



## General extension theorem for cohomology classes on non reduced analytic subspaces

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#### References

This is a joint work with Junyan Cao & Shin-ichi Matsumura

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### The extension problem

Let  $(X, \omega)$  be a possibly noncompact n-dimensional Kähler manifold,  $\mathcal{J} \subset \mathcal{O}_X$  a coherent ideal sheaf,  $Y = V(\mathcal{J})$  its zero variety and

$$\mathcal{O}_{\mathsf{Y}} = \mathcal{O}_{\mathsf{X}}/\mathcal{J}.$$

Here Y may be non reduced, i.e.  $\mathcal{O}_Y$  may have nilpotent elements.

Also, let  $(L, h_L)$  be a hermitian holomorphic line bundle on X, and

$$\Theta_{L,h_I} = i \, \partial \overline{\partial} \log h_I^{-1}$$

its curvature current (we allow singular metrics,  $h_L = e^{-\varphi}$ ,  $\varphi \in L^1_{loc}$ ,  $\Theta_{L,h_L}$  being computed in the sense of currents).

#### Question

Under which conditions on X,  $Y = V(\mathcal{J})$ ,  $(L, h_L)$  is  $H^q(X, K_X \otimes L) \to H^q(Y, (K_X \otimes L)_{|Y}) = H^q(X, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{O}_X/\mathcal{J})$ 

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a surjective restriction morphism?

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## A naive (non satisfactory) answer

Consider the exact sequence

$$0 o \mathcal{J} o \mathcal{O}_X o \mathcal{O}_X/\mathcal{J} o 0$$

twisted by  $\mathcal{O}_X(K_X \otimes L)$ , and the corresponding long exact sequence of cohomology groups

$$\cdots \to H^q(X, K_X \otimes L) \to H^q(X, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{O}_X/\mathcal{J})$$

$$\to H^{q+1}(X, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{J}) \ \cdots$$

It is therefore enough to have

$$H^{q+1}(X, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{J}) = 0.$$

In order to kill  $H^{q+1}$  it is enough to make a strict positivity (ampleness) assumption, by the Kodaira-Nakano / Nadel vanishing theorems. But we do not want to make such strong assumptions!

## Assumptions (1)

We assume X to be holomorphically convex. By the Cartan-Remmert theorem, this is the case iff X admits a proper holomorphic map  $p: X \to S$  only a Stein complex space S.

#### Observation: cohomology is then always Hausdorff

Let X be a holomorphically convex complex space and  $\mathcal{F}$  a coherent analytic sheaf over X. Then all cohomology groups  $H^q(X,\mathcal{F})$  are Hausdorff with respect to their natural topology (local uniform convergence of holomorphic Čech cochains)

**Proof.**  $H^q(X,\mathcal{F}) \simeq H^0(S,R^qp_*\mathcal{F})$  is a Fréchet space.

#### Corollary

To solve an equation  $\overline{\partial} u = v$  on a holomorphically convex manifold X, it is enough to solve it approximately:

$$\overline{\partial} u_{\varepsilon} = v + w_{\varepsilon}, \qquad w_{\varepsilon} \to 0 \text{ as } \varepsilon \to 0$$

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## Assumptions (2)

We assume that the subvariety  $Y \subset X$  is defined by

$$Y = V(\mathcal{I}(e^{-\psi})), \qquad \mathcal{O}_Y := \mathcal{O}_X/\mathcal{I}(e^{-\psi})$$

where  $\psi$  is a quasi-psh function with analytic singularities, i.e. locally on a neighborhood V of an arbitrary point  $x_0 \in X$  we have

$$\psi(z) = c \log \sum |g_j(z)|^2 + v(z), \quad g_j \in \mathcal{O}_X(V), \ c > 0, \ v \in C^\infty(V),$$

and  $\mathcal{I}(e^{-\psi})\subset\mathcal{O}_X$  is the multiplier ideal sheaf

$$\mathcal{I}(e^{-\psi})_{x_0} = \{ f \in \mathcal{O}_{X,x_0}; \ \exists U \ni x_0, \ \int_U |f|^2 e^{-\psi} d\lambda < +\infty \}$$

#### Claim (Nadel)

 $\mathcal{I}(e^{-\psi})$  is a coherent ideal sheaf.

Moreover  $\mathcal{I}(e^{-\psi})$  is always an integrally closed ideal.

Typical choice:  $\psi(z) = c \log |s(z)|_{h_E}^2$ , c > 0,  $s \in H^0(X, E)$ .

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### Log resolution / reduction to the divisorial case

The simplest case is when  $Y = \sum m_j Y_j$  is an effective simple normal crossing divisor and  $\mathcal{O}_Y = \mathcal{O}_X/\mathcal{O}_X(-Y)$ . We can then take

$$\psi(z) = \sum c_j \log |\sigma_{Y_j}|_{h_j}^2, \quad c_j > 0, \ \lfloor c_j \rfloor = m_j,$$

for some smooth hermitian metric  $h_i$  on  $\mathcal{O}_X(Y_i)$ . Then

$$\mathcal{I}(e^{-\psi}) = \mathcal{O}_X(-\sum m_j Y_j), \quad i\partial \overline{\partial} \psi = \sum c_j(2\pi [Y_j] - \Theta_{\mathcal{O}(Y_j),h_j})$$

The case of a higher codimensional multiplier ideal scheme  $\mathcal{I}(e^{-\psi})$  can easily be reduced to the divisorial case by using a suitable log resolution (a composition of blow ups, thanks to Hironaka's desingularization theorem).

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#### Main results

#### Theorem (JY. Cao, D-, S-i. Matsumura, January 2017)

Take  $(X, \omega)$  to be Kähler and holomorphically convex, and let  $(L, h_L)$  be a hermitian line bundle such that

(\*\*) 
$$\Theta_{L,h_l} + (1 + \alpha \delta)i\partial \overline{\partial}\psi \geq 0$$
 in the sense of currents

for some  $\delta(x)>0$  continuous and  $\alpha=0,1$ . Then: the morphism induced by the natural inclusion  $\mathcal{I}(h_L e^{-\psi}) \to \mathcal{I}(h_L)$ 

$$H^q(X, K_X \otimes L \otimes \mathcal{I}(h_L e^{-\psi})) o H^q(X, K_X \otimes L \otimes \mathcal{I}(h_L))$$

is injective for every  $q \geq 0$ , in other words, the sheaf morphism  $\mathcal{I}(h) \to \mathcal{I}(h_L)/\mathcal{I}(h_L e^{-\psi})$  yields a surjection

$$H^q(X, K_X \otimes L \otimes \mathcal{I}(h_L)) o H^q(X, K_X \otimes L \otimes \mathcal{I}(h_L)/\mathcal{I}(h_L e^{-\psi})).$$

#### Corollary (take $h_L$ smooth $\Rightarrow \mathcal{I}(h_L) = \mathcal{O}_X$ , and $Y = V(\mathcal{I}(e^{-\psi}))$

If  $h_L$  is smooth,  $\mathcal{O}_Y = \mathcal{O}_X/\mathcal{I}(e^{-\psi})$  and  $h_L$ ,  $\psi$  satisfy (\*\*), then  $H^q(X, K_X \otimes L) \to H^q(Y, (K_X \otimes L)_{|Y})$  is surjective.

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## Comments / algebraic consequences

The exact sequence  $0 \to \mathcal{I}(h_L e^{-\psi}) \to \mathcal{I}(h_L) \to \mathcal{I}(h_L)/\mathcal{I}(h_L e^{-\psi}) \to 0$  implies that both injectivity and surjectivity hold when

$$H^q(X, K_X \otimes L \otimes \mathcal{I}(h_L e^{-\psi}) = 0,$$

and for this it is enough to have a strict curvature assumption

(\*\*\*) 
$$\Theta_{L,h_l} + i\partial \overline{\partial} \psi \ge \delta \omega > 0$$
 in the sense of currents.

#### Corollary (purely algebraic)

Assume that X is projective (or that one has a projective morphism  $X \to S$  over an affine algebraic base S). Let  $Y = \sum m_j Y_j$  be an effective divisor and  $\mathcal{O}_Y = \mathcal{O}_X/\mathcal{O}_X(-Y)$ . If (as  $\mathbb{Q}$ -divisors)

$$(**) L - (1+\delta) \sum_{j} c_{j} Y_{j} = G_{\delta} + U_{\delta}, \quad \lfloor c_{j} \rfloor = m_{j}$$

with  $\delta=0$  or  $\delta_0\in\mathbb{Q}_+^*$ ,  $G_\delta$  semiample and  $U_\delta\in\operatorname{Pic}^0(X)$ , then

$$H^q(X, K_X \otimes L) \rightarrow H^q(Y, (K_X \otimes L)_{|Y})$$

is surjective.

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## Possible use for abundance by induction on $\dim X$ ?

For a line bundle L, one defines the Kodaira-litaka dimension  $\kappa(L) = \limsup_{m \to +\infty} \log \dim H^0(X, L^{\otimes m})/\log m$  and the numerical dimension  $\operatorname{nd}(L) = \max \operatorname{maximum}$  power of non zero positive intersection of a positive current  $T \in c_1(L)$ , if L is pseudo-effective, and  $\operatorname{nd}(L) = -\infty$  otherwise. They always satisfy

$$-\infty < \kappa(L) < \operatorname{nd}(L) < n = \dim X.$$

One says that L is abundant if  $\kappa(L) = \operatorname{nd}(L)$ . The abundance conjecture states that  $K_X$  is always abundant if X is nonsingular and projective (or even compact Kähler). More generally, it is expected that  $K_X + \Delta$  is abundant for every effective klt  $\mathbb{Q}$ -divisor  $\Delta$ .

When X is not uniruled, i.e.  $K_X$  is pseudoeffective, one can ask whether the following generalized abundance property holds true: let L be a line bundle such that  $L-\varepsilon K_X$  is pseudoeffective,  $0<\varepsilon\ll 1$ ; does there exist  $G\in \operatorname{Pic}^0(X)$  such that L+G is abundant ?

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# Twisted Bochner-Kodaira-Nakano inequality (Ohsawa-Takegoshi)

Let  $(X, \omega)$  be a Kähler manifold and let  $\eta$ ,  $\lambda > 0$  be smooth functions on X.

For every compacted supported section  $u \in \mathcal{C}_c^{\infty}(X, \Lambda^{p,q} T_X^* \otimes L)$  with values in a hermitian line bundle  $(L, h_L)$ , one has

$$\begin{split} \|(\eta+\lambda)^{\frac{1}{2}}\overline{\partial}^{*}u\|^{2} + \|\eta^{\frac{1}{2}}\overline{\partial}u\|^{2} + \|\lambda^{\frac{1}{2}}\partial u\|^{2} + 2\|\lambda^{-\frac{1}{2}}\partial\eta\wedge u\|^{2} \\ &\geq \int_{X} \langle B_{L,h_{L},\omega,\eta,\lambda}^{p,q}u,u\rangle dV_{X,\omega} \end{split}$$

where  $dV_{X,\omega}=\frac{1}{n!}\omega^n$  is the Kähler volume element and  $B_{L,h_L,\omega,\eta,\lambda}^{p,q}$  is the Hermitian operator on  $\Lambda^{p,q}T_X^*\otimes L$  such that

$$B_{L,h_{L},\omega,\eta,\lambda}^{p,q} = [\eta i\Theta_{L} - i \partial \overline{\partial} \eta - i\lambda^{-1}\partial \eta \wedge \overline{\partial} \eta , \Lambda_{\omega}].$$

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## Approximate solutions to $\overline{\partial}$ -equations

#### Main $L^2$ estimate

Let  $(X, \omega)$  be a Kähler manifold possessing a complete Kähler metric let  $(E, h_E)$  be a Hermitian vector bundle over X. Assume that  $B = B_{E,h,\omega,\eta,\lambda}^{n,q}$  satisfies  $B + \varepsilon \operatorname{Id} > 0$  for some  $\varepsilon > 0$  (so that B can be just semi-positive or even slightly negative).

Take a section  $v \in L^2(X, \Lambda^{n,q}T_X^* \otimes E)$  such that  $\overline{\partial}v = 0$  and

$$M(\varepsilon) := \int_X \langle (B + \varepsilon \operatorname{Id})^{-1} v, v \rangle dV_{X,\omega} < +\infty.$$

Then there exists an approximate solution  $u_{\varepsilon} \in L^2(X, \Lambda^{n,q-1}T_X^* \otimes E)$  and a correction term  $w_{\varepsilon} \in L^2(X, \Lambda^{n,q}T_X^* \otimes E)$  such that

$$\overline{\partial} u_{\varepsilon} = v + w_{\varepsilon}$$
 and

$$\int_X (\eta + \lambda)^{-1} |u_{\varepsilon}|^2 dV_{X,\omega} + \frac{1}{\varepsilon} \int_X |w_{\varepsilon}|^2 dV_{X,\omega} \leq M(\varepsilon).$$

## Proof: setting up the relevant $\overline{\partial}$ equation (1)

Every cohomology class in

$$H^q(X, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{I}(h_L)/\mathcal{I}(h_L e^{-\psi}))$$

is represented by a holomorphic Čech q-cocycle with respect to a Stein covering  $\mathcal{U}=(U_i)$ , say  $(c_{i_0...i_q})$ ,

$$c_{i_0...i_q} \in H^0(U_{i_0} \cap ... \cap U_{i_q}, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{I}(h_L)/\mathcal{I}(h_L e^{-\psi})).$$

By the standard sheaf theoretic isomorphism with Dolbeault cohomology, this class is represented by a smooth (n, q)-form

$$f = \sum_{i_0, \dots, i_q} c_{i_0 \dots i_q} \rho_{i_0} \overline{\partial} \rho_{i_1} \wedge \dots \overline{\partial} \rho_{i_q}$$

by means of a partition of unity  $(\rho_i)$  subordinate to  $(U_i)$ . This form is to be interpreted as a form on the (non reduced) analytic subvariety Y associated with the colon ideal sheaf  $\mathcal{J}=\mathcal{I}(he^{-\psi}):\mathcal{I}(h)$  and the structure sheaf  $\mathcal{O}_Y=\mathcal{O}_X/\mathcal{J}$ .

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## Proof: setting up the relevant $\overline{\partial}$ equation (2)

We get an extension of f as a smooth (no longer  $\overline{\partial}$ -closed) (n,q)-form on X by taking

$$\widetilde{f} = \sum_{i_0, \dots, i_q} \widetilde{c}_{i_0 \dots i_q} \rho_{i_0} \overline{\partial} \rho_{i_1} \wedge \dots \overline{\partial} \rho_{i_q}$$

where  $\widetilde{c}_{i_0...i_q}=$  extension of  $c_{i_0...i_q}$  from  $U_{i_0}\cap\ldots\cap U_{i_q}\cap Y$  to  $U_{i_0}\cap\ldots\cap U_{i_q}$ 



Now, truncate  $\widetilde{f}$  as  $\theta(\psi - t)\cdot\widetilde{f}$  on the green hollow tubular neighborhood, and solve an approximate  $\overline{\partial}$ -equation

$$(*) \overline{\partial} u_{t,\varepsilon} = \overline{\partial} (\theta(\psi - t) \cdot \widetilde{f}) + w_{t,\varepsilon}$$

## Proof: setting up the relevant $\overline{\partial}$ equation (3)

Here we have

$$\overline{\partial}(\theta(\psi - t) \cdot \widetilde{f}) = \theta'(\psi - t)\overline{\partial}\psi \wedge \widetilde{f} + \theta(\psi - t) \cdot \overline{\partial}\widetilde{f}$$

where the first term vanishes near Y and the second one is  $L^2$  with respect to  $h_L e^{-\psi}$  (as the image of  $\overline{\partial} \widetilde{f}$  in  $\mathcal{I}(h_L)/\mathcal{I}(h_L e^{-\psi})$  is  $\overline{\partial} f = 0$ ).

With ad hoc "twisting functions"  $\eta = \eta_t := 1 - \delta \chi_t(\psi)$ ,  $\lambda := \pi (1 + \delta^2 \psi^2)$  and a suitable adjustment  $\varepsilon = e^{(1+\beta)t}$ ,  $\beta \ll 1$ , we can find approximate  $L^2$  solutions of the  $\overline{\partial}$ -equation such that

$$\overline{\partial} u_{t,\varepsilon} = \overline{\partial} (\theta(\psi - t) \cdot \widetilde{f}) + w_{t,\varepsilon} , \qquad \int_{X} |u_{t,\varepsilon}|_{\omega,h_{L}}^{2} e^{-\psi} dV_{X,\omega} < +\infty$$

and

$$\lim_{t\to-\infty}\int_X|w_{t,\varepsilon}|_{\omega,h_L}^2e^{-\psi}dV_{X,\omega}=0.$$

The estimate on  $u_{t,\varepsilon}$  with respect to the weight  $h_L e^{-\psi}$  shows that  $\theta(\psi - t) \cdot \widetilde{f} - u_{t,\varepsilon}$  is an approximate extension of f.

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## Can one get estimates for the extension ?

The answer is yes if  $\psi$  is log canonical, namely  $\mathcal{I}(e^{-(1-\varepsilon)\psi})=\mathcal{O}_X$  for all  $\varepsilon>0$ .

#### Ohsawa's residue measure

If  $\psi$  is log canonical, one can also associate in a natural way a measure  $dV_{Y^\circ,\omega}[\psi]$  on the set  $Y^\circ$  of regular points of Y as follows. If  $g\in\mathcal{C}_c(Y^\circ)$  is a compactly supported continuous function on  $Y^\circ$  and  $\widetilde{g}$  a compactly supported extension of g to X, one sets

$$\int_{Y^{\circ}} g \, dV_{Y^{\circ},\omega}[\psi] = \lim_{t \to -\infty} \int_{\{x \in X, \ t < \psi(x) < t+1\}} \widetilde{g} e^{-\psi} \, dV_{X,\omega}$$

#### **Theorem**

If  $\psi$  is lc and the curvature hypothesis is satisfied, for any f in  $H^0(Y, K_X \otimes L \otimes \mathcal{I}(h_L)/\mathcal{I}(h_L e^{-\psi}))$  s.t.  $\int_{Y^{\circ}} |f|_{\omega,h_L}^2 dV_{Y^{\circ},\omega}[\psi] < +\infty$ , there exists  $\widetilde{f} \in H^0(X, K_X \otimes L \otimes \mathcal{I}(h_L))$  which extends f, such that

$$\int_X (1+\delta^2\psi^2)^{-1}e^{-\psi}|\widetilde{f}|_{\omega,h_L}^2dV_{X,\omega} \leq \frac{34}{\delta}\int_{Y^\circ}|f|_{\omega,h_L}^2dV_{Y^\circ,\omega}[\psi].$$

## Can one get estimates for the extension? (sequel)

If  $\psi$  is not log canonical, consider the "last jumps"  $m_{p-1} < m_p \le 1$  such that  $\mathcal{I}(h_L e^{-m_{p-1}\psi}) \supsetneq \mathcal{I}(h_L e^{-m_p\psi}) = \mathcal{I}(h_L e^{-\psi})$  and assume

$$f \in H^0(Y, K_X \otimes L \otimes \mathcal{I}(h_L e^{-m_{p-1}\psi})/\mathcal{I}(h_L e^{-m_p\psi})),$$

i.e., f vanishes just a little bit less than prescribed by the sheaf  $\mathcal{I}(h_L e^{-\psi})$ ). Then there is still a corresponding residue measure:

#### Higher multiplicity residue measure

If f is as above, and  $\widetilde{f}$  is a local extension, one can associate a higher multiplicity residue measure  $|f|^2 dV_{Y^\circ,\omega}[\psi]$  (formal notation) as follows. If  $g \in \mathcal{C}_c(Y^\circ)$  and  $\widetilde{g}$  a compactly supported extension of g to X, one sets

$$\int_{\mathsf{Y}^{\circ}} g \, |f|^2 dV_{\mathsf{Y}^{\circ},\omega}[\psi] = \lim_{t \to -\infty} \int_{\{x \in \mathsf{X} \,,\, t < \psi(x) < t+1\}} \widetilde{g} \, |\widetilde{f}|^2 e^{-m_p \psi} \, dV_{\mathsf{X},\omega}$$

Then a global extension  $\widetilde{f} \in H^0(X, K_X \otimes L \otimes \mathcal{I}(h_L e^{-m_{p-1}\psi}))$  exists, that satisfies the expected  $L^2$  estimate.

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## Special case / limitations of the $L^2$ estimates

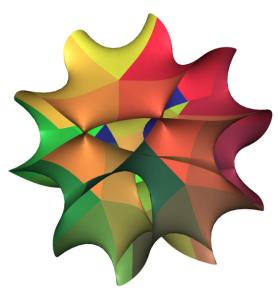
In the special case when  $\psi$  is given by  $\psi(z) = r \log |s(z)|_{h_E}^2$  for a section  $s \in H^0(X, E)$  generically transverse to the zero section of a rank r vector vector E on X, the subvariety  $Y = s^{-1}(0)$  has codimension r, and one can check easily that

$$dV_{Y^{\circ},\omega}[\psi] = \frac{dV_{Y^{\circ},\omega}}{|\Lambda^{r}(ds)|_{\omega,h_{E}}^{2}}.$$

Thus one sees that the residue measure takes into account in a very precise manner the singularities of Y. It may happen that  $dV_{Y^{\circ},\omega}[\psi]$  has infinite mass near the singularities of Y, as is the case when Y is a simple normal crossing divisor.

Therefore, sections  $s \in H^0(Y, (K_X \otimes L)_{|Y})$  may not be  $L^2$  with respect to  $dV_{Y^\circ,\omega}[\psi]$ , and the  $L^2$  estimate of the approximate extension can blow up as  $\varepsilon \to 0$ . The surprising fact is this is however sufficient to prove the qualitative extension theorem, but without any effective  $L^2$  estimate in the limit.

## Happy birthday Kang-Tae!



Thanks and congratulations to the organizers! Jisoo Byun, Hong Rae Cho, Sung-Yeon Kim, Kang-Hyurk Lee, Jong-Do Park

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