



On the structure of compact Kähler manifolds with nef anticanonical bundles

Jean-Pierre Demailly

Institut Fourier, Université Grenoble Alpes, France
& Académie des Sciences de Paris

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- $L \rightarrow X$ is **pseudoeffective (psef)** if $\exists h = e^{-\varphi}$, $\varphi \in L^1_{\text{loc}}$, s.t.
$$\Theta_{L,h} = -dd^c \log h \geq 0 \text{ on } X$$
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$$\Leftrightarrow (\text{for } X \text{ projective}) \quad c_1(L) \in \overline{\text{Eff}}.$$
- $L \rightarrow X$ is **semi-positive** if $\exists h = e^{-\varphi}$ smooth (C^∞) such that
$$\Theta_{L,h} = -dd^c \log h \geq 0 \text{ on } X.$$
$$\Leftrightarrow (\text{for } X \text{ projective}) \quad L^{\otimes m} = G \otimes H, \quad G \text{ semi-ample, } H \in \text{Pic}^0(X).$$

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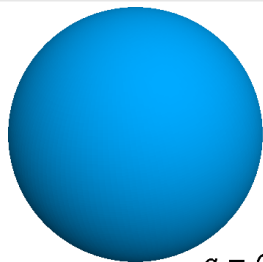
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- L is **nef** if $\forall \varepsilon > 0$, $\exists h_\varepsilon = e^{-\varphi_\varepsilon}$ smooth such that

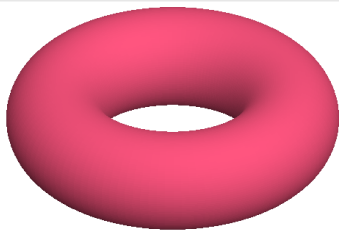
$$\Theta_{L,h_\varepsilon} = -dd^c \log h_\varepsilon \geq -\varepsilon \omega \quad \text{on } X$$

$$\Leftrightarrow (\text{for } X \text{ projective}) \quad L \cdot C \geq 0, \quad \forall C \text{ algebraic curve.}$$

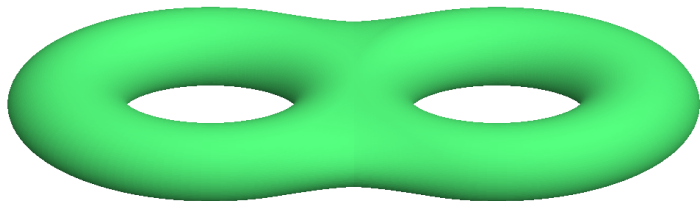
Complex curves ($n = 1$) : genus and curvature



$g = 0$, $K_X < 0$
(positive curvature)



$g = 1$, $K_X = 0$
(zero curvature)



$K_X = \Lambda^n T_X^*$, $\deg(K_X) = 2g - 2$
(negative curvature)

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Example

Let X be the rational surface obtained by blowing up \mathbb{P}^2 in 9 distinct points $\{p_i\}$ on a smooth (cubic) elliptic curve $C \subset \mathbb{P}^2$, $\mu : X \rightarrow \mathbb{P}^2$ and \hat{C} the strict transform of C .

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$K_X = \mu^* K_{\mathbb{P}^2} \otimes \mathcal{O}(\sum E_i) \Rightarrow -K_X = \mu^* \mathcal{O}_{\mathbb{P}^2}(3) \otimes \mathcal{O}(-\sum E_i)$,
thus

$$-K_X = \mu^* \mathcal{O}_{\mathbb{P}^2}(C) \otimes \mathcal{O}(-\sum E_i) = \mathcal{O}_X(\hat{C}).$$

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One has

$$-K_X \cdot \Gamma = \hat{C} \cdot \Gamma \geq 0 \quad \text{if } \Gamma \neq \hat{C},$$

$$-K_X \cdot \hat{C} = (-K_X)^2 = (\hat{C})^2 = C^2 - 9 = 0 \Rightarrow -K_X \text{ nef.}$$

Rationally connected manifolds

In fact

$$G := (-K_X)|_{\hat{C}} \simeq \mathcal{O}_{\mathbb{P}^2|_C}(3) \otimes \mathcal{O}_C(-\sum p_i) \in \text{Pic}^0(C)$$

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Remark. $X = \mathbb{P}^2$ blown-up in ≥ 10 points is RC but $-K_X$ not nef.

Ex. of compact Kähler manifolds with $-K_X \geq 0$

(Recall: By Yau, $-K_X \geq 0 \Leftrightarrow \exists \omega$ Kähler with $\text{Ricci}(\omega) \geq 0$.)

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- all products $T \times \prod S_j \times \prod Y_k \times \prod Z_\ell$.

Main result. Essentially, this is a complete list !

Structure theorem for manifolds with $-K_X \geq 0$

Theorem [Campana, D., Peternell, 2012]

Let X be a compact Kähler manifold with $-K_X \geq 0$. Then:

- (a) \exists holomorphic and isometric splitting in irreducible factors

$$\tilde{X} = \text{universal cover of } X \simeq \mathbb{C}^q \times \prod Y_j \times \prod S_k \times \prod Z_\ell$$

where $Y_j =$ Calabi-Yau (holonomy $SU(n_j)$),

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- (b) There exists a finite étale Galois cover $\hat{X} \rightarrow X$ such that the Albanese map $\alpha : \hat{X} \rightarrow \text{Alb}(\hat{X})$ is an (isometrically) locally trivial holomorphic fiber bundle whose fibers are products $\prod Y_j \times \prod S_k \times \prod Z_\ell$, as described in (a).

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- (c) $\pi_1(\hat{X}) \simeq \mathbb{Z}^{2q} \rtimes \Gamma$, Γ finite (“almost abelian” group).

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- (d) For some (resp. for any) ample line bundle A on X , there exists a constant $C_A > 0$ such that

$$H^0(X, (T_X^*)^{\otimes m} \otimes A^{\otimes k}) = 0 \quad \forall m, k \in \mathbb{N}^* \text{ with } m \geq C_A k.$$

Proof of the RC criterion

Proof (essentially from Peternell 2006)

(a) \Rightarrow (d) is easy (RC implies there are many rational curves on which T_X , so $T_X^* < 0$), (d) \Rightarrow (c) and (c) \Rightarrow (b) are trivial.

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By BDPP (2004), **Y not uniruled $\Rightarrow K_Y$ psef**. Then $\pi^* K_Y \hookrightarrow \Omega_X^p$ where $p = \dim Y$, which is a contradiction unless $p = 0$, and therefore X is RC.

Generalized holonomy principle

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Let $(E, h) \rightarrow X$ be a hermitian holomorphic vector bundle of rank r over X compact/ \mathbb{C} . Assume that

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Let H the restricted holonomy group of (E, h) . Then

- (a) If there exists a psef invertible sheaf $\mathcal{L} \subset \mathcal{O}((E^*)^{\otimes m})$, then \mathcal{L} is flat and invariant under parallel transport by the connection of $(E^*)^{\otimes m}$ induced by the Chern connection ∇ of (E, h) ; moreover, H acts trivially on \mathcal{L} .

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Proof. $\mathcal{L} \subset \mathcal{O}((E^*)^{\otimes m})$ which has trace of curvature ≤ 0 while $\Theta_{\mathcal{L}} \geq 0$, use Bochner formula.

Surjectivity of the Albanese morphism

Recall that if X is a compact Kähler manifold, the Albanese map

$$\alpha_X : X \rightarrow \text{Alb}(X) := \mathbb{C}^g / \Lambda$$

is the holomorphic map given by

$$z \mapsto \alpha_X(z) = \left(\int_{z_0}^z u_j \right)_{1 \leq j \leq g} \text{ mod period subgroup } \Lambda \subset \mathbb{C}^g,$$

where (u_1, \dots, u_g) is a basis of $H^0(X, \Omega_X^1)$.

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Proof. Based on characteristic p techniques.

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Proof. Based on variation arguments for twisted Kähler-Einstein metrics.

Definition

Let X compact Kähler manifold, $\mathcal{E} \rightarrow X$ torsion free sheaf.

(a) \mathcal{E} is **generically nef with respect to a Kähler class ω** if

$$\mu_\omega(\mathcal{S}) = \omega\text{-slope of } \mathcal{S} := \frac{\int_X c_1(\mathcal{S}) \wedge \omega^{n-1}}{\text{rank } \mathcal{S}} \geq 0$$

for all torsion free quotients $\mathcal{E} \rightarrow \mathcal{S} \rightarrow 0$.

If \mathcal{E} is ω -generically nef for all ω , we simply say that \mathcal{E} is **generically nef**.

Definition

Let X compact Kähler manifold, $\mathcal{E} \rightarrow X$ torsion free sheaf.

- (a) \mathcal{E} is **generically nef with respect to a Kähler class ω** if

$$\mu_\omega(\mathcal{S}) = \omega\text{-slope of } \mathcal{S} := \frac{\int_X c_1(\mathcal{S}) \wedge \omega^{n-1}}{\text{rank } \mathcal{S}} \geq 0$$

for all torsion free quotients $\mathcal{E} \rightarrow \mathcal{S} \rightarrow 0$.

If \mathcal{E} is ω -generically nef for all ω , we simply say that \mathcal{E} is **generically nef**.

- (b) Let
$$0 = \mathcal{E}_0 \subset \mathcal{E}_1 \subset \dots \subset \mathcal{E}_s = \mathcal{E}$$

be a filtration of \mathcal{E} by torsion free coherent subsheaves such that the quotients $\mathcal{E}_{i+1}/\mathcal{E}_i$ are ω -stable subsheaves of $\mathcal{E}/\mathcal{E}_i$ of maximal rank. We call such a sequence a **refined Harder-Narasimhan (HN) filtration w.r.t. ω** .

Characterization of generically nef vector bundles

It is a standard fact that refined HN-filtrations always exist, moreover

$$\mu_\omega(\mathcal{E}_i/\mathcal{E}_{i-1}) \geq \nu_\omega(\mathcal{E}_{i+1}/\mathcal{E}_i)$$

for all i .

Proposition

Let (X, ω) be a compact Kähler manifold and \mathcal{E} a torsion free sheaf on X . Then \mathcal{E} is ω -generically nef if and only if

$$\mu_\omega(\mathcal{E}_{i+1}/\mathcal{E}_i) \geq 0$$

for some refined HN-filtration.

Proof. Easy arguments on filtrations. □

Theorem

(Junyan Cao, 2013) Let X be a compact Kähler manifold with $-K_X$ nef. Then the tangent bundle T_X is ω -generically nef for all Kähler classes ω .

Proof. use the fact that $\forall \varepsilon > 0, \exists$ Kähler metric with $\text{Ricci}(\omega_\varepsilon) \geq -\varepsilon \omega_\varepsilon$ (Yau, DPS 1995).

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From this, one can deduce

Theorem

Let X be a compact Kähler manifold with nef anticanonical bundle. Then the bundles $T_X^{\otimes m}$ are ω -generically nef for all Kähler classes ω and all positive integers m . In particular, the bundles $S^k T_X$ and $\bigwedge^p T_X$ are ω -generically nef.

A lemma on sections of contravariant tensors

Lemma

Let (X, ω) be a compact Kähler manifold with $-K_X$ nef and

$$\eta \in H^0(X, (\Omega_X^1)^{\otimes m} \otimes \mathcal{L})$$

where \mathcal{L} is a **numerically trivial** line bundle on X .

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Then the filtered parts of η w.r.t. the refined HN filtration are **parallel** w.r.t. the Bando-Siu metric in the 0 slope parts, and the < 0 slope parts vanish.

Proof. By Cao's theorem there exists a refined HN-filtration

$$0 = \mathcal{E}_0 \subset \mathcal{E}_1 \subset \dots \subset \mathcal{E}_s = T_X^{\otimes m}$$

with ω -stable quotients $\mathcal{E}_{i+1}/\mathcal{E}_i$ such that $\mu_\omega(\mathcal{E}_{i+1}/\mathcal{E}_i) \geq 0$ for all i . Then we use the fact that any section in a (semi-)negative slope reflexive sheaf $\mathcal{E}_{i+1}/\mathcal{E}_i \otimes \mathcal{L}$ is parallel w.r.t. its Bando-Siu metric (resp. vanishes).

Smoothness of the Albanese morphism (after Cao)

Theorem (Junyan Cao 2013)

Non-zero holomorphic p -forms on a compact Kähler manifold X with $-K_X$ nef **vanish only on the singular locus of the refined HN filtration of T^*X .**

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Corollary

Let X be a compact Kähler manifold with nef anticanonical bundle. Then the Albanese map $\alpha_X : X \rightarrow \text{Alb}(X)$ is a **submersion** on the complement of the HN filtration singular locus in X [$\Rightarrow \alpha_X$ surjects onto $\text{Alb}(X)$].

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Cao's thm \Rightarrow rank of (du_1, \dots, du_q) is $= q$ generically.

Isotriviality of the Albanese map

Theorem [J. Cao, arXiv:1612.05921]

Let X be a projective manifold with nef anti-canonical bundle. Then the Albanese map $\alpha_X : X \rightarrow Y = \text{Alb}(X)$ is **locally isotrivial**, i.e., for any small open set $U \subset Y$, $\alpha_X^{-1}(U)$ is biholomorphic to the product $U \times F$, where F is the generic fiber of α_X .

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Proof. Let A be a (large) ample line bundle on X and $E = (\alpha_X)_*A$ its direct image. Then $E = (\alpha_X)_*(mK_{X/Y} + L)$ with $L = A - mK_{X/Y} = A - mK_X$ nef. By results of Berndtsson-Păun on direct images, one can show that **det E is pseudoeffective**.

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Using arguments of [DPS95], one can infer that $E' = E \otimes (\det E)^{-1/r}$, $r = \text{rank}(E)$, is **numerically flat, hence a locally constant coefficient system** (Simpson, Deng Ya).

However, if $A \gg 0$, E provides equations of the fibers.



The simply connected case

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Theorem [Junyan Cao, Andreas Höring, 2 days ago!]

Let X be a projective manifold such that $-K_X$ is nef and $\pi_1(X) = 0$. Then $X = W \times Z$ with $K_W \sim 0$ and Z is a rationally connected manifold.

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Corollary [Junyan Cao, Andreas Höring]

Let X be a projective manifold such that $-K_X$ is nef. Then after replacing X with a finite étale cover, the Albanese map α_X is isotrivial and its fibers are of the form

$\prod S_j \times \prod Y_k \times \prod Z_\ell$ with S_j holomorphic symplectic, Y_k Calabi-Yau and Z_ℓ rationally connected.

Further problems (I)

Partly solved questions

- Develop further the theory of singular Calabi-Yau and singular holomorphic symplectic manifolds (work of Greb-Kebekus-Peternell).

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- The rest of T_X (slope < 0) should yield a general type orbifold quotient (according to conjectures of Campana).

Expected more general definition

A compact Kähler manifold X is a singular Calabi-Yau if X has a non singular model X' satisfying $\pi_1(X') = 0$ and $K_{X'} = E$ for an effective divisor E of numerical dimension 0, and $H^0(X', \Omega_{X'}^p) = 0$ for $0 < p < \dim X$.

Further problems (II)

Definition

A compact Kähler manifold $X = X^{2p}$ is a singular hyperkähler manifold if X has a non singular model X' satisfying $\pi_1(X') = 0$ and possessing a section $\sigma \in H^0(X', \Omega_{X'}^2)$ such that the zero divisor $E = \text{div}(\sigma^p)$ has numerical dimension 0 (so that $K_{X'} = E$ again).

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Conjecture (known by BDPP for X projective!)

Let X be compact Kähler, and let $X \rightarrow Y$ be the MRC fibration (after taking suitable blow-ups to make it a genuine morphism). Then K_Y is psef.

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Let X be compact Kähler, and let $X \rightarrow Y$ be the MRC fibration (after taking suitable blow-ups to make it a genuine morphism). Then K_Y is psef.

Proof ? Take the part of slope > 0 in the HN filtration of T_X , w.r.t. to classes in the dual of the psef cone, show that this corresponds to the MRC fibration, and apply duality.

Further problems (III)

An interesting class of manifolds is the larger class of compact Kähler manifolds such that

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They might possibly include all rationally connected varieties.

Thank you for your attention!

