

# On the approximate cohomology of quasi holomorphic line bundles

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## Quasi holomorphic line bundles

Let  $X$  be a compact complex manifold, and let

$$H_{BC}^{p,q}(X, \mathbb{C}) = \frac{\text{Ker } \partial \cap \text{Ker } \bar{\partial}}{\text{Im } \partial \bar{\partial}} \quad \text{in bidegree } (p, q)$$

be the corresponding Bott-Chern cohomology groups.

Basic observation (cf. Laurent Laeng, PhD thesis 2002)

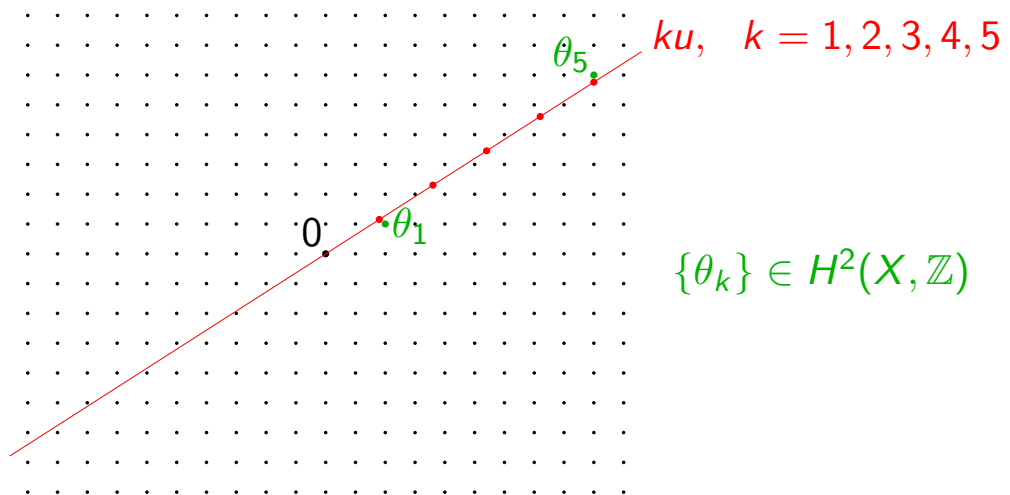
Given a class  $\gamma \in H_{BC}^{1,1}(X, \mathbb{R})$  and a  $(1, 1)$ -form  $u$  representing  $\gamma$ , there exists an infinite subset  $S \subset \mathbb{N}$  and  $C^\infty$  Hermitian line bundles  $(L_k, h_k)_{k \in S}$  equipped with Hermitian connections  $\nabla_k$ , such that the curvature 2-forms  $\theta_k = \frac{i}{2\pi} \nabla_k^2$  satisfy  $\theta_k = ku + \beta_k$  and

$$\beta_k = O(k^{-1/b_2}), \quad b_2 = b_2(X).$$

**Proof.** This is a consequence of Kronecker's approximation theorem applied to the lattice  $H^2(X, \mathbb{Z}) \hookrightarrow H_{DR}^2(X, \mathbb{R})$ .

In fact  $\beta_k$  can be chosen in a finite dimensional space of  $C^\infty$  closed 2-forms isomorphic to  $H_{DR}^2(X, \mathbb{R})$ .

# Approximate holomorphic structure



## Consequence

Let  $\nabla_k = \nabla_k^{1,0} + \nabla_k^{0,1}$ . Then  $\theta_k = ku + \beta_k$  implies

$$(\nabla_k^{0,1})^2 = \theta_k^{0,2} = \beta_k^{0,2} = O(k^{-1/b_2}).$$

Thus the  $L_k$  are “closer and closer” to be holomorphic as  $k \rightarrow +\infty$ .

# Spectrum of the Laplace-Beltrami operator

Let  $\bar{\square}_k = \bar{\partial}_k \bar{\partial}_k^* + \bar{\partial}_k^* \bar{\partial}_k$  be the complex Laplace-Beltrami operator of  $(L_k, h_k, \nabla_k)$  with respect to some Hermitian metric  $\omega$  on  $X$ .

Let  $\bar{\square}_{k,E}^{p,q}$  the operator acting on  $C^\infty(X, \Lambda^{p,q} T_X^* \otimes L_k \otimes E)$ , where  $(E, h_E)$  is a **holomorphic** Hermitian vector bundle of rank  $r$ .

We are interested in analyzing the (discrete) spectrum of the elliptic operator  $\bar{\square}_{k,E}^{p,q}$ . Since the curvature is  $\theta_k \simeq ku$ , it is better to renormalize and to consider instead  $\frac{1}{2\pi k} \bar{\square}_{k,E}^{p,q}$ . For  $\lambda \in \mathbb{R}$ , we define

$$N_k^{p,q}(\lambda) = \dim \bigoplus \text{eigenspaces of } \frac{1}{2\pi k} \bar{\square}_{k,E}^{p,q} \text{ of eigenvalues } \leq \lambda.$$

Let  $u_j(x)$ ,  $1 \leq j \leq n$ , be the eigenvalues of  $u(x)$  with respect to  $\omega(x)$  at any point  $x \in X$ , ordered so that if  $s = \text{rank}(u(x))$ , then  $|u_1(x)| \geq \dots \geq |u_s(x)| > |u_{s+1}(x)| = \dots = |u_n(x)| = 0$ .

For a multi-index  $J = \{j_1 < j_2 < \dots < j_q\} \subset \{1, \dots, n\}$ , set

$$u_J(x) = \sum_{j \in J} u_j(x), \quad x \in X.$$

# Fundamental spectral theory results

Consider the “spectral density functions”  $\nu_u, \bar{\nu}_u$  defined by

$$\left. \begin{aligned} \nu_u(\lambda) \\ \bar{\nu}_u(\lambda) \end{aligned} \right\} = \frac{2^{s-n} |u_1| \cdots |u_s|}{\Gamma(n-s+1)} \sum_{(p_1, \dots, p_s) \in \mathbb{N}^s} \left[ \lambda - \sum (2p_j + 1) |u_j| \right]_+^{n-s}.$$

(where  $0^0 = 0$  for  $\nu_u$ , resp.  $0^0 = 1$  for  $\bar{\nu}_u$ ).

## Theorem ([D] 1985)

The spectrum of  $\frac{1}{2\pi k} \bar{\square}_k^{p,q}$  on  $C^\infty(X, \Lambda^{p,q} T_X^* \otimes L_k \otimes E)$  has an asymptotic distribution of eigenvalues such that  $\forall \lambda \in \mathbb{R}$

$$\begin{aligned} r \binom{n}{p} \sum_{|J|=q} \int_X \nu_u(2\lambda + u_{\mathbb{C}J} - u_J) dV_\omega &\leq \liminf_{k \rightarrow +\infty} k^{-n} N_k^{p,q}(\lambda) \leq \\ &\leq \limsup_{k \rightarrow +\infty} k^{-n} N_k^{p,q}(\lambda) \leq r \binom{n}{p} \sum_{|J|=q} \int_X \bar{\nu}_u(2\lambda + u_{\mathbb{C}J} - u_J) dV_\omega \end{aligned}$$

where  $r = \text{rank}(E)$ . By monotonicity, as  $\bar{\nu}_u(\lambda) = \lim_{\lambda \rightarrow 0^+} \nu_u(\lambda)$ , all four terms are equal for  $\lambda \in \mathbb{R} \setminus \mathcal{D}$  with  $\mathcal{D}$  countable.

# Approximate cohomology lower bounds

**Proof.** One first estimates the spectrum of the total Laplacian  $\Delta_{k,E} = \nabla_{k,E} \nabla_{k,E}^* + \nabla_{k,E}^* \nabla_{k,E}$  (harmonic oscillator with magnetic and electric fields), and then one uses a Bochner formula to relate  $\bar{\square}_{k,E}$  and  $\Delta_{k,E}$  ( $\bar{\square}_{k,E} \simeq \frac{1}{2} \Delta_{k,E} + \text{curvature terms}$ ) for each  $(p, q)$ .

Important special case  $\lambda = 0$  (harmonic forms)

$$\sum_{|J|=q} \bar{\nu}_u(u_{\mathbb{C}J} - u_J) dV_\omega = (-1)^q \frac{u^n}{n!}.$$

## Corollary (Laurent Jaeng, 2002)

For  $\lambda_k \rightarrow 0$  slowly enough, i.e. with  $k^{2+2/b_2} \lambda_k \rightarrow +\infty$ , one has

$$\liminf_{k \rightarrow +\infty} k^{-n} N_{k,E}^{0,0}(\lambda_k) \geq \frac{r}{n!} \left( \int_{X(u,0)} u^n + \int_{X(u,1)} u^n \right) \quad \text{where}$$

$X(u, q) = q\text{-index set} = \{x \in X / u(x) \text{ has signature } (n-q, q)\}.$

# Proof of the lower bound

**Proof.** One uses the fact that for  $\delta' > \delta > 0$  and  $k \gg 1$ , the composition  $\Pi \circ \bar{\partial}_k$  with an eigenspace projection yields an injection

$$\bigoplus_{\lambda \in ]\lambda_k, \delta]} \text{eigenspace}_{\lambda}^{0,0} \hookrightarrow \bigoplus_{\lambda \in ]0, \delta']} \text{eigenspace}_{\lambda}^{0,1}.$$

In fact, in the holomorphic case  $\bar{\partial}_k^2 = 0$  implies  $\bar{\partial}_k \bar{\square}_k^{0,0} = \bar{\square}_k^{0,1} \bar{\partial}_k$ , hence  $\bar{\partial}_k$  maps the  $(0, 0)$ -eigenspaces to the  $(0, 1)$ -eigenspaces for the same eigenvalues, and one can even take  $\lambda_k = 0$ ,  $\delta' = \delta$ .

In the quasi holomorphic case  $\bar{\partial}_k^2 = O(k^{-1/b_2})$ , one can show that  $\bar{\square}_k^{0,1} \bar{\partial}_k - \bar{\partial}_k \bar{\square}_k^{0,0} = \bar{\partial}_k^* \bar{\partial}_k^2$  yields a small "deviation" of the eigenvalues to  $[\lambda_k - \varepsilon, \delta + \varepsilon]$  with  $\varepsilon < \min(\lambda_k, \delta' - \delta)$ , whence the injectivity.

This implies

$$N_{k,E}^{0,1}(\delta') \geq N_{k,E}^{0,0}(\delta) - N_{k,E}^{0,0}(\lambda_k)$$

thus

$$N_{k,E}^{0,0}(\lambda_k) \geq N_{k,E}^{0,0}(\delta) - N_{k,E}^{0,1}(\delta'), \quad \text{QED}$$

# Transcendental holomorphic Morse inequalities

## Conjecture on Morse inequalities

Let  $\gamma \in H_{BC}^{1,1}(X, \mathbb{R})$ . Then

$$\text{Vol}(\gamma) \geq \sup_{u \in \gamma, u \in C^\infty} \int_{X(u, \leq 1)} u^n.$$

(One could even suspect **equality**, an even stronger conjecture !).

If one sets by definition

$$\text{Vol}(\gamma) = \sup_{u \in \gamma} \lim_{\lambda \rightarrow 0^+} \liminf_{k \rightarrow +\infty} N_k^{0,0}(\lambda)$$

for the eigenspaces of the sequence  $(L_k, h_k, \nabla_k)$  approximating  $ku$ , then the above expected lower bound **is a theorem!**

There is however a stronger & more usual definition of the volume.

## Definition

For  $\gamma \in H_{BC}^{1,1}(X, \mathbb{R})$ , set  $\text{Vol}(\gamma) = 0$  if  $\gamma \notin$  any current  $T \geq 0$ ,

and otherwise set  $\text{Vol}(\gamma) = \sup_{T \in \gamma, T = u_0 + i\partial\bar{\partial}\varphi \geq 0} \int_X T_{ac}^n, u_0 \in C^\infty.$

## Transcendental holomorphic Morse inequalities (2)

The conjecture on Morse inequalities is known to be true when  $\gamma = c_1(L)$  is an integral class ([D-1985]). In fact, one then gets a Hermitian holomorphic line bundle  $(L, h)$  and its multiples  $L^{\otimes k}$ . The spectral estimates provide many holomorphic sections  $\sigma_{k,\ell}$ , and one gets positive currents right away by putting

$$T_k = \frac{i}{2k\pi} \partial\bar{\partial} \log \sum_{\ell} |\sigma_{k,\ell}|_h^2 + \frac{i}{2\pi} \Theta_{L,h} \geq 0$$

(the volume estimate can be derived from there by Fujita).

In the “quasi-holomorphic” case, one only gets eigenfunctions  $\sigma_{k,\ell}$  with small eigenvalues, and **the positivity of  $T_k$  is a priori lost**.

### Conjectural corollary (fundamental volume estimate)

Let  $X$  be compact Kähler,  $\dim X = n$ , and  $\alpha, \beta \in H^{1,1}(X, \mathbb{R})$  be nef cohomology classes. Then

$$\text{Vol}(\alpha - \beta) \geq \alpha^n - n\alpha^{n-1} \cdot \beta.$$

## Known results on holomorphic Morse inequalities

The conjectural corollary is derived from the main conjecture by an elementary symmetric function argument. In fact, one has a pointwise inequality of forms

$$\mathbf{1}_{X(\alpha-\beta, \leq 1)} (\alpha - \beta)^n \geq \alpha^n - n\alpha^{n-1} \cdot \beta.$$

Again, the corollary is known for  $\gamma = \alpha - \beta$  when  $\alpha, \beta$  are integral classes (by [D-1993] and independently [Trapani, 1993]).

Recently (2016), the volume estimate for  $\gamma = \alpha - \beta$  transcendental has been established by D. Witt-Nyström when  **$X$  is projective**, using deep facts on Monge-Ampère operators and upper envelopes.

Xiao and Popovici also proved in the Kähler case that

$$\alpha^n - n\alpha^{n-1} \cdot \beta > 0 \Rightarrow \text{Vol}(\alpha - \beta) > 0$$

and  $\alpha - \beta$  contains a Kähler current.

(The proof is short, once the Calabi-Yau theorem is taken for granted).

# Projective vs Kähler vs non Kähler varieties

**Problem.** Investigate positivity for general compact manifolds/ $\mathbb{C}$ . Obviously, non projective varieties do not carry any **ample line bundle**. In the Kähler case, a Kähler class  $\{\omega\} \in H^{1,1}(X, \mathbb{R})$ ,  $\omega > 0$ , may sometimes be used as a substitute for a polarization. What for non Kähler compact complex manifolds?

## Surprising facts (?)

- Every compact complex manifold  $X$  carries a “**very ample**” **complex Hilbert bundle**, produced by means of a natural Bergman space construction.
- The curvature of this bundle is **strongly positive in the sense of Nakano**, and is given by a universal formula.

In the sequel of this lecture, we aim to investigate this construction and look for potential applications, especially to transcendental holomorphic Morse inequalities ...

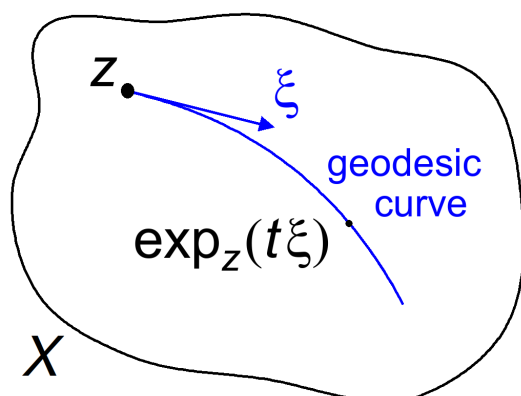
## Tubular neighborhoods (thanks to Grauert)

Let  $X$  be a compact complex manifold,  $\dim_{\mathbb{C}} X = n$ .

Denote by  $\bar{X}$  its complex conjugate  $(X, -J)$ , so that  $\mathcal{O}_{\bar{X}} = \overline{\mathcal{O}_X}$ .

The diagonal of  $X \times \bar{X}$  is totally real, and by Grauert, we know that it possesses a fundamental system of Stein tubular neighborhoods.

Assume that  $X$  is equipped with a real analytic hermitian metric  $\gamma$ , and let  $\exp : T_X \rightarrow X \times X$ ,  $(z, \xi) \mapsto (z, \exp_z(\xi))$ ,  $z \in X$ ,  $\xi \in T_{X,z}$  be the associated geodesic exponential map.



# Exponential map diffeomorphism and its inverse

## Lemma

Denote by **exph** the “holomorphic” part of  $\exp$ , so that for  $z \in X$  and  $\xi \in T_{X,z}$

$$\exp_z(\xi) = \sum_{\alpha, \beta \in \mathbb{N}^n} a_{\alpha\beta}(z) \xi^\alpha \bar{\xi}^\beta, \quad \text{exph}_z(\xi) = \sum_{\alpha \in \mathbb{N}^n} a_{\alpha 0}(z) \xi^\alpha.$$

Then  $d_\xi \exp_z(\xi)|_{\xi=0} = d_\xi \text{exph}_z(\xi)|_{\xi=0} = \text{Id}_{T_X}$ , and so  $\text{exph}$  is a diffeomorphism from a neighborhood  $V$  of the 0 section of  $T_X$  to a neighborhood  $V'$  of the diagonal in  $X \times X$ .

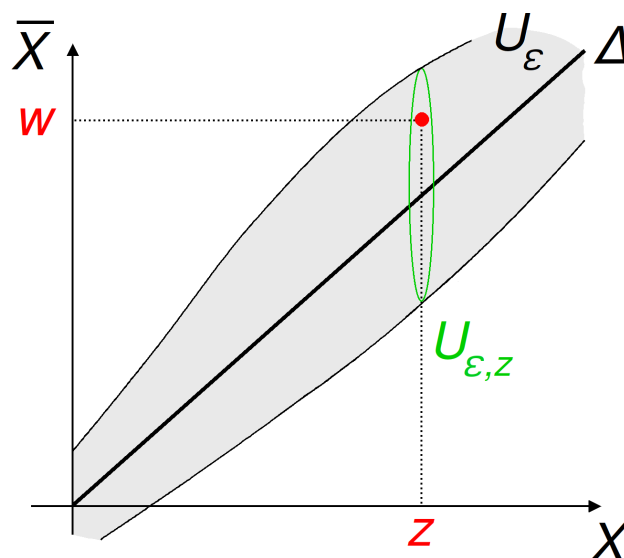
## Notation

With the identification  $\bar{X} \simeq_{\text{diff}} X$ , let  $\text{logh} : X \times \bar{X} \supset V' \rightarrow T_{\bar{X}}$  be the inverse diffeomorphism of  $\text{exph}$  and

$$U_\varepsilon = \{(z, w) \in V' \subset X \times \bar{X}; |\text{logh}_z(w)|_\gamma < \varepsilon\}, \quad \varepsilon > 0.$$

Then, for  $\varepsilon \ll 1$ ,  $U_\varepsilon$  is Stein and  $\text{pr}_1 : U_\varepsilon \rightarrow X$  is a **real analytic locally trivial bundle** with fibers biholomorphic to complex balls.

## Such tubular neighborhoods are Stein



In the special case  $X = \mathbb{C}^n$ ,  $U_\varepsilon = \{(z, w) \in \mathbb{C}^n \times \mathbb{C}^n; |\bar{z} - w| < \varepsilon\}$ . It is of course Stein since

$$|\bar{z} - w|^2 = |z|^2 + |w|^2 - 2 \operatorname{Re} \sum z_j w_j$$

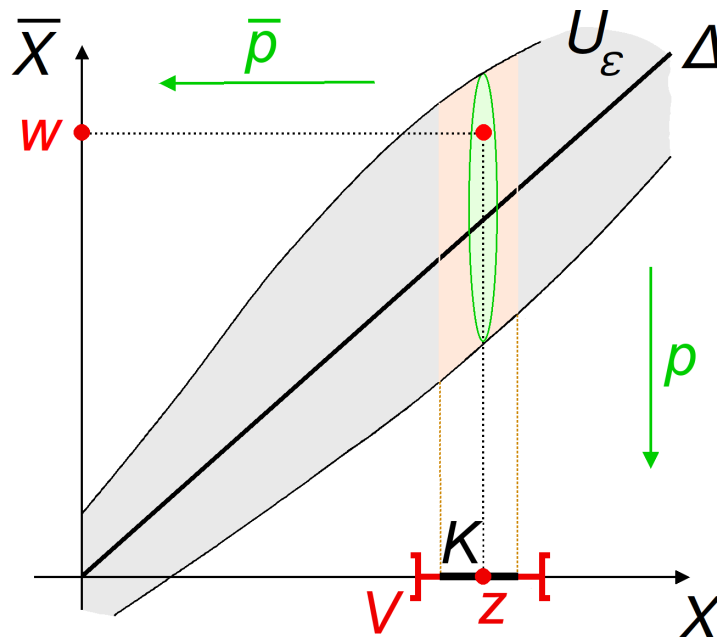
and  $(z, w) \mapsto \operatorname{Re} \sum z_j w_j$  is pluriharmonic.

# Bergman sheaves

Let  $U_\varepsilon = U_{\gamma,\varepsilon} \subset X \times \bar{X}$  be the ball bundle as above, and

$$p = (\text{pr}_1)|_{U_\varepsilon} : U_\varepsilon \rightarrow X, \quad \bar{p} = (\text{pr}_2)|_{U_\varepsilon} : U_\varepsilon \rightarrow \bar{X}$$

the natural projections.



## Bergman sheaves (continued)

### Definition of the Bergman sheaf $\mathcal{B}_\varepsilon$

The Bergman sheaf  $\mathcal{B}_\varepsilon = \mathcal{B}_{\gamma,\varepsilon}$  is by definition the  $L^2$  direct image

$$\mathcal{B}_\varepsilon = p_*^{L^2}(\bar{p}^* \mathcal{O}(K_{\bar{X}})),$$

i.e. the space of sections over an open subset  $V \subset X$  defined by  $\mathcal{B}_\varepsilon(V) =$  holomorphic sections  $f$  of  $\bar{p}^* \mathcal{O}(K_{\bar{X}})$  on  $p^{-1}(V)$ ,

$$f(z, w) = f_1(z, w) dw_1 \wedge \dots \wedge dw_n, \quad z \in V,$$

that are in  $L^2(p^{-1}(K))$  for all compact subsets  $K \Subset V$  :

$$\int_{p^{-1}(K)} i^{n^2} f(z, w) \wedge \overline{f(z, w)} \wedge \gamma(z)^n < +\infty, \quad \forall K \Subset V.$$

(This  $L^2$  condition is the reason we speak of “ $L^2$  direct image”).

Clearly,  $\mathcal{B}_\varepsilon$  is an  $\mathcal{O}_X$ -module over  $X$ , but since it is a space of functions in  $w$ , it is of infinite rank.



## Definition of the associated Bergman bundle $B_\varepsilon$

We consider the vector bundle  $B_\varepsilon \rightarrow X$  whose fiber  $B_{\varepsilon, z_0}$  consists of all holomorphic functions  $f$  on  $p^{-1}(z_0) \subset U_\varepsilon$  such that

$$\|f(z_0)\|^2 = \int_{p^{-1}(z_0)} i^{n^2} f(z_0, w) \wedge \overline{f(z_0, w)} < +\infty.$$

Then  $B_\varepsilon$  is a **real analytic** locally trivial Hilbert bundle whose fiber  $B_{\varepsilon, z_0}$  is isomorphic to the Hardy-Bergman space  $\mathcal{H}^2(B(0, \varepsilon))$  of  $L^2$  holomorphic  $n$ -forms on  $p^{-1}(z_0) \simeq B(0, \varepsilon) \subset \mathbb{C}^n$ .

The Ohsawa-Takegoshi extension theorem implies that every  $f \in B_{\varepsilon, z_0}$  can be extended as a germ  $\tilde{f}$  in the sheaf  $\mathcal{B}_{\varepsilon, z_0}$ .

Moreover, for  $\varepsilon' > \varepsilon$ , there is a restriction map  $\mathcal{B}_{\varepsilon', z_0} \rightarrow \mathcal{B}_{\varepsilon, z_0}$  such that  $B_{\varepsilon, z_0}$  is the  $L^2$  completion of  $\mathcal{B}_{\varepsilon', z_0} / \mathfrak{m}_{z_0} \mathcal{B}_{\varepsilon', z_0}$ .

## Question

Is there a “complex structure” on  $B_\varepsilon$  such that “ $\mathcal{B}_\varepsilon = \mathcal{O}(B_\varepsilon)$ ” ?

## Bergman Dolbeault complex

For this, consider the “Bergman Dolbeault” complex  $\bar{\partial} : \mathcal{F}_\varepsilon^q \rightarrow \mathcal{F}_\varepsilon^{q+1}$  over  $X$ , with  $\mathcal{F}_\varepsilon^q(V) = \text{smooth } (n, q)\text{-forms}$

$$f(z, w) = \sum_{|J|=q} f_J(z, w) dw_1 \wedge \dots \wedge dw_n \wedge d\bar{z}_J, \quad (z, w) \in U_\varepsilon \cap (V \times \bar{X}),$$

such that  $f_J(z, w)$  is holomorphic in  $w$ , and for all  $K \Subset V$  one has

$$f(z, w) \in L^2(p^{-1}(K)) \quad \text{and} \quad \bar{\partial}_z f(z, w) \in L^2(p^{-1}(K)).$$

An immediate consequence of this definition is:

## Proposition

$\bar{\partial} = \bar{\partial}_z$  yields a complex of sheaves  $(\mathcal{F}_\varepsilon^\bullet, \bar{\partial})$ , and the kernel  $\text{Ker } \bar{\partial} : \mathcal{F}_\varepsilon^0 \rightarrow \mathcal{F}_\varepsilon^1$  coincides with  $\mathcal{B}_\varepsilon$ .

If we define  $\mathcal{O}_{L^2}(B_\varepsilon)$  to be the sheaf of  $L^2_{\text{loc}}$  sections  $f$  of  $B_\varepsilon$  such that  $\bar{\partial}f = 0$  in the sense of distributions, then we exactly have  $\mathcal{O}_{L^2}(B_\varepsilon) = \mathcal{B}_\varepsilon$  as a sheaf.

# Bergman sheaves are “very ample”

## Theorem

Assume that  $\varepsilon > 0$  is taken so small that  $\psi(z, w) := |\log h_z(w)|^2$  is strictly plurisubharmonic up to the boundary on the compact set  $\overline{U_\varepsilon} \subset X \times \overline{X}$ . Then the complex of sheaves  $(\mathcal{F}_\varepsilon^\bullet, \overline{\partial})$  is a resolution of  $\mathcal{B}_\varepsilon$  by soft sheaves over  $X$  (actually, by  $\mathcal{C}_X^\infty$ -modules), and for every holomorphic vector bundle  $E \rightarrow X$  we have

$$H^q(X, \mathcal{B}_\varepsilon \otimes \mathcal{O}(E)) = H^q(\Gamma(X, \mathcal{F}_\varepsilon^\bullet \otimes \mathcal{O}(E)), \overline{\partial}) = 0, \quad \forall q \geq 1.$$

Moreover the fibers  $B_{\varepsilon, z} \otimes E_z$  are always generated by global sections of  $H^0(X, \mathcal{B}_\varepsilon \otimes \mathcal{O}(E))$ .

In that sense,  $B_\varepsilon$  is a “very ample holomorphic vector bundle” (as a Hilbert bundle of infinite dimension).

The proof is a direct consequence of Hörmander’s  $L^2$  estimates.

## Caution !!

$B_\varepsilon$  is **NOT** a locally trivial *holomorphic* bundle.

# Embedding into a Hilbert Grassmannian

## Corollary of the very ampleness of Bergman sheaves

Let  $X$  be an arbitrary compact complex manifold,  $E \rightarrow X$  a holomorphic vector bundle (e.g. the trivial bundle). Consider the Hilbert space  $\mathbb{H} = H^0(X, \mathcal{B}_\varepsilon \otimes \mathcal{O}(E))$ . Then one gets a “holomorphic embedding” into a Hilbert Grassmannian,

$$\Psi : X \rightarrow \text{Gr}(\mathbb{H}), \quad z \mapsto S_z,$$

mapping every point  $z \in X$  to the infinite codimensional closed subspace  $S_z$  consisting of sections  $f \in \mathbb{H}$  such that  $f(z) = 0$  in  $B_{\varepsilon, z}$ , i.e.  $f|_{p^{-1}(z)} = 0$ .

The main problem with this “holomorphic embedding” is that the holomorphicity is to be understood in a weak sense, for instance the map  $\Psi$  is not even continuous with respect to the strong metric topology of  $\text{Gr}(\mathbb{H})$ , given by  $d(S, S') =$  Hausdorff distance of the unit balls of  $S, S'$ .

# Chern connection of Bergman bundles

Since we have a natural  $\nabla^{0,1} = \bar{\partial}$  connection on  $B_\varepsilon$ , and a natural hermitian metric as well, it follows from the usual formalism that  $B_\varepsilon$  can be equipped with a **unique Chern connection**.

**Model case:**  $X = \mathbb{C}^n$ ,  $\gamma =$  **standard hermitian metric**.

Then one sees that a orthonormal frame of  $B_\varepsilon$  is given by

$$e_\alpha(z, w) = \pi^{-n/2} \varepsilon^{-|\alpha|-n} \sqrt{\frac{(|\alpha| + n)!}{\alpha_1! \dots \alpha_n!}} (w - \bar{z})^\alpha, \quad \alpha \in \mathbb{N}^n.$$

This frame is non holomorphic! The  $(0, 1)$ -connection  $\nabla^{0,1} = \bar{\partial}$  is given by

$$\nabla^{0,1} e_\alpha = \bar{\partial}_z e_\alpha(z, w) = \varepsilon^{-1} \sum_{1 \leq j \leq n} \sqrt{\alpha_j (|\alpha| + n)} d\bar{z}_j \otimes e_{\alpha - c_j}$$

where  $c_j = (0, \dots, 1, \dots, 0) \in \mathbb{N}^n$ .

# Curvature of Bergman bundles

Let  $\Theta_{B_\varepsilon, h} = \nabla^2$  be the curvature tensor of  $B_\varepsilon$  with its natural Hilbertian metric  $h$ . Remember that

$$\Theta_{B_\varepsilon, h} = \nabla^{1,0} \nabla^{0,1} + \nabla^{0,1} \nabla^{1,0} \in C^\infty(X, \Lambda^{1,1} T_X^* \otimes \text{Hom}(B_\varepsilon, B_\varepsilon)),$$

and that one gets an associated quadratic Hermitian form on  $T_X \otimes B_\varepsilon$  such that

$$\tilde{\Theta}_\varepsilon(v \otimes \xi) = \langle \Theta_{B_\varepsilon, h} \sigma(v, Jv) \xi, \xi \rangle_h$$

for  $v \in T_X$  and  $\xi = \sum_\alpha \xi_\alpha e_\alpha \in B_\varepsilon$ .

## Definition

One says that the curvature tensor is **Griffiths positive** if

$$\tilde{\Theta}_\varepsilon(v \otimes \xi) > 0, \quad \forall 0 \neq v \in T_X, \quad \forall 0 \neq \xi \in B_\varepsilon,$$

and **Nakano positive** if

$$\tilde{\Theta}_\varepsilon(\tau) > 0, \quad \forall 0 \neq \tau \in T_X \otimes B_\varepsilon.$$

# Calculation of the curvature tensor for $X = \mathbb{C}^n$

A simple calculation of  $\nabla^2$  in the orthonormal frame  $(e_\alpha)$  leads to:

## Formula

In the model case  $X = \mathbb{C}^n$ , the curvature tensor of the Bergman bundle  $(B_\varepsilon, h)$  is given by

$$\tilde{\Theta}_\varepsilon(v \otimes \xi) = \varepsilon^{-2} \sum_{\alpha \in \mathbb{N}^n} \left( \left| \sum_j \sqrt{\alpha_j} \xi_{\alpha - c_j} v_j \right|^2 + \sum_j (|\alpha| + n) |\xi_\alpha|^2 |v_j|^2 \right).$$

## Consequence

In  $\mathbb{C}^n$ , the curvature tensor  $\Theta_\varepsilon(v \otimes \xi)$  is Nakano positive.

One should observe that  $\tilde{\Theta}_\varepsilon(v \otimes \xi)$  is an **unbounded** quadratic form on  $B_\varepsilon$  with respect to the standard metric  $\|\xi\|^2 = \sum_\alpha |\xi_\alpha|^2$ .

However there is convergence for all  $\xi = \sum_\alpha \xi_\alpha e_\alpha \in B_{\varepsilon'}$ ,  $\varepsilon' > \varepsilon$ , since then  $\sum_\alpha (\varepsilon'/\varepsilon)^{2|\alpha|} |\xi_\alpha|^2 < +\infty$ .

# Curvature of Bergman bundles (general case)

## Bergman curvature formula on a general hermitian manifold

Let  $X$  be a compact complex manifold equipped with a  $C^\omega$  hermitian metric  $\gamma$ , and  $B_\varepsilon = B_{\gamma, \varepsilon}$  the associated Bergman bundle.

Then its curvature is given by an asymptotic expansion

$$\tilde{\Theta}_\varepsilon(z, v \otimes \xi) = \sum_{p=0}^{+\infty} \varepsilon^{-2+p} Q_p(z, v \otimes \xi), \quad v \in T_X, \quad \xi \in B_\varepsilon$$

where  $Q_0(z, v \otimes \xi) = Q_0(v \otimes \xi)$  is given by the model case  $\mathbb{C}^n$ :

$$Q_0(v \otimes \xi) = \varepsilon^{-2} \sum_{\alpha \in \mathbb{N}^n} \left( \left| \sum_j \sqrt{\alpha_j} \xi_{\alpha - c_j} v_j \right|^2 + \sum_j (|\alpha| + n) |\xi_\alpha|^2 |v_j|^2 \right).$$

The other terms  $Q_p(z, v \otimes \xi)$  are real analytic;  $Q_1$  and  $Q_2$  depend respectively on the torsion and curvature tensor of  $\gamma$ .

In particular  $Q_1 = 0$  if  $\gamma$  is Kähler.

A consequence of the above formula is that  $B_\varepsilon$  is strongly Nakano positive for  $\varepsilon > 0$  small enough.

# Idea of proof of the asymptotic expansion

The formula is in principle a special case of a more general result proved by Wang Xu, expressing the curvature of **weighted Bergman bundles**  $\mathcal{H}_t$  attached to a **smooth family**  $\{D_t\}$  of **strongly pseudoconvex domains**.

Wang's formula is however in integral form and not completely explicit.

Here, one simply uses the real analytic Taylor expansion of  $\text{logh} : X \times \bar{X} \rightarrow T_X$  (inverse diffeomorphism of  $\text{exp}_h$ )

$$\begin{aligned} \text{logh}_z(w) &= w - \bar{z} + \sum z_j a_j(w - \bar{z}) + \sum \bar{z}_j a'_j(w - \bar{z}) \\ &\quad + \sum z_j z_k b_{jk}(w - \bar{z}) + \sum \bar{z}_j \bar{z}_k b'_{jk}(w - \bar{z}) \\ &\quad + \sum z_j \bar{z}_k c_{jk}(w - \bar{z}) + O(|z|^3), \end{aligned}$$

which is used to compute the difference with the model case  $\mathbb{C}^n$ , for which  $\text{logh}_z(w) = w - \bar{z}$ .

## Back to holomorphic Morse inequalities

**Idea for the general case.** Let  $\gamma \in H_{\text{BC}}^{1,1}(X, \mathbb{R})$  and  $u \in \gamma$  a smooth form. As we have seen, one can find a sequence of Hermitian line bundles  $(L_k, h_k, \nabla_k)$  such that

$$\theta_k = \frac{i}{2\pi} \nabla_k^2 = ku + \beta_k, \quad \beta_k = O(k^{-1/b_2}).$$

Then  $d\theta_k = 0 \Rightarrow \bar{\partial}\beta_k^{0,2} = 0$ , and as  $U_\varepsilon$  is Stein,  $\text{pr}_1^* \beta_k^{0,2} = \bar{\partial}\eta_k$  with a  $C^\infty(0,1)$ -form  $\eta_k = O(k^{-1/b_2})$ . This shows that  $\tilde{L}_k := \text{pr}_1^* L_k$  becomes a **holomorphic line bundle** when equipped with the connection

$\tilde{\nabla}_k = \text{pr}_1^* \nabla_k - \eta_k$ , which has a curvature form

$\Theta_{\tilde{L}_k, \tilde{\nabla}_k} = k \text{pr}_1^* u + O(k^{-1/b_2})$ . Two possibilities emerge:

- correct the small eigenvalue eigenfunctions  $\text{pr}_1^* \sigma_{k,\ell}$  given by Laeng's method to actually get holomorphic sections of  $\tilde{L}_k$  on  $U_\varepsilon$ .
- directly deal with the Hilbert Dolbeault complex of  $(\text{pr}_1)_*^L(\mathcal{O}_{U_\varepsilon}(\tilde{L}_k))$ , and **use Bergman estimates instead of dimension counts in Morse inequalities**.

# Other potential target: invariance of plurigenera for polarized families of compact Kähler manifolds?

## Conjecture

Let  $\pi : \mathcal{X} \rightarrow S$  be a proper holomorphic map defining a family of smooth compact Kähler manifolds over an irreducible base  $S$ . Assume that the family **admits a polarization**, i.e. a closed smooth  $(1, 1)$ -form  $\omega$  such that  $\omega|_{X_t}$  is positive definite on each fiber  $X_t := \pi^{-1}(t)$ . Then the plurigenera

$$p_m(X_t) = h^0(X_t, mK_{X_t}) \text{ are independent of } t \text{ for all } m \geq 0.$$

The conjecture is known to be true for a **projective family**  $\mathcal{X} \rightarrow S$ :

- Siu and Kawamata (1998) in the case of varieties of **general type**
- Siu (2000) and Păun (2004) in the arbitrary projective case

The proof is based on an iterated application of the **Ohsawa-Takegoshi  $L^2$  extension theorem** w.r.t. an ample line bundle  $\mathcal{A}$  on  $\mathcal{X}$ : **replace  $\mathcal{A}$  by a Bergman bundle in the Kähler case ?**

The end

# Thank you for your attention

