

Lubin-Tate Groups  
in  
 $p$ -adic Differential Equations

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## SUMMARY

- Notations in Lubin-Tate theory
- Introduction: Dwork, Robba and Matsuda
- $P$ -exponentials
- Frobenius structure
- Generalized Dwork's  $\theta$  function
- Description of the group  $\text{Pic}^{\text{sol}}(\mathcal{R}_{K_\infty})$

### Applications:

- Lifting Galois characters of  $G_E := \text{Gal}(E^{\text{sep}}/E)$ ,  
from  $E = k((t))$  to  $\mathcal{E}^\dagger$
- Explicit computation of the Fontaine-Katz differential  
equation attached to Artin-Schreier character

## NOTATIONS

- $(K, |\cdot|)$  = complete ultrametric field,  $\mathcal{O}_K$  = its valuation ring,  $k$  = its residue field (not necessary perfect), of char.  $p > 0$ .
- $\mathcal{R}_K$  = Robba ring.
- $\mathcal{E}_K = \{f(T) = \sum_{-\infty}^{+\infty} a_i T^i \mid \sup_i |a_i| < +\infty, \lim_{i \rightarrow -\infty} |a_i| = 0\}$ .
- $\mathcal{E}_{K,T}^\dagger = \mathcal{R}_K \cap \mathcal{E}_K$ .
- For all  $L/K$  finite. Let  $\text{Pic}^{\text{sol}}(\mathcal{R}_L)$  be the group (under  $\otimes$ ) of isomorphism classes of rank one solvable diff. eq. over  $\mathcal{R}_L$ .
- For all algebraic  $H/K$  we put  $\mathcal{R}_H := \mathcal{R}_K \otimes_K H$ . Then all diff. eq. over  $\mathcal{R}_H$  come by scalar extension from an equation over  $\mathcal{R}_L$ , with  $L/K$  finite,  $L \subseteq H$ . Then we set

$$\text{Pic}^{\text{sol}}(\mathcal{R}_H) := \bigcup_{L/K \text{ finite}, L \subseteq H} \text{Pic}^{\text{sol}}(\mathcal{R}_L).$$

## NOTATIONS IN LUBIN-TATE GROUPS

A L-T series over  $\mathbb{Q}_p$  is a series

$$P(X) = wX + \cdots + X^p + \cdots \in \mathbb{Z}_p[[X]]$$

where  $(\cdots)$  are things smaller than  $|p|$  and  $w = pu$ , with  $u \in \mathbb{Z}_p^\times$ .

**Theorem 0.1 (L-T)** *There exists a unique formal group law  $G_P(X, Y) \in \mathbb{Z}_p[[X, Y]]$  such that  $P(G_P(X, Y)) = G_P(P(X), P(Y))$ . This group is endowed with an action of  $\mathbb{Z}_p$ . Namely for all  $a \in \mathbb{Z}_p$  there exists a unique  $[a]_P(X) \in \mathbb{Z}_p[[X]]$  such that*

1.  $[a](X) = aX + \cdots$  ;
2.  $G_P([a](X), [a](X)) = [a](G_P(X, Y))$  .

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- By unicity we have  $P(X) = [w](X)$ .
  - **Examples:**  $P(X) = pX + X^p$ .  $P(X) = (X + 1)^p - 1 \Rightarrow G_P = \hat{\mathbb{G}}_m$ .

## DWORK-ROBBA-MATSUDA EXPONENTIALS

When  $\exp(\pi_m T + \pi_{m-1} \frac{T^p}{p} + \cdots + \pi_0 \frac{T^{p^m}}{p^m})$  is convergent for  $|T| < 1$ ?

### Examples:

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Dwork:  $\exp(\pi T), \quad \theta(T) = \exp(\pi(T - T^p)),$   
 $\pi^{p-1} = -p;$

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Robba:  $\exp(\pi(\alpha_1 \frac{T^{p^m}}{p^m} + \cdots + \alpha_{m-1} \frac{T^p}{p} + \alpha_m T)),$

$|\pi| = |p|^{1/p-1},$  but  $\alpha_i = \text{unknown};$

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Matsuda:  $\exp((\xi^{p^m} - 1) \frac{T^{p^m}}{p^m} + \cdots + (\xi^p - 1) \frac{T^p}{p} + (\xi - 1)T),$

$\pi_j = \xi^{p^{m-j}} - 1,$  with  $\xi^{p^{m+1}} = 1$  (primitive).

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## $P$ -sequences and $P$ -exponentials

Let  $P$  a L-T series. A  $P$ -sequence is a family  $\{\pi_j\}_{j \geq 0}$  such that

$$P(\pi_{j+1}) = \pi_j, \quad P(\pi_0) = 0, \quad , \quad \pi_0 \neq 0.$$

**Theorem 0.2** *The following formal series converges for  $|T| < 1$ :*

$$E_m(T) = \exp\left(\pi_m T + \pi_{m-1} \frac{T^p}{p} + \cdots + \pi_0 \frac{T^{p^m}}{p^m}\right).$$

*Proof :* Let  $\phi_j(\lambda_0, \lambda_1, \dots) := \lambda_0^{p^j} + p\lambda_1^{p^{j-1}} + \cdots + p^j \lambda_j$  (phantom comp.). Let  $E(T) = \exp(T + T^p/p + \cdots)$  be the Artin-Hasse exp.

• Then:

$$\prod_{j \geq 0} E(\lambda_j T^{p^j}) = \exp(\phi_0 T + \phi_1 T^p/p + \cdots).$$

$\Rightarrow$  We need  $\boldsymbol{\lambda} = (\lambda_0, \lambda_1, \dots)$  such that  $\phi(\boldsymbol{\lambda}) = \langle \pi_m, \dots, \pi_0, 0, 0, \dots \rangle$ .

• There exists a morphism  $s_P : \mathbb{Z}_p[[X]] \hookrightarrow \mathbf{W}(\mathbb{Z}_p[[X]])$ , satisfying

$$\phi_j(s_P(h(X))) = h(P(P(\cdots(P(X))))), \quad (j - \text{times}).$$

## $P$ -sequences and $P$ -exponentials - 2

- Then  $\boldsymbol{\lambda} = s_P(X)|_{X=\pi_m}$ . Now, to have convergence for  $|T| < 1$ , we need that  $\boldsymbol{\lambda} = (\lambda_0, \lambda_1, \dots)$  satisfies  $|\lambda_j| \leq 1, \forall j \geq 0$ .

**Lemma 0.1** *Let  $h(X) = \sum_{i \geq 0} a_i X^i \in \mathbb{Z}_p[[X]]$ . Let  $\boldsymbol{\lambda} := (\lambda_0, \lambda_1, \dots) = s_P(h(X))|_{X=\pi_m}$ . Then*

$$\{|\lambda_0|, \dots, |\lambda_{r-1}| < 1 \text{ and } |\lambda_r| = 1\} \iff |a_0| = |p|^r.$$

- This proves the theorem. But leads us to understand the situation

**Lemma 0.2** *Let  $d = np^m > 0, (n, p) = 1, (\lambda_0, \dots, \lambda_m) \in \mathbf{W}_m(\mathcal{O}_K)$ .*

$$e_d(\boldsymbol{\lambda}, T) := \exp\left(\pi_m \phi_0 T^{-n} + \pi_{m-1} \phi_1 \frac{T^{-np}}{p} + \dots + \pi_0 \phi_m \frac{T^{-d}}{p^m}\right)$$

*converges at least for  $|T| > 1$ , where  $\phi_j = \phi_j(\boldsymbol{\lambda})$ .*

*Proof :*  $e_d(\boldsymbol{\lambda}, T) = \prod_{j=0}^m E_{m-j}(\lambda_j T^{-np^j})$  and  $|\lambda_j| \leq 1. \square$

### $P$ -sequences and $P$ -exponentials - 3

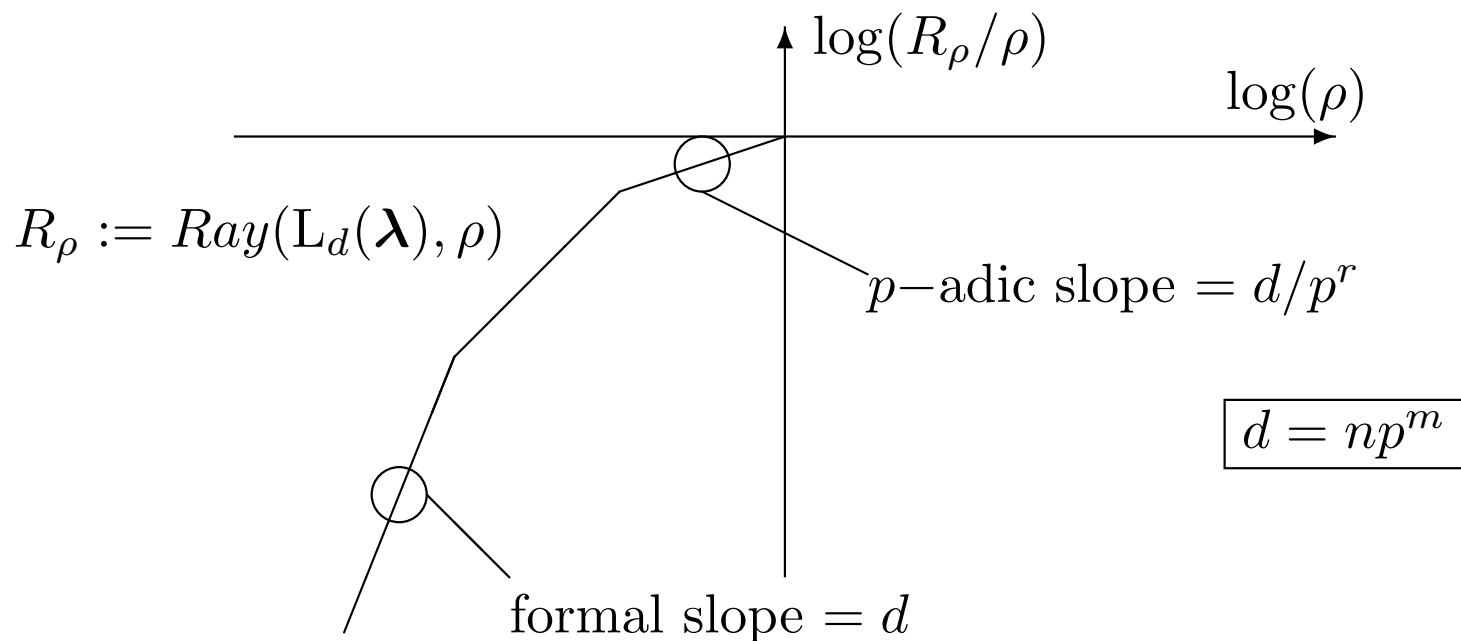
- Since  $e_d(\boldsymbol{\lambda}, T)$  converges for  $|T| > 1$ , hence  $L_d(\boldsymbol{\lambda})$  is **solvable**:

$$L_d(\boldsymbol{\lambda}) := \partial_T + n \cdot (\pi_m \phi_0 T^{-n} + \pi_{m-1} \phi_1 T^{-np} + \cdots + \pi_0 \phi_m T^{-d}).$$

**Theorem 0.3**  $L_d(\boldsymbol{\lambda})$  is trivial if and only if  $|\lambda_0|, |\lambda_1|, \dots, |\lambda_m| < 1$ .

If  $|\lambda_0|, \dots, |\lambda_{r-1}| < 1$  and  $|\lambda_r| = 1$ ,  $r \leq m$ , then its irregularity is

$$\underline{\text{Irr}(L_d(\boldsymbol{\lambda})) = d/p^r = np^{m-r}}.$$





## Classification of rk 1 differential modules

- Let  $M_d(\lambda)$  be the isomorphism class of  $L_d(\lambda)$  over  $\mathcal{R}_{K(\pi_m)}$ . We get then, in an evident way, a group morphism

$$M_d : \mathbf{W}_m(\mathcal{O}_K) \xrightarrow{\lambda \mapsto M_d(\lambda)} \text{Pic}^{\text{sol}}(\mathcal{R}_{K(\pi_m)}).$$

By the precedent theorem this map factorizes as follows:

$$\begin{array}{ccc} \mathbf{W}_m(\mathcal{O}_K) & \xrightarrow{M_d} & \text{Pic}^{\text{sol}}(\mathcal{R}_{K(\pi_m)}) \\ \downarrow & \searrow \text{---} & \nearrow \\ \mathbf{W}_m(k) & & \end{array}$$

- Observe that this process produces only differential equations of

$$\text{formal slope} = d.$$

Now we want all formal slopes.

## Classification of rk 1 differential modules - 2

- Let  $K_\infty = \cup_m K(\pi_m)$ . Write  $v_p(d)$  instead of  $m$ , then

$$\bigoplus_{d>0} \mathbf{W}_{v_p(d)}(k) \xrightarrow{\bigotimes_{d>0} M_d} \text{Pic}^{\text{sol}}(\mathcal{R}_{K_\infty}).$$

What are its image and cokernel? Answer: If  $K = \bar{K}$ , then

$$\text{Coker} \cong \{ \text{Isomorphism class of } \partial_T + a_0 \mid a_0 \in \mathbb{Z}_p \}$$

$$\text{Im} \cong (\bigoplus_{d>0} \mathbf{W}_{v_p(d)}(\mathcal{O}_{\bar{K}})) / \sim$$

The equivalence “ $\sim$ ” identifies  $(\lambda_0, \dots, \lambda_m)$  with  $(0, \lambda_0^p, \dots, \lambda_m^p)$ :

$$\mathbf{W}_{v_p(d)}(\bar{k}) \xrightarrow{(\lambda_0, \dots, \lambda_m) \mapsto (0, \lambda_0^p, \dots, \lambda_m^p)} \mathbf{W}_{v_p(pd)}(\bar{k}).$$

- We have  $\text{Pic}^{\text{sol}}(\mathcal{R}_{\bar{K}}) = [(\bigoplus_{d>0} \mathbf{W}_{v_p(d)}(\mathcal{O}_{\bar{K}})) / \sim] \oplus \mathbb{Z}_p / \mathbb{Z}$ , then, by Galois descent

$$\text{Pic}^{\text{sol}}(\mathcal{R}_{K_\infty}) \cong [(\bigoplus_{d>0} \mathbf{W}_{v_p(d)}(\mathcal{O}_{K_\infty})) / \sim] \oplus \mathbb{Z}_p / \mathbb{Z}$$

- Actually: what is the Frobenius functor in terms of Witt vectors?

$$\begin{array}{ccc}
 \bigoplus_{d>0} \mathbf{W}_{v_p(d)}(k) & \xrightarrow{\otimes M_d} & \text{Pic}^{\text{sol}}(\mathcal{R}_{K_\infty}) \\
 \begin{array}{c} \text{?} \\ \vdots \\ \searrow \end{array} & & \searrow \text{Frob} \\
 \bigoplus_{d>0} \mathbf{W}_{v_p(d)}(k) & \xrightarrow{\otimes M_d} & \text{Pic}^{\text{sol}}(\mathcal{R}_{K_\infty})
 \end{array}$$

- The Frobenius functor sends

$$(\lambda_0, \dots, \lambda_m) \mapsto (0, \lambda_0^p, \dots, \lambda_m^p) : \mathbf{W}_{v_p(d)}(\bar{k}) \rightarrow \mathbf{W}_{v_p(pd)}(\bar{k}).$$

- We prove that

$$M_d(\lambda_0, \dots, \lambda_m) \xrightarrow{\sim} M_{pd}(0, \lambda_0^p, \dots, \lambda_m^p)$$

## FROBENIUS STRUCTURE

**Example(Dwork):** Let  $P(X) = pX + X^p$  ( $w = p$ ). Then  $e_1(1, T) = \exp(\pi_0 T^{-1})$ . Dwork showed that  $\theta(T)$  is overconvergent:

$$\theta(T) = \frac{\exp(\pi_0 T^{-1})}{\exp(\pi_0 T^{-p})}.$$

Hence  $\mathbf{M}_1(\mathbf{1}) \xrightarrow{\sim} \mathbf{M}_p(\mathbf{0}, \mathbf{1})$ , since  $\exp(\pi_0 T^{-1}) = e_1((1), T)$  and

$$\exp(\pi_0 T^{-p}) = \exp(\pi_1 \cdot 0 \cdot T^{-1} + \pi_0 p \frac{T^{-p}}{p}) = e_p((0, 1), T).$$

Indeed  $\lambda = (0, 1) \iff \phi(\lambda) = \langle 0, p \rangle$ .

**Example(Matsuda):** Let  $P(X) = (X + 1)^p - 1$ , ( $w = p$ ), then  $\pi_j = \xi_j - 1$ , with  $\xi_j^{p^{j+1}} = 1$  and  $\xi_j^{p^i} = \xi_{j-i}$ . Then, (if  $p \neq 2$ ),

$$\theta_m(T) := \frac{\exp(\pi_m T + \cdots + \pi_0 T^{p^m} / p^m)}{\exp(\pi_m T^p + \cdots + \pi_0 T^{p^{m+1}} / p^m)}$$

is overconv. Hence  $\mathbf{M}_{p^m}(\mathbf{1}, \mathbf{0}, \dots, \mathbf{0}) \xrightarrow{\sim} \mathbf{M}_{p^{m+1}}(\mathbf{0}, \mathbf{1}, \mathbf{0}, \dots, \mathbf{0})$ .

## FROBENIUS STRUCTURE - 2

**Theorem 0.4** *If  $w = p$ , then  $\theta_d(\boldsymbol{\lambda}, T)$  is overconvergent for all  $\boldsymbol{\lambda}$*

$$\theta_d(\boldsymbol{\lambda}, T) := \frac{e_d(\boldsymbol{\lambda}, T^{-1})}{e_d(\sigma(\boldsymbol{\lambda}), T^{-p})}$$

*Here  $\sigma : \mathcal{O}_K \rightarrow \mathcal{O}_K$  is a lifting of the  $p$ -th power map of  $k$*

*Example of proof:* Take  $e_p((1, 0), T^{-1}) = \exp(\pi_1 T + \pi_0 T^p / p)$ :

$$\frac{\exp(\pi_1 T + \pi_0 \frac{T^p}{p})}{\exp(\pi_1 T^p + \pi_0 \frac{T^{p^2}}{p^2})} = \exp\left(\left(\frac{\pi_1}{\pi_2}\right) \pi_2 T + \left(\frac{\pi_0}{\pi_1} - p\right) \pi_1 \frac{T^p}{p} - p \pi_0 \frac{T^{p^2}}{p^2}\right)$$

$$= \underbrace{\exp(p\pi_2 T)}_{\text{overconvergent}} \cdot \exp\left(\overbrace{\left(\frac{\pi_1}{\pi_2} - p\right) \pi_2 T}^{\phi_0^*} + \overbrace{\left(\frac{\pi_0}{\pi_1} - p\right) \pi_1 \frac{T^p}{p}}^{\phi_1^*} + \overbrace{-p \pi_0 \frac{T^{p^2}}{p^2}}^{\phi_2^*}\right)$$

• **IDEA:** If there exists  $\boldsymbol{\lambda}^* = (\lambda_0^*, \lambda_1^*, \lambda_2^*)$ , such that  $\phi_j^* = \phi_j(\boldsymbol{\lambda}^*)$  and  $|\lambda_j^*| < 1$  for all  $j$ , then  $\theta$  is overconvergent. Because

$$\theta_{p^2}(\boldsymbol{\lambda}^*, T)'' = E_2(\lambda_0^* T) \cdot E_1(\lambda_1^* T^p) \cdot E_0(\lambda_2^* T^{p^2}).$$

## FROBENIUS STRUCTURE - 3

- Recall that we have a ring morphism

$$s_P : \mathbb{Z}_p[[X]] \rightarrow \mathbf{W}(\mathbb{Z}_p[[X]])$$

such that the phantom component of  $s_P(h(X))$  is

$$\langle h(X), h(P(X)), h(P(P(X))), \dots \rangle.$$

- Then  $h(X) = P(X)/X - p$ . Indeed specialize  $X \mapsto \pi_2$ , then

$$h(\pi_2) = \left( \frac{\pi_1}{\pi_2} - p \right) = \phi_0^*$$

$$h(P(\pi_2)) = h(\pi_1) = \left( \frac{\pi_0}{\pi_1} - p \right) = \phi_1^*$$

$$h(P(P(\pi_2))) = h(\pi_0) = \left( \frac{0}{\pi_0} - p \right) = -p = \phi_2^*$$

- Moreover, by lemma 0.1, we can control the value  $|\lambda_j^*|$ . Precisely

$$|\lambda_0^*|, |\lambda_1^*|, |\lambda_2^*| < 1 \iff |a_0| = |w - p| \leq |p|^3 \quad \square$$

## NOTATIONS:

- $\Lambda/\mathbb{Q}_p$  = finite extension with residue field  $\mathbb{F}_q$ . Let  $k/\mathbb{F}_q$  be perfect.
- A  $P$ -sequence  $\{\pi_j\}_{j \geq 0}$  is fixed. Suppose  $\pi_s \in \Lambda$ . Then set

$$K = \Lambda \otimes_{\mathbb{F}_q} \mathbf{W}(k), \quad \sigma = \text{Id}_\Lambda \otimes F, \quad E = k((t))$$

- Let  $\alpha : G_E \rightarrow \mathbb{Z}/p^{s+1}\mathbb{Z}$ . Denote by  $V_\alpha$  the repr. of  $G_E$  defined by

$$g(\mathbf{e}) := \xi_s^{\alpha(g)} \cdot \mathbf{e}, \quad \text{for all } g \in G_E = \text{Gal}(E^{\text{sep}}/E),$$

where  $\xi_s$  is the unique  $p^{s+1}$ -th root of 1 s.t.  $|(\xi_s - 1) - \pi_s| < |\pi_s|$ .

$$\begin{array}{ccc} \left\{ \begin{array}{l} \text{Rank one repr.} \\ \text{of } E := k((t)) \end{array} \right\} & \xrightarrow{\text{Local monodromy}} & \left\{ \begin{array}{l} \text{Rank one diff. eq.} \\ \text{over } \mathcal{R}_K \end{array} \right\} \\ \{?\} & \longleftrightarrow & \{P\text{-exponentials}\} \end{array}$$

- The Artin-Schreier theory says that we have a sequence:

$$0 \rightarrow \mathbb{Z}/p^{s+1}\mathbb{Z} \rightarrow \mathbf{W}_s(E) \xrightarrow{\bar{F}-1} \mathbf{W}_s(E) \xrightarrow{\delta} \text{Hom}^{\text{cont}}(G_E, \mathbb{Z}/p^{s+1}\mathbb{Z}) \rightarrow 0.$$

- Then  $V_\alpha$  is given by a Witt vector in  $\mathbf{W}_s(k((t)))$ .

## APPLICATION: Lifting Artin-Schreier characters

- Can we relate Witt vectors in  $\mathbf{W}_s(k((t)))$  and in  $\mathbf{W}_m(k)$ ?
- What are the vectors corresponding to  $P$ -exponentials?

**Lemma 0.3** *We have the following symmetry ( $m \leq s$ ):*

$$e_d((a_0, \dots, a_m), T) = e_{p^s}((0, \dots, 0, a_0 T^{-n}, \dots, a_{m-1} T^{-d/p}, a_m T^{-d}), 1)$$

*Proof :* Let  $\mathbf{m}_d(T) := (0, \dots, 0, a_0 T^{-n}, \dots, a_{m-1} T^{-d/p}, a_m T^{-d})$ .

Then  $\phi(\mathbf{m}_d(T)) = p^{s-m} \langle 0, \dots, 0, \phi_0 T^{-n}, \dots, \phi_m T^{-d} \rangle$ .  $\square$

### Examples:

- $e_1((a), T) = \exp(\pi_0(a)T^{-1}) = \exp(\pi_0(aT^{-1})) = e_1((aT^{-1}), 1)$

- $$\begin{aligned} e_{np}((a_0, a_1), T) &= \exp\left(\pi_1 \phi_0 T^{-n} + \pi_0 \phi_1 \frac{T^{-np}}{p}\right) \\ &= \exp\left(\pi_1 \phi_0(a_0 T^{-n}) \cdot 1 + \phi_1(a_0 T^{-n}, a_1 T^{-np}) \frac{1}{p}\right) \\ &= e_{p^2}((a_0 T^{-n}, a_1 T^{-np}), 1) \end{aligned}$$



- Then  $P$ -exponentials correspond to “monomials”  $\mathbf{m}_d(T)$ .
- In general we can write  $e_d(\mathbf{a}_d, T) = e_{p^s}(\mathbf{m}_d(T), 1)$ , ( $s \geq m$ ).
- Nice property:

$$\prod_d e_d(\mathbf{a}_d, T) = \prod_d e_{p^s}(\mathbf{m}_d(T), 1) = e_{p^s}\left(\sum_d \mathbf{m}_d(T), 1\right)$$

**Lemma 0.4** *For all  $s \geq 0$  we have a decomposition*

$$\mathbf{W}_s(\mathbf{E}) = \oplus_{d>0} \mathbf{W}_s^{(-d)}(k) \oplus \mathbf{W}_s(k) \oplus \mathbf{W}_s(tk[[t]])$$

$$\mathbf{W}_s^{(-d)}(k) = \{ \text{Monomials} : \mathbf{m}_d(T) = (\dots, a_{m-1}t^{-d/p}, a_m t^{-d}) \}.$$

- We get the usual one for  $s = 0$ :  $k((t)) = \oplus_{d>0} kt^{-d} \oplus k \oplus tk[[t]]$ .

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$$\left\{ \begin{array}{l} \text{Every solution of diff.eq.} \\ \text{is product of } P - \text{exp.} \end{array} \right\} \xleftrightarrow{\sim} \left\{ \begin{array}{l} \text{Every } \mathbf{f}(t) \in \mathbf{W}_s(\mathbf{E}) \\ \text{is sum of monomials} \end{array} \right\}$$


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- For all  $\mathbf{f}(T) = \sum_{d<0} \mathbf{m}_d(T)$ , write

$$e_{p^s}(\mathbf{f}(T), 1) = \prod_d e_{p^s}(\mathbf{m}_d(T), 1) = \prod_d e_d(\mathbf{a}_d, T)$$

- Keep attention to the fact that  $e_{p^s}(\mathbf{f}(T), 1)$  has meaning if and only if  $\mathbf{f}(T) \in \mathbf{W}_s(T^{-1}\mathcal{O}_K[T^{-1}])$ .

**Example:** 1)  $Z \mapsto \theta_{p^s}(\boldsymbol{\lambda}, Z)$  converges for  $|Z| < 1 + \varepsilon$ .

One can consider its value at 1. Then

**Lemma 0.5** *For all complete ring  $(A, |\cdot|)$  and all Witt vector  $\boldsymbol{\lambda} \in \mathbf{W}_s(A)$ ,*

$$\theta_{p^s}(\boldsymbol{\lambda}, 1)$$

*has a meaning.*

2) The same is true for  $Z \mapsto e_{p^s}(\boldsymbol{\lambda}, Z)^{p^{s+1}}$  (overconvergent).

3) But we can not take for example

$$e_{p^s}((1), 1) = \exp(\pi_0) = \text{has no meaning.}$$

But for example  $e_1(T^{-1}, 1) = \exp(\pi_0 T^{-1})$  has a meaning.

**Theorem 0.5** *Let  $\varphi : \mathcal{O}^\dagger \rightarrow \mathcal{O}^\dagger$  be a Frobenius.*

$$\begin{array}{ccccccc}
 0 \rightarrow \mathbb{Z}/p^{s+1}\mathbb{Z} & \longrightarrow & \mathbf{W}_s(\mathbb{E}) & \xrightarrow{\bar{F}-1} & \mathbf{W}_s(\mathbb{E}) & \xrightarrow{\delta} \twoheadrightarrow & \mathrm{H}^1(\mathrm{G}_{\mathbb{E}}, \mathbb{Z}/p^{s+1}\mathbb{Z}) \\
 & & \uparrow & & \uparrow & & \vdots \\
 \mathbf{W}_s(\mathcal{O}_K^{\varphi=1}) & \hookrightarrow & \mathbf{W}_s(\mathcal{O}^\dagger) & \xrightarrow{\varphi-1} & \mathbf{W}_s(\mathcal{O}^\dagger) & & \bar{e} \\
 & & \downarrow \theta_{p^s}^{(\varphi)}(-,1) & & \downarrow e_{p^s}(-,1)^{p^{s+1}} & & \downarrow \\
 1 \rightarrow \mu_{p^{s+1}} & \longrightarrow & (\mathcal{E}^\dagger)^\times & \xrightarrow{f \mapsto f^{p^{s+1}}} & (\mathcal{E}^\dagger)^\times & \xrightarrow{\delta_{\mathrm{Kum}}} \twoheadrightarrow & \mathrm{H}^1(\mathrm{G}_{\mathcal{E}^\dagger}, \mu_{p^{s+1}})
 \end{array}$$

where  $\mathcal{O}_K^{\sigma=1} := \{a \in \mathcal{O}_K \mid a^\sigma = a\}$  and  $\mathrm{G}_{\mathcal{E}^\dagger} := \mathrm{Gal}(\overline{\mathcal{E}^\dagger}/\mathcal{E}^\dagger)$ . More explicitly  $\theta_{p^s}^{(\varphi)}(-,1)$  induces the identification

$$1 \mapsto \xi_s^{-1} : \mathbb{Z}/p^{s+1}\mathbb{Z} \xrightarrow{\sim} \mu_{p^{s+1}}$$

where  $\xi_s$  is the unique  $p^{s+1}$ -th root of 1 such that

$$|(\xi_s - 1) - \pi_s|.$$

## Explicit computation of Local monodromy functor

- This gets us an explicit section of the henselian correspondence:

$$\{\text{Unramified ext. of } \mathcal{O}^\dagger\} \rightsquigarrow \{\text{Separable ext. of } E = k((t))\}$$

$$0 \rightarrow \mathbb{Z}/p^{s+1}\mathbb{Z} \rightarrow \mathbf{W}_s(E) \xrightarrow{\bar{F}-1} \mathbf{W}_s(E) \xrightarrow{\delta} \text{Hom}^{\text{cont}}(G_E, \mathbb{Z}/p^{s+1}\mathbb{Z}) \rightarrow 0.$$

- 1) Let  $\mathbf{f}(T) \in \mathbf{W}_s(\mathcal{O}^\dagger)$ , let  $\alpha = \delta(\overline{\mathbf{f}(T)})$ ,  $\alpha : G_E \rightarrow \mathbb{Z}/p^{s+1}\mathbb{Z}$ .
- 2) Let  $F/E$  be the extension defined by the kernel of  $\alpha$ .
- 3) Let  $\mathcal{F}^\dagger = \mathcal{E}_{L, \tilde{T}}^\dagger / \mathcal{E}^\dagger$  be the corresponding unramified extension.
- 4) Let  $\mathbf{g}(\tilde{T}) \in \mathcal{F}^\dagger$  be such that  $\bar{F}(\overline{\mathbf{g}(\tilde{T})}) - \overline{\mathbf{g}(\tilde{T})} = \overline{\mathbf{f}(T)}$ .

5) Then

$$\mathcal{F}^\dagger = \mathcal{E}^\dagger \left( \theta_{p^s}(\mathbf{g}(\tilde{T}), 1) \right)$$

- Exemple of Dwork:  $\exp(\pi_0 T^{-1}) = e_1((1), T) = e_1((T^{-1}), 1)$ ,  
 $s = 0$ ,  $\mathbf{W}_0(E) = E$ ,  $\mathbf{f}(T) = T^{-1}$ ,  $\mathbf{g}(\tilde{T}) = ?$ ,  $\mathbf{g}(\tilde{T})^p - \mathbf{g}(\tilde{T}) = T^{-1}$ ,

$$\theta_{p^s}(\mathbf{g}(\tilde{T}), 1) = ?.$$

## Explicit computation of differential equation

- Let  $\mathbf{D}^\dagger(V_\alpha) = (V_\alpha \otimes_\Lambda \mathcal{O}^{\dagger, \text{unr}})^{G_E}$  the  $\varphi$ -module attached to  $V_\alpha$ .

Then

$$\mathbf{e} \otimes \theta_{p^s}(\mathbf{g}(\tilde{T}), 1)$$

is a basis of  $\mathbf{D}^\dagger(V_\alpha) = (V_\alpha \otimes_\Lambda \mathcal{O}^{\dagger, \text{unr}})^{G_E}$ .

- Actually let  $g \in G_E$ , then, by the big diagram

$$\begin{aligned} g(\mathbf{e} \otimes \theta_{p^s}(\mathbf{g}(\tilde{T}))) &= g(\mathbf{e}) \otimes g\left(\theta_{p^s}(\mathbf{g}(\tilde{T}), 1)\right) \\ &= \xi_s^{\alpha(g)} \cdot \mathbf{e} \otimes \xi_s^{-\alpha(g)} \theta_{p^s}(\mathbf{g}(\tilde{T}), 1) \end{aligned}$$

- Can we describe the differential equation  $\partial_T - \frac{\partial_T(\theta_{p^s}(\mathbf{g}(\tilde{T}), 1))}{\theta_{p^s}(\mathbf{g}(\tilde{T}), 1)}$ ?
- We observe that

$$\theta_{p^s}(\mathbf{g}(\tilde{T}), 1)^{p^{s+1}} = e_{p^s}(\mathbf{f}(T), 1)^{p^{s+1}}$$

But  $e_{p^s}(\mathbf{f}(T), 1)$  has no meaning!

- If  $\mathbf{f}(T) \in \mathbf{W}_s(T^{-1}\mathcal{O}_K[T])$ , actually  $e_{p^s}(\mathbf{f}(T), 1)$  has a meaning.

- Write

$$\mathbf{f}(T) = \mathbf{f}_-(T) + \mathbf{f}_0 + \mathbf{f}_+(T),$$

$$\mathbf{f}_- \in \mathbf{W}_s(T^{-1}\mathcal{O}_K[[T^{-1}]]), \mathbf{f}_0 \in \mathbf{W}_s(\mathcal{O}_K), \mathbf{f}_+(T) \in \mathbf{W}_s(\mathcal{O}_K[[T]]).$$

- We can suppose  $\mathbf{f}_+(T) = 0$ , because  $\delta(\overline{\mathbf{f}_+(T)}) = 0$ .
- Then separate the Problem:

$$y^{p^{s+1}} = e_{p^s}(\mathbf{f}_0, 1)^{p^{s+1}}$$

$$\theta_{p^s}(\mathbf{g}(\tilde{T}), 1) = \underbrace{y}_{\text{constant}} \cdot \underbrace{e_{p^s}(\mathbf{f}_-(T), 1)}_{\text{has meaning}}$$

- Then

$$\partial_T - \frac{\partial_T(\theta_{p^s}(\mathbf{g}(\tilde{T}), 1))}{\theta_{p^s}(\mathbf{g}(\tilde{T}), 1)} = \partial_T - \frac{\partial_T(e_{p^s}(\mathbf{f}_-(T), 1))}{e_{p^s}(\mathbf{f}_-(T), 1)}$$

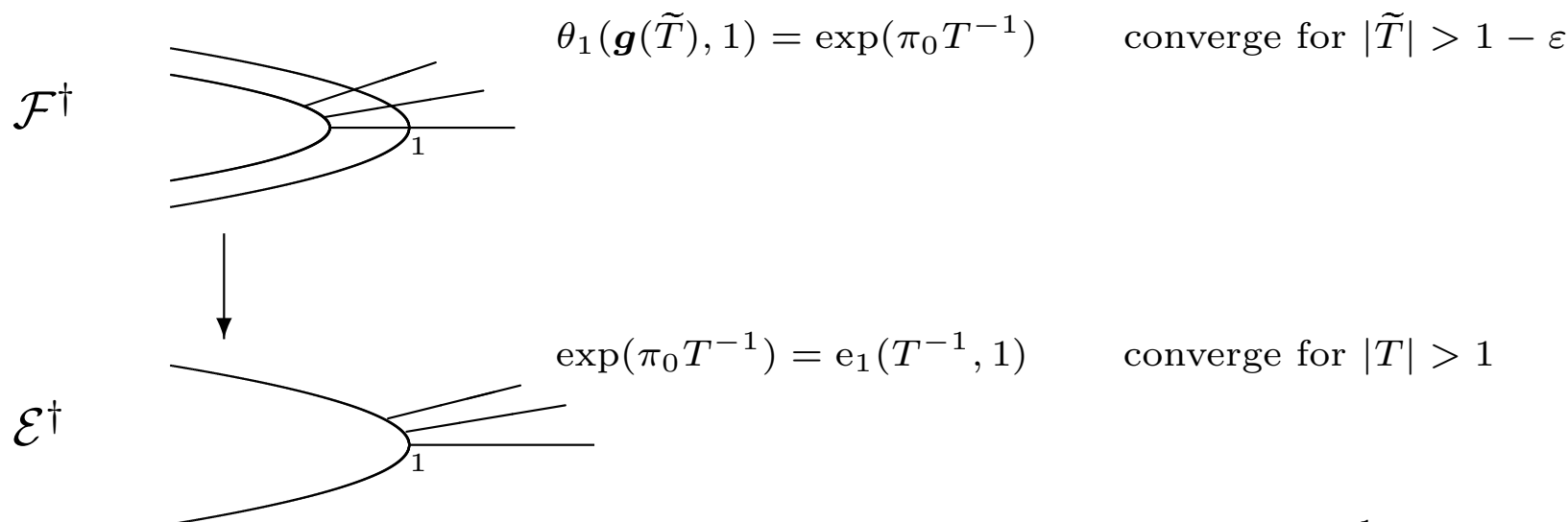
This is the Fontaine-Katz equation!

**Example:**  $s = 0$ ,  $\mathbf{W}_s(\mathbf{E}) = \mathbf{E}$ ,  $\alpha = \delta(\bar{a}t^{-1})$ , then the diff.eq. is

$$\partial_T + \pi_0 a T^{-1}, \quad (\partial_T = T \frac{d}{dT})$$

its solution at infinity is  $\exp(\pi_0 a T^{-1})$ . ( $a = \text{arbitrary lift of } \bar{a}$ ). And

$$\mathcal{F}^\dagger = \mathcal{E}^\dagger(e_1(aT^{-1}, 1)) = \mathcal{E}^\dagger(\exp(\pi_0 a T^{-1})).$$



In other words the analytic function  $\exp(\pi_0 T^{-1})$  become overconvergent and equal to  $\theta_1(\mathbf{g}(\tilde{T}), 1)$  in the new variable  $\tilde{T}$ .

• **General algorithm:**

1) Start from  $\bar{\mathbf{f}}(t) \in \mathbf{W}_s(\mathbf{E})$ .  $\alpha = \delta(\bar{\mathbf{f}}(t))$ .

2) Lift it into  $\mathbf{f}(T) \in \mathbf{W}_s(\mathcal{O}_K[[T]][T^{-1}])$  in an arbitrary way.

3) Take  $\phi(\mathbf{f}(T)) = \langle \phi_0(T), \dots, \phi_s(T) \rangle$ , then separate the degrees:

$$\phi_j(T) = \phi_j^-(T) + \phi_j^0(T) + \phi_j^+(T) ,$$

with  $\phi_j^- \in T^{-1}\mathcal{O}_K[T^{-1}]$ ,  $\phi_j^0 \in \mathcal{O}_K$ ,  $\phi_j^+ \in \mathcal{O}_K[[T]]$ , for all  $j \geq 0$ .

4) The Fontaine-Katz differential equation is then

$$\partial_T + \sum_{j=0}^s \pi_{s-j} \partial_T(\phi_j^-(T)) \frac{1}{p^j}.$$

**THE END**