bar{F}} \dots \right) &= \\ &= \varinjlim_m \left( \mathbf{W}_m(k) \xrightarrow{p} \mathbf{W}_{m+1}(k) \xrightarrow{p} \dots \right) \end{aligned}$$

DEFINITION: We set

$$\widetilde{\mathbf{CW}}(k) = \varinjlim_m \left( \mathbf{W}_m(k) \xrightarrow{p} \mathbf{W}_{m+1}(k) \xrightarrow{p} \dots \right)$$

It has a natural filtration:

$$\text{Fil}_m(\widetilde{\mathbf{CW}}(k)) := \mathbf{W}_m(k) \subset \widetilde{\mathbf{CW}}(k)$$

THEOREM: Let  $J_p := \{n > 0, (n, p) = 1\}$ . Then

- $H^1(G_E, \mathbb{Q}_p/\mathbb{Z}_p) \cong \widetilde{\mathbf{CW}}(k)^{(J_p)} \oplus H^1(G_k, \mathbb{Q}_p/\mathbb{Z}_p)$

where  $\widetilde{\mathbf{CW}}(k)^{(J_p)}$  = direct sum of copies of  $\widetilde{\mathbf{CW}}(k)$ , indexed by  $J_p$ .

- Recall that  $\text{Fil}_d(H^1) = \delta(\text{Fil}_d(\mathbf{CW}(E)))$ . For all  $d \geq 0$ , one has <sup>(a)</sup>

$$\text{Fil}_d(H^1(G_E, \mathbb{Q}_p/\mathbb{Z}_p)) = \bigoplus_{n \in J_p} \left( \text{Fil}_{m_{n,d}}(\widetilde{\mathbf{CW}}(k)) \right) \oplus H^1(G_k, \mathbb{Q}_p/\mathbb{Z}_p),$$

$$\text{Gr}_d(H^1(G_E, \mathbb{Q}_p/\mathbb{Z}_p)) = \begin{cases} \mathbf{W}_{v_p(d)}(k)/p\mathbf{W}_{v_p(d)}(k) & \text{if } d > 0, \\ H^1(G_k, \mathbb{Q}_p/\mathbb{Z}_p) & \text{if } d = 0, \end{cases}$$

where  $m_{n,d} := \max\{m \geq 0 \mid np^m \leq d\}$ , and  $v_p(d)$  is the  $p$ -adic valuation of  $d$ .

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<sup>a</sup>Recall that  $\text{Fil}_m(\widetilde{\mathbf{CW}}(k)) \cong \mathbf{W}_m(k)$ .



The epimorphism  $\text{Proj}_d : \text{Gr}_d(\mathbf{CW}(\mathbf{E})) \rightarrow \text{Gr}_d(\mathbf{H}^1(\mathbf{G}_{\mathbf{E}}, \mathbb{Q}_p/\mathbb{Z}_p))$   
corresponds via the isomorphism

$$\text{Gr}_d(\mathbf{CW}(\mathbf{E})) = \mathbf{CW}^{(-d)}(k) \xrightarrow{\sim} \mathbf{W}_{v_p(d)}(k)$$

to the following:

$$\text{Proj}_d = \begin{cases} \mathbf{W}_{v_p(d)}(k) \longrightarrow \mathbf{W}_{v_p(d)}(k)/p\mathbf{W}_{v_p(d)}(k) & \text{if } d > 0, \\ \mathbf{CW}(k[[t]]) \xrightarrow[t \mapsto 0]{} \frac{\mathbf{CW}(k)}{(\bar{F}-1)(\mathbf{CW}(k))} & \text{if } d = 0. \end{cases}$$

COROLLARY: One has

$$\text{Fil}_d(\mathbf{H}^1(\mathbf{G}_{\mathbf{E}}, \mathbb{Q}/\mathbb{Z})) = \text{Fil}_d(\mathbf{H}^1(\mathbf{G}_{\mathbf{E}}, \mathbb{Q}_p/\mathbb{Z}_p)) \oplus \left( \bigoplus_{\ell \neq p} \mathbf{H}^1(\mathbf{G}_{\mathbf{E}}, \mathbb{Q}_{\ell}/\mathbb{Z}_{\ell}) \right),$$

and

$$\text{Gr}_d(\mathbf{H}^1(\mathbf{G}_{\mathbf{E}}, \mathbb{Q}/\mathbb{Z})) = \begin{cases} \text{Gr}_d(\mathbf{H}^1(\mathbf{G}_{\mathbf{E}}, \mathbb{Q}_p/\mathbb{Z}_p)) & \text{if } d > 0, \\ \text{Fil}_0(\mathbf{H}^1(\mathbf{G}_{\mathbf{E}}, \mathbb{Q}_p/\mathbb{Z}_p)) \oplus \left( \bigoplus_{\ell \neq p} \mathbf{H}^1(\mathbf{G}_{\mathbf{E}}, \mathbb{Q}_{\ell}/\mathbb{Z}_{\ell}) \right) & \text{if } d = 0. \end{cases}$$

## Genesis of the irregularity: Formal irregularity

Differential modules over  $L((T))$  are cyclic (Cyclic vector theorem)

i.e. defined by an operator

$$P(T, \frac{d}{dT}) := \sum_{k=0}^n g_k(T) \left(\frac{d}{dT}\right)^k ,$$

with  $g_k(T) \in L((T))$ ,  $g_n(T) = 1$ . The *Formal Irregularity*, and the *Formal Slope* of  $P$  are defined as (Malgrange )

$$\text{Irr}_{\text{Formal}}(P) := \max_{0 \leq k \leq n} \{k - v_T(g_k)\} - (n - v_T(g_n)) ,$$

$$\text{Slope}_{\text{Formal}}(P) = \max \left( 0 , \max_{k=0, \dots, n} \left( \frac{v_T(g_n) - v_T(g_k)}{n - k} - 1 \right) \right) ,$$

The *Formal Newton polygon* of  $P$  is the convex hull in  $\mathbb{R}^2$  of the set  $\{(k, (v_T(g_k) - k) - (v_T(g_n) - n))\}_{k=0, \dots, n}$  together with the extra points  $\{(-\infty, 0)\}$  and  $\{(0, +\infty)\}$ .



## $p$ -adic Irregularity : the perfect case.

- Let  $\nabla_T : M \rightarrow M$  be a  $\mathcal{R}_L$ -differential module, defined over some annulus  $]1 - \varepsilon, 1[$ . Let  $M_\rho$  be the restriction of  $M$  to  $[\rho, \rho]$ . We set

$$|\nabla_T^n|_\rho := \sup_{m \in M_\rho} \frac{|\nabla_T^n(m)|_{M_\rho}}{|m|_{M_\rho}},$$

$$|\nabla_T|_{Sp, \rho} := \limsup_{n \rightarrow \infty} |(\nabla_T)^n|_{M_\rho}^{1/n},$$

$$\|(d/dT)^n\|_\rho = \sup_{f \in \text{Frac}(\mathcal{A}_L[\rho, \rho])} \frac{|(d/dT)^n(f)|_\rho}{|f|_\rho} = |n!| \rho^{-1},$$

$$\|d/dT\|_{Sp, \rho} := \limsup_{n \rightarrow \infty} |(d/dT)^n|_\rho^{1/n} = \omega \cdot \rho^{-1}, \quad \omega := |p|^{1/(p-1)}$$

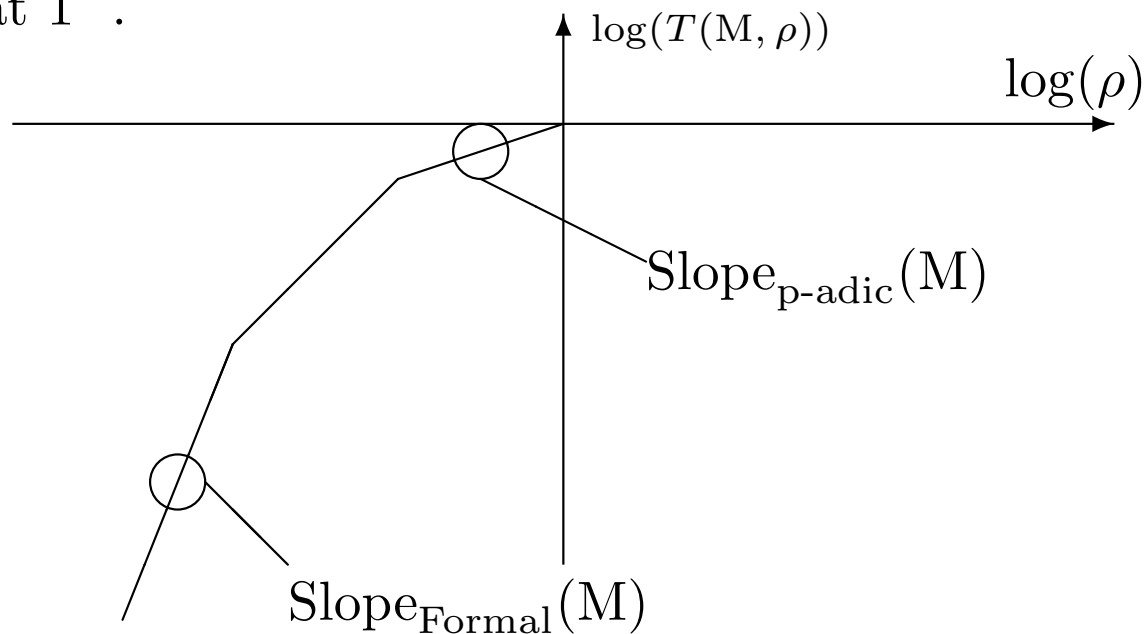
TORIC RADIUS OF CONVERGENCE:  
(Christol-Dwork-Robba  $\sim$  1994)

$$T(M, \rho) := \frac{\|d/dT\|_{Sp, \rho}}{|\nabla_T|_{Sp, \rho}}$$

GEOMETRIC INTERPRETATION: (“à la Christol-Mebkhout”) One has  $T(M, \rho) = \text{Ray}(M, \rho)/\rho$  where  $\text{Ray}(M, \rho)$  is the radius of convergence of a generic Taylor solution, bounded by  $\rho$ , at the generic point  $t_\rho$ .

**$p$ -adic slope: Link with the formal theory.**

DEFINITION (ROBBA): The  $p$ -adic slope of  $M$  is the log-slope of  $T(M, \rho)$  at  $1^-$ .



The above definition is motivated by the following:

LEMMA (CHRISTOL-DWORK-ROBBA): If  $P(\frac{d}{dT}, T) \in (L((t)) \cap \mathcal{R}_L)[\frac{d}{dT}]$  then the log-slope at  $0^+$  of the *Toric radius of convergence*  $T(M, \rho)$  is equal to  $\text{Slope}_{\text{Formal}}(M)$ .

## Break decomposition

THEOREM (CHRISTOL-MEBKHOUT-2000): One has a break decomposition:

$$M = \bigoplus_{s \geq 0} M(s) .$$

$M(s)$  = greatest submodule of  $M$  of “pure” slope  $s$ . That is there exists  $\varepsilon > 0$  such that

1. For all  $\rho \in ]1 - \varepsilon, 1[$ ,  $M(s)$  is (the biggest submodule of  $M$ ) trivialized by  $\mathcal{A}_K(t_\rho, \rho^{s+1})$ , (where  $t_\rho$  is the Berkovich point corresponding to  $|\cdot|_\rho$ );
2. For all  $\rho \in ]1 - \varepsilon, 1[$ , and for all  $s' < s$ ,  $M(s)$  has no solutions in  $\mathcal{A}_K(t_\rho, \rho^{s'+1})$ .

One has:

$$\text{Irr}_{p\text{-adic}}(M) := \sum_{s > 0} s \cdot \dim_{\mathcal{R}_L} M(s) .$$

## Kedlaya's refined definition in the non perfect case

DEFINITION (KEDLAYA DEC.2006): Let

$(M, \nabla_T, \nabla_{u_1}, \dots, \nabla_{u_r}) \in \text{MCF}(\mathcal{O}_{\mathcal{E}_L^\dagger} / \mathcal{O}_K)$ . We define the *Toric radius of convergence* of  $M$  as:

$$T(M, \rho) := \min \left( \frac{\|d/dT\|_{S_{p,\rho}}}{|\nabla_T|_{S_{p,\rho}}}, \frac{\|d/du_1\|_{S_{p,\rho}}}{|\nabla_{u_1}|_{S_{p,\rho}}}, \dots, \frac{\|d/du_r\|_{S_{p,\rho}}}{|\nabla_{u_r}|_{S_{p,\rho}}} \right)$$

We set

$$\text{Slope}_{p\text{-adic}}(M) := \log\text{-slope of } T(M, \rho) \text{ at } 1^-.$$

PROPOSITION (KEDLAYA): Break decomposition holds:

$$M = \bigoplus_{s \geq 0} M(s)$$

$M(s)$  = greatest submodule of  $M$  of “*pure*” slope  $s$ .

DEFINITION (KEDLAYA): We set

$$\text{Irr}_{\text{Kedlaya}}(M) := \sum_{s > 0} s \cdot \dim_{\mathcal{R}_L} M(s).$$

## Arithmetic and differential Swan conductors

$$\mathbf{D}^\dagger : \text{Rep}_{\mathcal{O}_K}^{\text{fin}}(\mathbf{G}_E) \xrightarrow{\sim} (\varphi, \nabla) - \text{Mod}(\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K)$$

DEFINITION (KEDLAYA): Let  $V \in \text{Rep}_{\mathcal{O}_K}^{\text{fin}}(\mathbf{G}_E)$ . Let  $M \in \text{MCF}(\mathcal{R}_L/\mathcal{O}_K)$  be the differential module  $\mathbf{D}^\dagger(V)$  together with  $\nabla_T, \nabla_{u_1}, \dots, \nabla_{u_r}$ . We set

$$\text{Swan}_{\text{Diff}}(V) := \text{Irr}_{p\text{-adic}}(M) .$$

CONJECTURE (KEDLAYA): One has

$$\text{Swan}_{\text{Diff}}(V) := \text{Swan}_{\text{Arithm}}(V) .$$

• We have proved this conjecture in Rank one case in three steps:

Proof:  $\left\{ \begin{array}{l} \mathbf{1}) \text{ We compute the functor } \mathbf{D}^\dagger \text{ in rank one case} \\ \mathbf{2}) \text{ We reduce the conjecture to the case of a co-monomial} \\ \mathbf{3}) \text{ We compute the differential Swan conductor in this case} \end{array} \right.$



## Computation of the functor $\mathbf{D}^\dagger$ in rank one case

- Fix an isomorphism  $1 \mapsto \xi_{p^{m+1}} : \mathbb{Z}/p^{m+1}\mathbb{Z} \xrightarrow{\sim} \mu_{p^\infty}(\mathcal{O}_K)$ . Then:

$$\alpha : G_E \rightarrow \mu_{p^\infty}(\mathcal{O}_K) \quad \Longleftrightarrow \quad \alpha : G_E \rightarrow \mathbb{Z}/p^{m+1}\mathbb{Z}.$$

- We classify differential equations, and describe  $\mathbf{D}^\dagger$  simultaneously.
- The Tame case is easy.
- The wild case is obtained as follows:

$$\begin{array}{ccc}
 \mathbf{W}_m(T^{-1}\mathcal{O}_L[T^{-1}]) & \xrightarrow{[e_{p^m}(-,1)]} & \text{Pic}^{\text{Frob}}(\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K)_{p\text{-tor}} \\
 \downarrow & & \uparrow \wr \text{Induced by } \mathbf{D}^\dagger \\
 \mathbf{W}_m(t^{-1}k[t^{-1}]) & \xrightarrow{\bar{F}-1} \mathbf{W}_m(t^{-1}k[t^{-1}]) \xrightarrow{\delta} & \text{Hom}(\mathcal{P}_{G_E^{\text{ab}}}, \mathbb{Z}/p^{m+1}\mathbb{Z})
 \end{array}$$

where:

- $\text{Pic}^{\text{Frob}}(\mathcal{O}_{\mathcal{E}_L^\dagger}/\mathcal{O}_K) := \text{Group}$ , under  $\otimes$ , of Isom.classes of rk 1 objects.
- For  $\mathbf{f}^-(T) \in \mathbf{W}_m(T^{-1}\mathcal{O}_L[T^{-1}])$ ,  $[e_{p^m}(\mathbf{f}^-(T), 1)]$  is the isom.class of the diff.eq. whose solution is  $e_{p^m}(\mathbf{f}^-(T), 1)$ , that we will define now.

→ → →

## $\pi$ -exponentials

- Fix a Lubin-Tate group law  $\mathfrak{G}(X, Y)$ , with multiplication by  $p$  given by  $[p]_{\mathfrak{G}}(X) = (pX + \cdots + a_p X^p + \cdots) \in X\mathbb{Z}_p[X]$ .
- Let  $\pi := (\pi_j)_{j \geq 0}$ ,  $[p]_{\mathfrak{G}}(\pi_0) = 0$ ,  $[p]_{\mathfrak{G}}(\pi_j) = \pi_{j-1}$ ,  $\forall j$ , be the generator of the Lubin-Tate group of  $\mathfrak{G}$  such that

$$|\pi_m - (\xi_{p^{m+1}} - 1)| < |\pi_m|$$

DEFINITION (PULITA 2006): Let

$\mathbf{f}^-(T) = (f_0^-(T), \dots, f_m^-(T)) \in \mathbf{W}_m(T^{-1}\mathcal{O}_L[T^{-1}])$ . We set

$$e_{p^m}(\mathbf{f}^-(T), 1) := \exp\left(\pi_m \phi_0^-(T) + \pi_{m-1} \frac{\phi_1^-(T)}{p} + \cdots + \pi_0 \frac{\phi_m^-(T)}{p^m}\right),$$

where  $\phi_j^-(T) := \sum_{k=0}^j p^k \cdot f_k^-(T)^{p^{j-k}} \in T^{-1}\mathcal{O}_L[T^{-1}]$  is the  $j$ -th phantom component of  $\mathbf{f}^-(T)$ .

THEOREM (PULITA 2006): If  $V$  is the representation defined by  $\mathbf{f}^-(T)$ , then  $e_{p^m}(\mathbf{f}^-(T), 1)$  is the solution of the diff. eq.  $\mathbf{D}^\dagger(V)$ .

## Sketch of the proof of $\text{Swan}_{\text{Arithm}} = \text{Swan}_{\text{Diff}}$

- “Sum of characters”  $\iff$  “Tensor product of corresponding repr.”
- We know that every  $f(t) \in \mathbf{CW}(E)$  can be uniquely written as  $f = \sum_{d>0} \lambda_d t^{-d} + f^0 + f^+$ , with

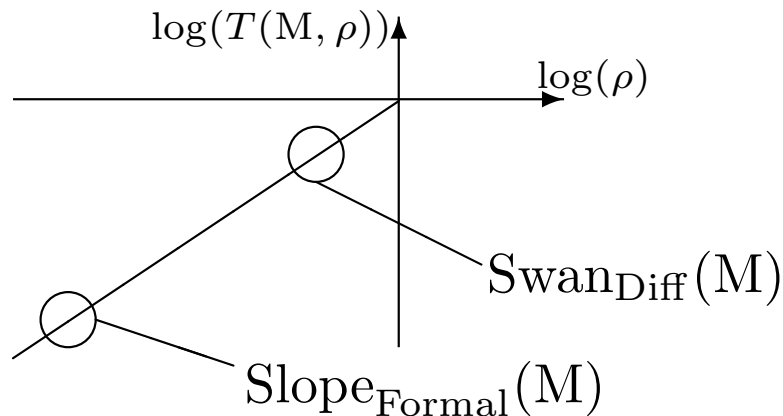
$$\lambda_d t^{-d} \in \mathbf{CW}^{(-d)}(k),$$

$$f^0 \in \mathbf{CW}(k),$$

$$f^+ \in \mathbf{CW}(tk[[t]]).$$

Then we are reduced to *the case of a co-monomial*  $\lambda_d t^{-d}$ . We know “its  $\text{Swan}_{\text{Arith}}$ ” by the previous description of the Kato’s filtration.

- In this case the log-graphic of  $T(M, \rho)$  has no breaks in  $] - \infty, 0[$ :



$$\boxed{\text{Swan}_{\text{Diff}}(M) = \text{Slope}_{\text{Formal}}(M)}$$

$\Downarrow$

The Formal slope is **explicitly** computable from the equation.

The End