

# Variational approach for complex Monge-Ampère equations and geometric applications

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March 19, 2016  
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## Abstract and goals

- Recent work by **Berman, Berndtsson, Boucksom, Eyssidieux, Guedj, Jonsson, Zeriahi** (among others) leads to a new variational approach for the solution of Monge-Ampère equations on compact Kähler manifolds.
- The method can be made independent of the previous PDE technicalities of Yau's approach.
- It is based on the study of certain functionals (Ding-Tian, Mabuchi) on the space of Kähler metrics, and their geodesic convexity due to X.X. Chen and Berman-Berndtsson in its full generality.
- Applications include the existence and uniqueness of Kähler-Einstein metrics on  $\mathbb{Q}$ -Fano varieties with log terminal singularities, and a new proof by Berman-Boucksom-Jonsson of a uniform version of the Yau-Tian-Donaldson conjecture solved around 2013 by Chen-Donaldson-Sun.

To a Kähler metric on a compact complex  $n$  fold  $X$

$$\omega = i \sum_{1 \leq j, k \leq n} \omega_{jk}(z) dz_j \wedge d\bar{z}_k, \quad d\omega = 0$$

one associates its **Ricci curvature form**

$$\text{Ricci}(\omega) = \Theta_{\Lambda^n T_X, \Lambda^n \omega} = -dd^c \log \det(\omega_{jk})$$

where  $d^c = \frac{1}{4i\pi}(\partial - \bar{\partial})$ ,  $dd^c = \frac{i}{2\pi}\partial\bar{\partial}$ . The Kähler metric  $\omega$  is said to be **Kähler-Einstein** if

$$(*) \quad \text{Ricci}(\omega) = \lambda\omega \quad \text{for some } \lambda \in \mathbb{R}.$$

This requires  $\lambda\omega \in c_1(X)$ , hence  $(*)$  can be solved only when  $c_1(X)$  is positive definite, negative definite or zero, and after rescaling  $\omega$  by a constant, one can always assume that  $\lambda \in \{0, 1, -1\}$ .

## Kähler-Einstein $\iff$ Monge-Ampère equation (1)

Fix a reference Kähler metric  $\omega_0$  and put  $\omega = \omega_0 + dd^c\varphi$ . The KE condition  $(*)$  is equivalent to

$$(**) \quad (\omega_0 + dd^c\varphi)^n = e^{-\lambda\varphi + f} \omega_0^n.$$

- When  $\lambda = -1$  and  $c_1(X) < 0$ , i.e.  $c_1(K_X) > 0$ , Aubin has shown in 1978 that there is always a unique solution, hence a unique Kähler metric  $\omega \in c_1(K_X)$  such that

$$\text{Ricci}(\omega) = -\omega.$$

This is a very natural generalization of the existence of constant curvature metrics on complex algebraic curves, implied by Poincaré's uniformization theorem in dimension 1.

- For  $\lambda = 0$  and  $c_1(X) = 0$ , a celebrated result of Yau (solution of the Calabi conjecture, 1978) states that there exists a unique metric  $\omega = \omega_0 + dd^c\varphi$  in the given cohomology class  $\{\omega_0\}$  such that  $\text{Ricci}(\omega) = 0$ . Moreover, the Monge-Ampère equation

$$(\omega_0 + dd^c\varphi)^n = e^f \omega_0^n$$

has a unique solution whenever  $\int_X e^f \omega_0^n = \int_X \omega_0^n$ . Equivalently, the Ricci curvature form can be prescribed to be equal any given smooth closed  $(1, 1)$ -form

$$\text{Ricci}(\omega) = \rho,$$

provided that  $\rho \in c_1(X)$ .

## The case of Fano manifolds

For  $\lambda = +1$ , the equation to solve is

$$(**) \quad (\omega_0 + dd^c\varphi)^n = e^{-\varphi+f} \omega_0^n.$$

This is possible only if  $-K_X (= \Lambda^n T_X)$  is ample. One then says that  $X$  is a **Fano manifold**.

When solutions exist, it is known by Bando Mabuchi (1987) that they are unique up to the action of the identity component  $\text{Aut}^0(X)$  in the complex Lie group of biholomorphisms of  $X$ .

### Berman-Boucksom-Jonsson 2015

Let  $X$  be a Fano manifold with finite automorphism group. Then  $X$  admits a Kähler-Einstein metric if and only if it is **uniformly K-stable**.

Recently, Chen, Donaldson and Sun got this result under the more general assumption that  $X$  is **K-stable** (Bourbaki/Ph. Eyssidieux, january 2015).

# The case of log Fano varieties

## Definition

A **log Fano pair** is a klt pair  $(X, \Delta)$  such that  $X$  is projective and the  $\mathbb{Q}$ -divisor  $A = -(K_X + \Delta)$  is ample.

Here  $X$  is a normal compact complex variety  $X$  and  $\Delta$  an effective  $\mathbb{Q}$ -divisor such that  $K_X + \Delta$  is  $\mathbb{Q}$ -Cartier. By Hironaka, there exists a **log resolution**  $\pi : \tilde{X} \rightarrow X$  of  $(X, \Delta)$ , i.e. a modification of  $X$  over the complement of the singular loci of  $X$  and  $\Delta$ , such that the pull-back of  $\Delta$  and of  $X_{\text{sing}}$  consists of simple normal crossing (snc) divisors in  $\tilde{X}$ . One writes

$$\pi^*(K_X + \Delta) = K_{\tilde{X}} + E, \quad E = \sum_j a_j E_j$$

for some  $\mathbb{Q}$ -divisor  $E$  whose push-forward to  $X$  is  $\Delta$  (since  $X_{\text{sing}}$  has codimension 2, the components  $E_j$  that lie over  $X_{\text{sing}}$  yield  $\pi_* E_j = 0$ ). The coefficient  $-a_j \in \mathbb{Q}$  is known as the **discrepancy** of  $(X, \Delta)$  along  $E_j$ .

# The klt condition ("Kawamata Log Terminal")

## Definition

$(X, \Delta)$  is klt if  $a_j < 1$  for all  $j$ .

Let  $r$  be a positive integer such that  $r(K_X + \Delta)$  is Cartier, and  $\sigma$  a local generator of  $\mathcal{O}(r(K_X + \Delta))$  on some open set  $U \subset X$ . Then the  $(n, n)$  form

$$|\sigma|^{2/r} := i^{n^2} \sigma^{1/r} \wedge \overline{\sigma^{1/r}}$$

is a volume form with poles along  $S = \text{Supp } \Delta \cup X_{\text{sing}}$ .

By the change of variable formula, the local integrability can be checked by pulling back  $\sigma$  to  $\tilde{X}$ , in which case it is easily seen that the integrability occurs if and only if  $a_j < 1$  for all  $j$ , i.e. when  $(X, \Delta)$  is klt. In local coordinates

$$|\sigma|^{2/r} \sim \frac{\text{volume form}}{\prod |z_j|^{2a_j}}.$$

# Singular Monge-Ampère equation

By definition  $(X, \Delta)$  log Fano  $\implies c_1(X, \Delta) \ni \omega_0$  Kähler.

Every form  $\omega = \omega_0 + dd^c\psi \in \{\omega_0\}$  can be seen as the curvature form of a smooth hermitian metric  $h$  on  $\mathcal{O}(-(K_X + \Delta))$ , whose weight is  $\phi = u_0 + \psi$  where  $u_0$  is a local potential of  $\omega_0$ , hence

$$\omega = \omega_0 + dd^c\psi = dd^c\phi$$

where  $\phi$  is understood as the weight of a global metric formally denoted  $h = e^{-\phi}$  on the  $\mathbb{Q}$ -line bundle  $\mathcal{O}(-(K_X + \Delta))$ .

The inverse  $e^\phi$  is a hermitian metric on  $\mathcal{O}(K_X + \Delta)$ . If  $\sigma$  is a local generator of  $\mathcal{O}(r(K_X + \Delta))$ , the product  $|\sigma|^{2/r} e^\phi = e^{\psi+u_0}$  is (locally) a smooth positive function whenever  $\psi$  is smooth, hence

$$e^{-\phi} = |\sigma|^{2/r} e^{-(\psi+u_0)}$$

is an integrable volume form on  $X$  with poles along

$S := \text{Supp } \Delta \cup \{\text{singularities}\}$ . The KE condition can be rewritten

$$(dd^c\phi)^n = c e^{-\phi} \quad \text{on } X \setminus S \Leftrightarrow \text{Ricci}(\omega) = \omega + [\Delta].$$

## The space of Kähler metrics

Let  $A \in H_{\partial\bar{\partial}}^{1,1}(X, \mathbb{R})$  be a Kähler  $\partial\bar{\partial}$ -cohomology class, and let

$$\omega_0 = \alpha + dd^c\psi_0 = dd^c\phi_0 \in A$$

be a Kähler metric.

Here we are mostly interested in the Fano case  $A = -K_X$  and the log Fano case  $A = -(K_X + \Delta)$ . Let  $V = \int_X \omega_0^n = A^n$  be the volume of  $\omega_0$ .

### Definition

The space  $\mathcal{K}_A$  of Kähler metrics (resp.  $\mathcal{P}_A$  of Kähler potentials) is the set of Kähler metrics  $\omega$  (resp. functions  $\psi$ ) such that

$$\omega = \omega_0 + dd^c\psi > 0.$$

Here  $\phi = u_0 + \psi$  is thought intrinsically as a hermitian metric  $h = e^{-\phi}$  on  $A$  with strictly plurisubharmonic (psh) weight  $\phi$ .

Clearly  $\mathcal{K}_A \simeq \mathcal{P}_A/\mathbb{R}$ .

# The Riemannian structure on $\mathcal{P}_A$

The basic operator of interest on  $\mathcal{P}_A$  is the **Monge-Ampère operator**

$$\mathcal{P}_A \rightarrow \mathcal{M}_+, \quad \text{MA}(\phi) = (dd^c \phi)^n = (\omega_0 + dd^c \psi)^n$$

According to Mabuchi the space  $\mathcal{P}_A$  can be seen as some sort of infinite dimensional Riemannian manifold: a “tangent vector” to  $\mathcal{P}_A$  is an infinitesimal variation  $\delta\phi \in C^\infty(X, \mathbb{R})$  of  $\phi$  (or  $\psi$ ), and the infinitesimal Riemannian metric at a point  $h = e^{-\phi}$  is given by

$$\|\delta\phi\|_2^2 = \frac{1}{V} \int_X (\delta\phi)^2 \text{MA}(\phi).$$

X.X. Chen and his collaborators have studied the metric and geometric properties of the space  $\mathcal{P}_A$ , showing in particular that it is a path metric space (a non trivial assertion in this infinite dimensional setting) of nonpositive curvature in the sense of Alexandrov. A key step has been to produce almost  $C^{1,1}$ -geodesics which minimize the geodesic distance.

## Basic functionals (1)

Given  $\phi_0, \phi \in \mathcal{P}_A$ , one defines:

- The **Monge-Ampère functional**

$$\begin{aligned} E_{\phi_0}(\phi) &= \frac{1}{(n+1)V} \sum_{j=0}^n \int_X (\phi - \phi_0) (dd^c \phi)^j \wedge (dd^c \phi_0)^{n-j} \\ (***) \quad &= \frac{1}{(n+1)V} \sum_{j=0}^n \int_X \psi (\omega_0 + dd^c \psi)^j \wedge \omega_0^{n-j}, \quad \psi = \phi - \phi_0. \end{aligned}$$

It is a **primitive** of the Monge-Ampère operator in the sense that  $dE_{\phi_0}(\phi) = \frac{1}{V} \text{MA}(\phi)$ , i.e. for any path  $[T, T'] \ni t \mapsto \phi_t$ , one has

$$\frac{d}{dt} E_{\phi_0}(\phi_t) = \frac{1}{V} \int_X \dot{\phi}_t \text{MA}(\phi_t) \quad \text{where } \dot{\phi}_t = \frac{d}{dt} \phi_t.$$

This is easily checked by a differentiation under the integral sign.

As a consequence  $E$  satisfies the **cocycle relation**

$$E_{\phi_0}(\phi_1) + E_{\phi_1}(\phi_2) = E_{\phi_0}(\phi_2),$$

so its dependence on  $\phi_0$  is only up to a constant.

Finally, if  $\phi_t$  depends linearly on  $t$ , one has  $\ddot{\phi}_t = \frac{d^2}{dt^2}\phi_t = 0$  and a further differentiation of (\*\*\*) yields

$$\begin{aligned} \frac{d^2}{dt^2} E_{\phi_0}(\phi_t) &= \frac{n}{V} \int_X \dot{\phi}_t dd^c \dot{\phi}_t \wedge (dd^c \phi_t)^{n-1} \\ &= -\frac{n}{V} \int_X d\dot{\phi}_t \wedge d^c \dot{\phi}_t \wedge (dd^c \phi_t)^{n-1} \leq 0. \end{aligned}$$

It follows that  $E_{\phi_0}$  is **concave** on  $\mathcal{P}_A$ .

## The $J$ and $J^*$ functionals

- The concavity of  $E$  implies the nonnegativity of  $J_{\phi_0}(\phi) := dE_{\phi_0}(\phi_0) \cdot (\phi - \phi_0) - E_{\phi_0}(\phi)$ . This quantity is called the Aubin  **$J$ -energy** functional

$$J_{\phi_0}(\phi) = V^{-1} \int_X (\phi - \phi_0)(dd^c \phi_0)^n - E_{\phi_0}(\phi) \geq 0.$$

- By exchanging the roles of  $\phi$ ,  $\phi_0$  and putting  $J_{\phi_0}^*(\phi) = J_{\phi}(\phi_0) \geq 0$ , the cocycle relation for  $E$  yields  $E_{\phi}(-\phi_0) = -E_{\phi_0}(\phi)$ . The **transposed  $J$ -energy functional** is

$$\begin{aligned} J_{\phi_0}^*(\phi) &:= E_{\phi_0}(\phi) - V^{-1} \int_X (\phi - \phi_0)(dd^c \phi)^n \\ &= E_{\phi_0}(\phi) - V^{-1} \int_X \psi(\omega_0 + dd^c \psi)^n \geq 0, \quad \psi = \phi - \phi_0. \end{aligned}$$

# The symmetric / functional

- The **/-functional** is the symmetric functional defined by

$$I_{\phi_0}(\phi) = I_{\phi}(\phi_0) := -\frac{1}{V} \int_X (\phi - \phi_0) (\text{MA}(\phi) - \text{MA}(\phi_0))$$

$$= \sum_{j=0}^{n-1} V^{-1} \int_X d(\phi - \phi_0) \wedge d^c(\phi - \phi_0) \wedge (dd^c \phi)^j \wedge (dd^c \phi_0)^{n-1-j} \geq 0.$$

In fact  $I_{\phi_0}(\phi) = J_{\phi_0}(\phi) + J_{\phi_0}^*(\phi)$ , and one can also write

$$I_{\phi_0}(\phi) = V^{-1} \left( \int_X \psi \omega_0^n - \int_X \psi (\omega_0 + dd^c \psi)^n \right).$$

It satisfies the **quasi-triangle inequality**:  $\exists c_n > 0$  s.t.

$$I_{\phi_0}(\phi) \leq c_n (I_{\phi_0}(\phi_1) + I_{\phi_1}(\phi)). \quad \forall \phi_0, \phi_1, \phi \in \mathcal{P}_A.$$

# The Ding and Mabuchi functionals (1)

- In the Fano or log Fano setting, the **Ding functional** is defined by

$$D_{\phi_0} = L - L(\phi_0) - E_{\phi_0}, \quad \text{where } L(\phi) = -\log \int_X e^{-\phi}.$$

Recall:  $e^{-\phi}$  is integrable by the klt condition.

- Given probability measures  $\mu, \nu$  on a space  $X$ , the **relative entropy**  $\text{Entr}_{\mu}(\nu) \in [0, +\infty]$  of  $\nu$  with respect to  $\mu$  is defined as the integral

$$\text{Entr}_{\mu}(\nu) := \int_X \log \left( \frac{d\nu}{d\mu} \right) d\nu,$$

if  $\nu$  is absolutely continuous w.r.t.  $\mu$ ;  $\text{Entr}_{\mu}(\nu) = +\infty$  otherwise.

**Pinsker inequality**: for all proba measures  $\mu, \nu$  one has

$$\text{Entr}_{\mu}(\nu) \geq \frac{1}{2} \|\mu - \nu\|^2 \geq 0.$$

In particular,  $\mu = \nu \iff \text{Entr}_{\mu}(\nu) = 0$ .



## The Ding and Mabuchi functionals (2)

In the Fano or log Fano situation, the **entropy functional**  $H_{\phi_0}(\phi)$  is defined to be the entropy of the probability measure  $\frac{1}{V}(dd^c\phi)^n$  with respect to  $e^{L(\phi_0)}e^{-\phi_0}$ , namely

$$H_{\phi_0}(\phi) = \int_X \log \left( \frac{(dd^c\phi)^n / V}{e^{L(\phi_0)}e^{-\phi_0}} \right) \frac{(dd^c\phi)^n}{V} \geq 0.$$

- The **Mabuchi functional** is then defined by

$$M_{\phi_0} = H_{\phi_0} - J_{\phi_0}^*.$$

One gets the more explicit expression

$$M_{\phi_0}(\phi) = \int_X \log \left( \frac{e^\phi (dd^c\phi)^n}{V} \right) \frac{(dd^c\phi)^n}{V} - E_{\phi_0}(\phi) - L(\phi_0).$$

## Comparison properties

### Observation

If  $c$  is a constant, then

$$E_{\phi_0}(\phi + c) = E_{\phi_0}(\phi) + c \quad \text{and} \quad L(\phi + c) = L(\phi) + c.$$

On the other hand, the functionals  $I_{\phi_0}, J_{\phi_0}, J_{\phi_0}^*, D_{\phi_0}, H_{\phi_0}, M_{\phi_0}$  are invariant by  $\phi \mapsto \phi + c$  and therefore descend to the quotient space  $\mathcal{K}_A = \mathcal{P}_A / \mathbb{R}$  of Kähler metrics  $\omega = dd^c\phi \in A$ .

### Comparison between $I, J, J^*$

The functionals  $I, J, J^*$  are essentially growth equivalent:

$$\frac{1}{n} J_{\phi_0}(\phi_0) \leq J_{\phi_0}(\phi) \leq \frac{n+1}{n} J_{\phi_0}(\phi) \leq I_{\phi_0}(\phi) \leq (n+1) J_{\phi_0}(\phi).$$

# Comparison between Ding and Mabuchi functionals

## Proposition

Let  $(X, \Delta)$  be a log Fano manifold. Then  $M_{\phi_0}(\phi) \geq D_{\phi_0}(\phi)$  and, in case of equality,  $\phi$  must be Kähler-Einstein.

*Proof.* From the definitions one gets

$$M - D = (H - J^*)(L - L(\phi_0) - E),$$

$$E_{\phi_0}(\phi) - J_{\phi_0}^*(\phi) = V^{-1} \int_X (\phi - \phi_0)(dd^c \phi)^n,$$

$$\begin{aligned} M_{\phi_0}(\phi) - D_{\phi_0}(\phi) &= \int_X \left( \log \left( \frac{(dd^c \phi)^n / V}{e^{L(\phi_0)} e^{-\phi_0}} \right) + (\phi - \phi_0) \right) \frac{(dd^c \phi)^n}{V} + L(\phi_0) - L(\phi) \\ &= \int_X \log \left( \frac{(dd^c \phi)^n / V}{e^{L(\phi)} e^{-\phi}} \right) \frac{(dd^c \phi)^n}{V} \geq 0. \end{aligned}$$

In case of equality, Pinsker implies KE condition:  $\frac{(dd^c \phi)^n}{V} = e^{L(\phi)} e^{-\phi}$

## Non pluripolar products

- **Bedford-Taylor Monge-Ampère products** : for  $u_j \in L_{\text{loc}}^\infty$ , one sets inductively

$$dd^c u_1 \wedge dd^c u_2 \wedge \dots \wedge dd^c u_k := dd^c(u_1 dd^c u_2 \wedge \dots \wedge dd^c u_k)$$

- **Non pluripolar products (Guedj-Zeriahi)**

Let  $\mathcal{P}(X, \omega_0)$  be the set of  $\omega_0$ -psh potentials, i.e.  $\phi = \phi_0 + \psi$  such that  $dd^c \phi = \omega_0 + dd^c \psi \geq 0$ .

The functions  $\psi_\nu := \max\{\psi, -\nu\}$  are again  $\omega_0$ -psh and bounded for all  $\nu \in \mathbb{N}$ . The Monge-Ampère measures  $(\omega_0 + dd^c \psi_\nu)^n$  are therefore well-defined in the sense of Bedford-Taylor, and one defines for any bidegree  $(p, p)$  a positive current

$$T = \langle (\omega_1 + dd^c \psi_1) \wedge \dots \wedge (\omega_p + dd^c \psi_p) \rangle = \lim_{\nu \rightarrow +\infty}$$

$$\mathbf{1}_{\{\psi_j > -\nu\}} (\omega_1 + dd^c \max\{\psi_1, -\nu\}) \wedge \dots \wedge (\omega_p + dd^c \max\{\psi_p, -\nu\})$$

**Basic fact:**  $T$  is still closed [Proof uses ideas of Skoda & Sibony].

# Space of potentials of finite energy

One introduces for any  $p \in [1, +\infty[$  the space

$$\mathcal{E}^p(X, \omega_0) := \left\{ \phi = \phi_0 + \psi; \int_X |\psi|^p \text{MA}(\omega_0 + dd^c \psi) < +\infty \right\},$$

and  $\int_X \text{MA}(\omega_0 + dd^c \psi) = \int_X \omega_0^n$  ("full non pluripolar mass"). One says that functions  $\psi \in \mathcal{E}^p(X, \omega_0)$  have *finite  $\mathcal{E}^p$ -energy*. One also denotes by

$$\mathcal{T}^p(X, \omega_0) \subset \mathcal{T}_{\text{full}}^p(X, \omega_0)$$

the corresponding set of *currents with finite  $\mathcal{E}^p$ -energy*, which can be identified with the quotient space

$$\mathcal{T}^p(X, \omega_0) = \mathcal{E}^p(X, \omega_0) / \mathbb{R} \quad \text{via} \quad \phi \mapsto dd^c \phi = \omega_0 + dd^c \psi.$$

It is important to note that  $\mathcal{T}^p(X, \omega_0)$  is **not a closed subset** of  $\mathcal{T}(X, \omega_0)$  for the weak topology.

## Finite energy extension of the functionals

### Finite energy extension of the functionals

All functionals  $E, L, I, J, J^*, D, H, M$  have a natural extension to arguments  $\phi, \phi_0 \in \mathcal{E}^1(X, \omega_0)$ , and  $I, J, J^*, D, H, M$  descend to  $\mathcal{T}^1(X, \omega_0) = \mathcal{E}^1(X, \omega_0) / \mathbb{R}$ .

### Theorem (BBGZ)

The map  $T = \omega_0 + dd^c \psi \mapsto V^{-1} \langle T^n \rangle$  is a bijection between  $\mathcal{T}^1(X, \omega_0)$  and the space of probability measures  $\mathcal{M}^1(X, \omega_0)$  of finite energy.

Here one uses the **Legendre-Fenchel transform**

$$E_0^*(\mu) := \sup_{\phi = \phi_0 + \psi \in \mathcal{E}^1(X, \omega_0)} \left( E_0(\psi) - \int_X \psi \mu \right) \in [0, +\infty]$$

where  $E_0(\psi) = E_{\phi_0}(\phi_0 + \psi)$ , and  $\mu$  has **finite energy** if  $E_0^*(\mu) < +\infty$ .

# Sufficient conditions for existence of KE metrics

## Theorem (BBEGZ)

For a current  $\omega = dd^c \phi \in \mathcal{T}^1(X, A)$ , the following conditions are equivalent.

- (i)  $\omega$  is a Kähler-Einstein metric for  $(X, \Delta)$ .
- (ii) The Ding functional reaches its infimum at  $\phi$  :  
$$D_{\phi_0}(\phi) = \inf_{\mathcal{E}^1(X, A)/\mathbb{R}} D_{\phi_0}.$$
- (iii) The Mabuchi functional reaches its infimum at  $\phi$  :  
$$M_{\phi_0}(\phi) = \inf_{\mathcal{E}^1(X, A)/\mathbb{R}} M_{\phi_0}.$$

## Corollary (BBEGZ)

Let  $X$  be a  $\mathbb{Q}$ -Fano variety with log terminal singularities.

- (i) The identity component  $\text{Aut}^0(X)$  of the automorphism group of  $X$  acts transitively on the set of KE metrics on  $X$ ,
- (ii) If the Mabuchi functional of  $X$  is proper, then  $\text{Aut}^0(X) = \{1\}$  and  $X$  admits a unique Kähler-Einstein metric.

# Test configurations

## Definition

A test configuration  $(\mathcal{X}, \mathcal{A})$  for a  $(\mathbb{Q}$ -)polarized projective variety  $(X, A)$  consists of the following data :

- (i) a flat and proper morphism  $\pi : \mathcal{X} \rightarrow \mathbb{C}$  of algebraic varieties; one denotes by  $X_t = \pi^{-1}(t)$  the fiber over  $t \in \mathbb{C}$ .
- (ii) a  $\mathbb{C}^*$ -action on  $\mathcal{X}$  lifting the canonical action on  $\mathbb{C}$ ;
- (iii) an isomorphism  $X_1 \simeq X$ .
- (iv) a  $\mathbb{C}^*$ -linearized ample line bundle  $\mathcal{A}$  on  $\mathcal{X}$ ; one puts  $A_t = \mathcal{A}|_{X_t}$ .
- (v) an isomorphism  $(X_1, A_1) \simeq (X, A)$  extending the one in (iii).

$K$  stability (and uniform  $K$ -stability) is defined in terms of certain numerical invariants attached to arbitrary test configurations.

# Donaldson-Futaki invariants

## Donaldson-Futaki invariant

Let  $N_m = h^0(X, mA)$  and  $w_m \in \mathbb{Z}$  be the weight of the  $\mathbb{C}^*$ -action on the determinant  $\det H^0(\mathcal{X}_0, mA_0)$ . Then there is an asymptotic expansion

$$\frac{w_m}{mN_m} = F_0 + m^{-1}F_1 + m^{-2}F_2 + \dots$$

and one defines  $DF(\mathcal{X}, \mathcal{A}) := -2F_1$ .

## Definition

The polarized variety  $(X, A)$  is said K-stable if  $DF(\mathcal{X}, \mathcal{A}) \geq 0$  for all normal test configurations, with equality iff  $(\mathcal{X}, \mathcal{A})$  is trivial.

## Generalized Yau-Tian-Donaldson conjecture

Let  $(X, A)$  be a polarized variety. Then  $X$  admits a cscK metric (short hand for Kähler metric with **constant scalar curvature**)  $\omega \in c_1(A)$  if and only if  $(X, A)$  is K-stable.

# Uniform K-stability

The Duistermaat-Heckman measure  $DH_{(X,A)}$  is the proba distribution measure of the  $\mathbb{C}^*$ -action weights:

$$DH_{(X,A)} = \lim_{m \rightarrow \infty} \sum_{\lambda \in \mathbb{Z}} \frac{\dim H^0(X, mA)_\lambda}{\dim H^0(X, mA)} \delta_{\lambda/m}, \quad \delta_p := \text{Dirac at } p,$$

where  $H^0(X, mA) = \bigoplus_{\lambda \in \mathbb{Z}} H^0(X, mA)_\lambda$  is the weight space decomposition. For each  $p \in [1, \infty]$ , the  $L^p$ -norm  $\|(\mathcal{X}, \mathcal{A})\|_p$  of an ample test configuration  $(\mathcal{X}, \mathcal{A})$  is defined as the  $L^p$  norm

$$\|(\mathcal{X}, \mathcal{A})\|_p = \left( \int_{\mathbb{R}} |\lambda - b(\mu)|^p d\mu(\lambda) \right)^{1/p}, \quad b(\mu) = \int_{\mathbb{R}} \lambda d\mu(\lambda).$$

## Definition (Székelyhidi)

The polarized variety  $(X, A)$  is said to be  **$L^p$ -uniformly K-stable** if there exists  $\delta > 0$  such that  $DF(\mathcal{X}, \mathcal{A}) \geq \delta \|(\mathcal{X}, \mathcal{A})\|_p$  for all normal test configurations. [Note: only possible if  $p < \frac{n}{n-1}$ .]

Berman-Boucksom-Jonsson 2015

Let  $X$  be a Fano manifold with finite automorphism group. Then  $X$  admits a Kähler-Einstein metric if and only if it is **uniformly K-stable** (in a related and simpler “non archimedean” sense).

Let  $A = -K_X$ . A ray  $(\phi_t)_{t \geq 0}$  in  $\mathcal{P}_A$  corresponds to an  $S^1$ -invariant metric  $\Phi$  on the pull-back of  $-K_X$  to the product of  $X$  with the punctured unit disc  $\mathbb{D}^*$ . The ray is called **subgeodesic** when  $\Phi$  is plurisubharmonic (psh for short). Denoting by  $F$  any of the functionals  $M, D$  or  $J$ , there is a limit

$$\lim_{t \rightarrow +\infty} \frac{F(\phi_t)}{t} = F^{\text{NA}}(\mathcal{X}, \mathcal{A})$$

Here  $F^{\text{NA}}$  can be seen as the corresponding “non-Archimedean” functional.