

# PROOF OF THE KOBAYASHI CONJECTURE ON THE HYPERBOLICITY OF VERY GENERAL HYPERSURFACES

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ABSTRACT. The Green-Griffiths-Lang conjecture stipulates that for every projective variety  $X$  of general type over  $\mathbb{C}$ , there exists a proper algebraic subvariety of  $X$  containing all non constant entire curves  $f : \mathbb{C} \rightarrow X$ . Using the formalism of directed varieties, we prove here that this assertion holds true in case  $X$  satisfies a strong general type condition that is related to a certain jet-semistability property of the tangent bundle  $T_X$ . We then use this fact to confirm a long-standing conjecture of Kobayashi (1970), according to which a very general algebraic hypersurface of dimension  $n$  and degree at least  $2n + 2$  in the complex projective space  $\mathbb{P}^{n+1}$  is hyperbolic.

*dedicated to the memory of Salah Baouendi*

## 0. INTRODUCTION

The goal of this paper, among other results, is to prove the long standing conjecture of Kobayashi [Kob70, Kob78], according to which a very general algebraic hypersurface of dimension  $n$  and degree  $d \geq 2n + 2$  in complex projective space  $\mathbb{P}^{n+1}$  is Kobayashi hyperbolic. It is expected that the bound can be improved to  $2n + 1$  for  $n \geq 2$ , and such a bound would be optimal by Zaidenberg [Zai87], but we cannot yet prove this. Siu [Siu02, Siu04, Siu12] has introduced a more explicit but more computationally involved approach that yields the same conclusion for  $d \geq d_n$ , with a very large bound  $d_n$  instead of  $2n + 2$ . However, thanks to famous results of Clemens [Cle86], Ein [Ein88, Ein91] and Voisin [Voi96, Voi98], it was known that the bound  $2n + 2$  would be a consequence of the Green-Griffiths-Lang conjecture on entire curve loci, cf. [GG79] and [Lan86]. Our technique consists in studying a generalized form of the GGL conjecture, and proving a special case that is strong enough to imply the Kobayashi conjecture, using e.g. [Voi96]. For this purpose, as was already observed in [Dem97], it is useful to work in the category of directed projective varieties, and to take into account the singularities that may appear in the directed structures, at all steps of the proof.

Since the basic problems we deal with are birationally invariant, the varieties under consideration can always be replaced by nonsingular models. A directed projective manifold is a pair  $(X, V)$  where  $X$  is a projective manifold equipped with an analytic linear subspace  $V \subset T_X$ , i.e. a closed irreducible complex analytic subset  $V$  of the total space of  $T_X$ , such that each fiber  $V_x = V \cap T_{X,x}$  is a complex vector space [If  $X$  is not irreducible,  $V$  should rather be assumed to be irreducible merely over each component of  $X$ , but we will hereafter assume that our varieties are irreducible]. A morphism  $\Phi : (X, V) \rightarrow (Y, W)$  in the category of directed manifolds is an analytic map  $\Phi : X \rightarrow Y$  such that  $\Phi_* V \subset W$ . We refer to the case  $V = T_X$  as being the *absolute case*, and to the case  $V = T_{X/S} = \text{Ker } d\pi$  for a fibration  $\pi : X \rightarrow S$ , as being the *relative case*;  $V$  may also be taken to be the tangent space to the

leaves of a singular analytic foliation on  $X$ , or maybe even a non integrable linear subspace of  $T_X$ .

We are especially interested in *entire curves* that are tangent to  $V$ , namely non constant holomorphic morphisms  $f : (\mathbb{C}, T_{\mathbb{C}}) \rightarrow (X, V)$  of directed manifolds. In the absolute case, these are just arbitrary entire curves  $f : \mathbb{C} \rightarrow X$ . The Green-Griffiths-Lang conjecture, in its strong form, stipulates

**0.1. GGL conjecture.** *Let  $X$  be a projective variety of general type. Then there exists a proper algebraic variety  $Y \subsetneq X$  such that every entire curve  $f : \mathbb{C} \rightarrow X$  satisfies  $f(\mathbb{C}) \subset Y$ .*

[The weaker form would state that entire curves are algebraically degenerate, so that  $f(\mathbb{C}) \subset Y_f \subsetneq X$  where  $Y_f$  might depend on  $f$ ]. The smallest admissible algebraic set  $Y \subset X$  is by definition the *entire curve locus* of  $X$ , defined as the Zariski closure

$$(0.2) \quad \text{ECL}(X) = \overline{\bigcup_f f(\mathbb{C})}^{\text{Zar}}.$$

If  $X \subset \mathbb{P}_{\mathbb{C}}^N$  is defined over a number field  $\mathbb{K}_0$  (i.e. by polynomial equations with coefficients in  $\mathbb{K}_0$ ) and  $Y = \text{ECL}(X)$ , it is expected that for every number field  $\mathbb{K} \supset \mathbb{K}_0$  the set of  $\mathbb{K}$ -points in  $X(\mathbb{K}) \setminus Y$  is finite, and that this property characterizes  $\text{ECL}(X)$  as the smallest algebraic subset  $Y$  of  $X$  that has the above property for all  $\mathbb{K}$  ([Lan86]). This conjectural arithmetical statement would be a vast generalization of the Mordell-Faltings theorem, and is one of the strong motivations to study the geometric GGL conjecture as a first step.

**0.3. Problem (generalized GGL conjecture).** *Let  $(X, V)$  be a projective directed manifold. Find geometric conditions on  $V$  ensuring that all entire curves  $f : (\mathbb{C}, T_{\mathbb{C}}) \rightarrow (X, V)$  are contained in a proper algebraic subvariety  $Y \subsetneq X$ . Does this hold when  $(X, V)$  is of general type, in the sense that the canonical sheaf  $K_V$  is big ?*

As above, we define the entire curve locus set of a pair  $(X, V)$  to be the smallest admissible algebraic set  $Y \subset X$  in the above problem, i.e.

$$(0.4) \quad \text{ECL}(X, V) = \overline{\bigcup_{f:(\mathbb{C}, T_{\mathbb{C}}) \rightarrow (X, V)} f(\mathbb{C})}^{\text{Zar}}.$$

We say that  $(X, V)$  is *Brody hyperbolic* if  $\text{ECL}(X, V) = \emptyset$ ; as is well-known, this is equivalent to Kobayashi hyperbolicity whenever  $X$  is compact.

In case  $V$  has no singularities, the *canonical sheaf*  $K_V$  is defined to be  $(\det \mathcal{O}(V))^*$  where  $\mathcal{O}(V)$  is the sheaf of holomorphic sections of  $V$ , but in general this naive definition would not work. Take for instance a generic pencil of elliptic curves  $\lambda P(z) + \mu Q(z) = 0$  of degree 3 in  $\mathbb{P}_{\mathbb{C}}^2$ , and the linear space  $V$  consisting of the tangents to the fibers of the rational map  $\mathbb{P}_{\mathbb{C}}^2 \dashrightarrow \mathbb{P}_{\mathbb{C}}^1$  defined by  $z \mapsto Q(z)/P(z)$ . Then  $V$  is given by

$$0 \longrightarrow \mathcal{O}(V) \longrightarrow \mathcal{O}(T_{\mathbb{P}_{\mathbb{C}}^2}) \xrightarrow{\text{Pd}Q - QdP} \mathcal{O}_{\mathbb{P}_{\mathbb{C}}^2}(6) \otimes \mathcal{J}_S \longrightarrow 0$$

where  $S = \text{Sing}(V)$  consists of the 9 points  $\{P(z) = 0\} \cap \{Q(z) = 0\}$ , and  $\mathcal{J}_S$  is the corresponding ideal sheaf of  $S$ . Since  $\det \mathcal{O}(T_{\mathbb{P}_{\mathbb{C}}^2}) = \mathcal{O}(3)$ , we see that  $(\det(\mathcal{O}(V)))^* = \mathcal{O}(3)$  is ample, thus Problem 0.3 would not have a positive answer (all leaves are elliptic or singular rational curves and thus covered by entire curves). An even more “degenerate” example is obtained with a generic pencil of conics, in which case  $(\det(\mathcal{O}(V)))^* = \mathcal{O}(1)$  and  $\#S = 4$ .

If we want to get a positive answer to Problem 0.3, it is therefore indispensable to give a definition of  $K_V$  that incorporates in a suitable way the singularities of  $V$ ; this will be done in Def. 1.1 (see also Prop. 1.2). The goal is then to give a positive answer to Problem 0.3 under some possibly more restrictive conditions for the pair  $(X, V)$ . These conditions will be expressed in terms of the tower of Semple jet bundles

$$(0.5) \quad (X_k, V_k) \rightarrow (X_{k-1}, V_{k-1}) \rightarrow \dots \rightarrow (X_1, V_1) \rightarrow (X_0, V_0) := (X, V)$$

which we define more precisely in Section 1, following [Dem95]. It is constructed inductively by setting  $X_k = P(V_{k-1})$  (projective bundle of *lines* of  $V_{k-1}$ ), and all  $V_k$  have the same rank  $r = \text{rank } V$ , so that  $\dim X_k = n + k(r-1)$  where  $n = \dim X$ . If  $\mathcal{O}_{X_k}(1)$  is the tautological line bundle over  $X_k$  associated with the projective structure and  $\pi_{k,\ell} : X_k \rightarrow X_\ell$  is the natural projection from  $X_k$  to  $X_\ell$ ,  $0 \leq \ell \leq k$ , we define the  $k$ -stage Green-Griffiths locus of  $(X, V)$  to be

$$(0.6) \quad \text{GG}_k(X, V) = \overline{(X_k \setminus \Delta_k) \cap \bigcap_{m \in \mathbb{N}} (\text{base locus of } \mathcal{O}_{X_k}(m) \otimes \pi_{k,0}^* A^{-1})}$$

where  $A$  is any ample line bundle on  $X$  and  $\Delta_k = \bigcup_{2 \leq \ell \leq k} \pi_{k,\ell}^{-1}(D_\ell)$  is the union of “vertical divisors” (see section 1; the vertical divisors play no role and have to be removed in this context). Clearly,  $\text{GG}_k(X, V)$  does not depend on the choice of  $A$ . The basic vanishing theorem for entire curves (cf. [GG79], [SY96] and [Dem95]) asserts that for every entire curve  $f : (\mathbb{C}, T_{\mathbb{C}}) \rightarrow (X, V)$ , then its  $k$ -jet  $f_{[k]} : (\mathbb{C}, T_{\mathbb{C}}) \rightarrow (X_k, V_k)$  satisfies

$$(0.7) \quad f_{[k]}(\mathbb{C}) \subset \text{GG}_k(X, V), \quad \text{hence} \quad f(\mathbb{C}) \subset \pi_{k,0}(\text{GG}_k(X, V)).$$

(For this, one uses the fact that  $f_{[k]}(\mathbb{C})$  is not contained in any component of  $\Delta_k$ , cf. [Dem95]). It is therefore natural to define the global Green-Griffiths locus of  $(X, V)$  to be

$$(0.8) \quad \text{GG}(X, V) = \bigcap_{k \in \mathbb{N}} \pi_{k,0}(\text{GG}_k(X, V)).$$

By (0.7) we infer that

$$(0.9) \quad \text{ECL}(X, V) \subset \text{GG}(X, V).$$

The main result of [Dem11] (Theorem 2.37 and Cor. 3.4) implies the following useful information:

**0.10. Theorem.** *Assume that  $(X, V)$  is of “general type”, i.e. that the canonical sheaf  $K_V$  is big on  $X$ . Then there exists an integer  $k_0$  such that  $\text{GG}_k(X, V)$  is a proper algebraic subset of  $X_k$  for  $k \geq k_0$  [though  $\pi_{k,0}(\text{GG}_k(X, V))$  might still be equal to  $X$  for all  $k$ ].*

In fact, if  $F$  is an invertible sheaf on  $X$  such that  $K_V \otimes F$  is big, the probabilistic estimates of [Dem11, Cor. 2.38 and Cor. 3.4] produce sections of

$$(0.11) \quad \mathcal{O}_{X_k}(m) \otimes \pi_{k,0}^* \mathcal{O}\left(\frac{m}{kr} \left(1 + \frac{1}{2} + \dots + \frac{1}{k}\right) F\right)$$

for  $m \gg k \gg 1$ . The (long and involved) proof uses a curvature computation and singular holomorphic Morse inequalities to show that the line bundles involved in (0.11) are big on  $X_k$  for  $k \gg 1$ . One applies this to  $F = A^{-1}$  with  $A$  ample on  $X$  to produce sections and conclude that  $\text{GG}_k(X, V) \subsetneq X_k$ .

Thanks to (0.9), the GGL conjecture is satisfied whenever  $\text{GG}(X, V) \subsetneq X$ . By [DMR10], this happens for instance in the absolute case when  $X$  is a generic hypersurface of degree  $d \geq 2^{n^5}$  in  $\mathbb{P}^{n+1}$  (see also [Pau08], e.g. for better bounds in low dimensions). However, as already mentioned in [Lan86], very simple examples show that one can have  $\text{GG}(X, V) = X$

even when  $(X, V)$  is of general type, and this already occurs in the absolute case as soon as  $\dim X \geq 2$ . A typical example is a product of directed manifolds

$$(0.12) \quad (X, V) = (X', V') \times (X'', V''), \quad V = \text{pr}'^* V' \oplus \text{pr}''^* V''.$$

The absolute case  $V = T_X$ ,  $V' = T_{X'}$ ,  $V'' = T_{X''}$  on a product of curves is the simplest instance. It is then easy to check that  $\text{GG}(X, V) = X$ , cf. (3.2). Diverio and Rousseau [DR13] have given many more such examples, including the case of indecomposable varieties  $(X, T_X)$ , e.g. Hilbert modular surfaces, or more generally compact quotients of bounded symmetric domains of rank  $\geq 2$ . The problem here is the failure of some sort of stability condition that is introduced in Section 3. This leads to a somewhat technical concept of more manageable directed pairs  $(X, V)$  that we call *strongly of general type*, see Def. 3.1. Our main result can be stated

**0.13. Theorem (partial solution to the generalized GGL conjecture).** *Let  $(X, V)$  be a directed pair that is strongly of general type. Then the Green-Griffiths-Lang conjecture holds true for  $(X, V)$ , namely  $\text{ECL}(X, V)$  is a proper algebraic subvariety of  $X$ .*

The proof proceeds through a complicated induction on  $n = \dim X$  and  $k = \text{rank } V$ , which is the main reason why we have to introduce directed varieties, even in the absolute case. An interesting feature of this result is that the conclusion on  $\text{ECL}(X, V)$  is reached without having to know anything about the Green-Griffiths locus  $\text{GG}(X, V)$ , even a posteriori. Nevertheless, this is not yet enough to confirm the GGL conjecture. Our hope is that pairs  $(X, V)$  that are of general type without being strongly of general type – and thus exhibit some sort of “jet-instability” – can be investigated by different methods, e.g. by the diophantine approximation techniques of McQuillan [McQ98]. However, Theorem 0.13 is strong enough to imply the Kobayashi conjecture on generic hyperbolicity, thanks to the following concept of algebraic jet-hyperbolicity.

**0.14. Definition.** *A directed variety  $(X, V)$  will be said to be algebraically jet-hyperbolic if the induced directed variety structure  $(Z, W)$  on every irreducible algebraic variety  $Z$  of  $X$  such that  $\text{rank } W \geq 1$  has a desingularization that is strongly of general type [see section 2 for the definition of induced directed structures and further details]. We also say that a projective manifold  $X$  is algebraically jet-hyperbolic if  $(X, T_X)$  is.*

In this context, Theorem 0.13 yields the following connection between algebraic jet-hyperbolicity and the analytic concept of Kobayashi hyperbolicity.

**0.15. Theorem.** *Let  $(X, V)$  be a directed variety structure on a projective manifold  $X$ . Assume that  $(X, V)$  is algebraically jet-hyperbolic. Then  $(X, V)$  is Kobayashi hyperbolic.*

This strong link appears to be very useful to deal with generic hyperbolicity, i.e. the hyperbolicity of very general fibers in a deformation  $\pi : \mathcal{X} \rightarrow S$  (by “very general fiber”, we mean here a fiber  $X_t = \pi^{-1}(t)$  where  $t$  is taken in a complement  $S \setminus \bigcup S_\nu$  of a countable union of algebraic subsets  $S_\nu \subsetneq S$ ).

**0.16. Theorem.** *Let  $\pi : \mathcal{X} \rightarrow S$  be a deformation of complex projective nonsingular varieties  $X_t = \pi^{-1}(t)$  over a smooth irreducible quasi-projective base  $S$ . Let  $n = \dim X_t$  be the relative dimension and let  $N = \dim S$ . Assume that for all  $q = N + 1, \dots, N + n$ , the exterior power  $\Lambda^q T_{\mathcal{X}}^*$  is a relatively ample vector bundle over  $S$ . Then the very general fiber  $X_t$  is algebraically jet-hyperbolic, and thus Kobayashi hyperbolic.*

In the special case of the universal family of complete intersections of codimension  $c$  and type  $(d_1, \dots, d_c)$  in complex projective  $\mathbb{P}^{n+c}$ , a combination of Theorem 0.16 with the results of Voisin [Voi96] implies

**0.17. Corollary (confirmation of the Kobayashi conjecture).** *If  $\sum d_j \geq 2n + c + 1$ , the very general complete intersection of type  $(d_1, \dots, d_c)$  in complex projective space  $\mathbb{P}^{n+c}$  is Kobayashi hyperbolic.*

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## 1. SEMPLE JET BUNDLES AND ASSOCIATED CANONICAL SHEAVES

Let  $(X, V)$  be a directed projective manifold and  $r = \text{rank } V$ , that is, the dimension of generic fibers. Then  $V$  is actually a holomorphic subbundle of  $T_X$  on the complement  $X \setminus \text{Sing}(V)$  of a certain minimal analytic set  $\text{Sing}(V) \subsetneq X$  of codimension  $\geq 2$ , called hereafter the singular set of  $V$ . If  $\mu : \widehat{X} \rightarrow X$  is a proper modification (a composition of blow-ups with smooth centers, say), we get a directed manifold  $(\widehat{X}, \widehat{V})$  by taking  $\widehat{V}$  to be the closure of  $\mu_*^{-1}(V')$ , where  $V' = V|_{X'}$  is the restriction of  $V$  over a Zariski open set  $X' \subset X \setminus \text{Sing}(V)$  such that  $\mu : \mu^{-1}(X') \rightarrow X'$  is a biholomorphism. We will be interested in taking modifications realized by iterated blow-ups of certain nonsingular subvarieties of the singular set  $\text{Sing}(V)$ , so as to eventually “improve” the singularities of  $V$ ; outside of  $\text{Sing}(V)$  the effect of blowing-up will be irrelevant, as one can see easily. Following [Dem11], the canonical sheaf  $K_V$  is defined as follows.

**1.1. Definition.** *For any directed pair  $(X, V)$  with  $X$  nonsingular, we define  $K_V$  to be the rank 1 analytic sheaf such that*

$$K_V(U) = \text{sheaf of locally bounded sections of } \mathcal{O}_X(\Lambda^r V'^*) (U \cap X')$$

where  $r = \text{rank}(V)$ ,  $X' = X \setminus \text{Sing}(V)$ ,  $V' = V|_{X'}$ , and “bounded” means bounded with respect to a smooth hermitian metric  $h$  on  $T_X$ .

For  $r = 0$ , one can set  $K_V = \mathcal{O}_X$ , but this case is trivial: clearly  $\widehat{\text{ECL}}(X, V) = \emptyset$ . The above definition of  $K_V$  may look like an analytic one, but it can easily be turned into an equivalent algebraic definition:

**1.2. Proposition.** *Consider the natural morphism  $\mathcal{O}(\Lambda^r T_X^*) \rightarrow \mathcal{O}(\Lambda^r V^*)$  where  $r = \text{rank } V$  [ $\mathcal{O}(\Lambda^r V^*)$  being defined here as the quotient of  $\mathcal{O}(\Lambda^r T_X^*)$  by  $r$ -forms that have zero restrictions to  $\mathcal{O}(\Lambda^r V^*)$  on  $X \setminus \text{Sing}(V)$ ]. The bidual  $\mathcal{L}_V = \mathcal{O}_X(\Lambda^r V^*)^{**}$  is an invertible sheaf, and our natural morphism can be written*

$$(1.2.1) \quad \mathcal{O}(\Lambda^r T_X^*) \rightarrow \mathcal{O}(\Lambda^r V^*) = \mathcal{L}_V \otimes \mathcal{J}_V \subset \mathcal{L}_V$$

where  $\mathcal{J}_V$  is a certain ideal sheaf of  $\mathcal{O}_X$  whose zero set is contained in  $\text{Sing}(V)$  and the arrow on the left is surjective by definition. Then

$$(1.2.2) \quad K_V = \mathcal{L}_V \otimes \overline{\mathcal{J}}_V$$

where  $\overline{\mathcal{J}}_V$  is the integral closure of  $\mathcal{J}_V$  in  $\mathcal{O}_X$ . In particular,  $K_V$  is always a coherent sheaf.

*Proof.* Let  $(u_k)$  be a set of generators of  $\mathcal{O}(\Lambda^r V^*)$  obtained (say) as the images of a basis  $(dz_I)_{|I|=r}$  of  $\Lambda^r T_X^*$  in some local coordinates near a point  $x \in X$ . Write  $u_k = g_k \ell$  where  $\ell$

is a local generator of  $\mathcal{L}_V$  at  $x$ . Then  $\mathcal{J}_V = (g_k)$  by definition. The boundedness condition expressed in Def. 1.1 means that we take sections of the form  $f\ell$  where  $f$  is a holomorphic function on  $U \cap X'$  (and  $U$  a neighborhood of  $x$ ), such that

$$(1.2.3) \quad |f| \leq C \sum |g_k|$$

for some constant  $C > 0$ . But then  $f$  extends holomorphically to  $U$  into a function that lies in the integral closure  $\overline{\mathcal{J}}_V$ , and the latter is actually characterized analytically by condition (1.2.3). This proves Prop. 1.2.  $\square$

By blowing-up  $\mathcal{J}_V$  and taking a desingularization  $\widehat{X}$ , one can always find a *log-resolution* of  $\mathcal{J}_V$  (or  $K_V$ ), i.e. a modification  $\mu : \widehat{X} \rightarrow X$  such that  $\mu^*\mathcal{J}_V \subset \mathcal{O}_{\widehat{X}}$  is an invertible ideal sheaf (hence integrally closed); it follows that  $\mu^*\overline{\mathcal{J}}_V = \mu^*\mathcal{J}_V$  and  $\mu^*K_V = \mu^*\mathcal{L}_V \otimes \mu^*\mathcal{J}_V$  are invertible sheaves on  $\widehat{X}$ . Notice that for any modification  $\mu' : (X', V') \rightarrow (X, V)$ , there is always a well defined natural morphism

$$(1.3) \quad \mu'^*K_V \rightarrow K_{V'}$$

(though it need not be an isomorphism, and  $K_{V'}$  is possibly non invertible even when  $\mu'$  is taken to be a log-resolution of  $K_V$ ). Indeed  $(\mu')_* = d\mu' : V' \rightarrow \mu^*V$  is continuous with respect to ambient hermitian metrics on  $X$  and  $X'$ , and going to the duals reverses the arrows while preserving boundedness with respect to the metrics. If  $\mu'' : X'' \rightarrow X'$  provides a simultaneous log-resolution of  $K_{V'}$  and  $\mu'^*K_V$ , we get a non trivial morphism of invertible sheaves

$$(1.4) \quad (\mu' \circ \mu'')^*K_V = \mu''^*\mu'^*K_V \longrightarrow \mu''^*K_{V'},$$

hence the bigness of  $\mu'^*K_V$  with imply that of  $\mu''^*K_{V'}$ . This is a general principle that we would like to refer to as the “monotonicity principle” for canonical sheaves: one always get more sections by going to a higher level through a (holomorphic) modification.

**1.5. Definition.** *We say that the rank 1 sheaf  $K_V$  is “big” if the invertible sheaf  $\mu^*K_V$  is big in the usual sense for any log resolution  $\mu : \widehat{X} \rightarrow X$  of  $K_V$ . Finally, we say that  $(X, V)$  is of general type if there exists a modification  $\mu' : (X', V') \rightarrow (X, V)$  such that  $K_{V'}$  is big ; any higher blow-up  $\mu'' : (X'', V'') \rightarrow (X', V')$  then also yields a big canonical sheaf by (1.3).*

Clearly, “general type” is a birationally (or bimeromorphically) invariant concept, by the very definition. When  $\dim X = n$  and  $V \subset T_X$  is a subbundle of rank  $r \geq 1$ , one constructs a tower of “Semple  $k$ -jet bundles”  $\pi_{k,k-1} : (X_k, V_k) \rightarrow (X_{k-1}, V_{k-1})$  that are  $\mathbb{P}^{r-1}$ -bundles, with  $\dim X_k = n + k(r-1)$  and  $\text{rank}(V_k) = r$ . For this, we take  $(X_0, V_0) = (X, V)$ , and for every  $k \geq 1$ , we set inductively  $X_k := P(V_{k-1})$  and

$$V_k := (\pi_{k,k-1})_*^{-1} \mathcal{O}_{X_k}(-1) \subset T_{X_k},$$

where  $\mathcal{O}_{X_k}(1)$  is the tautological line bundle on  $X_k$ ,  $\pi_{k,k-1} : X_k = P(V_{k-1}) \rightarrow X_{k-1}$  the natural projection and  $(\pi_{k,k-1})_* = d\pi_{k,k-1} : T_{X_k} \rightarrow \pi_{k,k-1}^* T_{X_{k-1}}$  its differential (cf. [Dem95]). In other terms, we have exact sequences

$$(1.6) \quad 0 \longrightarrow T_{X_k/X_{k-1}} \longrightarrow V_k \xrightarrow{(\pi_{k,k-1})_*} \mathcal{O}_{X_k}(-1) \longrightarrow 0,$$

$$(1.7) \quad 0 \longrightarrow \mathcal{O}_{X_k} \longrightarrow (\pi_{k,k-1})^* V_{k-1} \otimes \mathcal{O}_{X_k}(1) \longrightarrow T_{X_k/X_{k-1}} \longrightarrow 0,$$

where the last line is the Euler exact sequence associated with the relative tangent bundle of  $P(V_{k-1}) \rightarrow X_{k-1}$ . Notice that we by definition of the tautological line bundle we have

$$\mathcal{O}_{X_k}(-1) \subset \pi_{k,k-1}^* V_{k-1} \subset \pi_{k,k-1}^* T_{X_{k-1}},$$

and also  $\text{rank}(V_k) = r$ . Let us recall also that for  $k \geq 2$ , there are “vertical divisors”  $D_k = P(T_{X_{k-1}/X_{k-2}}) \subset P(V_{k-1}) = X_k$ , and that  $D_k$  is the zero divisor of the section of  $\mathcal{O}_{X_k}(1) \otimes \pi_{k,k-1}^* \mathcal{O}_{X_{k-1}}(-1)$  induced by the second arrow of the first exact sequence (1.6), when  $k$  is replaced by  $k-1$ . This yields in particular

$$(1.8) \quad \mathcal{O}_{X_k}(1) = \pi_{k,k-1}^* \mathcal{O}_{X_{k-1}}(1) \otimes \mathcal{O}(D_k).$$

By composing the projections we get for all pairs of indices  $0 \leq j \leq k$  natural morphisms

$$\pi_{k,j} : X_k \rightarrow X_j, \quad (\pi_{k,j})_* = (d\pi_{k,j})|_{V_k} : V_k \rightarrow (\pi_{k,j})^* V_j,$$

and for every  $k$ -tuple  $\mathbf{a} = (a_1, \dots, a_k) \in \mathbb{Z}^k$  we define

$$\mathcal{O}_{X_k}(\mathbf{a}) = \bigotimes_{1 \leq j \leq k} \pi_{k,j}^* \mathcal{O}_{X_j}(a_j), \quad \pi_{k,j} : X_k \rightarrow X_j.$$

We extend this definition to all weights  $\mathbf{a} \in \mathbb{Q}^k$  to get a  $\mathbb{Q}$ -line bundle in  $\text{Pic}(X) \otimes_{\mathbb{Z}} \mathbb{Q}$ . Now, Formula (1.8) yields

$$(1.9) \quad \mathcal{O}_{X_k}(\mathbf{a}) = \mathcal{O}_{X_k}(m) \otimes \mathcal{O}(-\mathbf{b} \cdot D) \quad \text{where } m = |\mathbf{a}| = \sum a_j, \mathbf{b} = (0, b_2, \dots, b_k)$$

and  $b_j = a_1 + \dots + a_{j-1}$ ,  $2 \leq j \leq k$ .

When  $\text{Sing}(V) \neq \emptyset$ , one can always define  $X_k$  and  $V_k$  to be the respective closures of  $X'_k$ ,  $V'_k$  associated with  $X' = X \setminus \text{Sing}(V)$  and  $V' = V|_{X'}$ , where the closure is taken in the nonsingular “absolute” Semple tower  $(X_k^a, V_k^a)$  obtained from  $(X_0^a, V_0^a) = (X, T_X)$ . We leave the reader check the following easy (but important) observation.

**1.10. Functoriality.** *If  $\Phi : (X, V) \rightarrow (Y, W)$  is a morphism of directed varieties such that  $\Phi_* : T_X \rightarrow \Phi^* T_Y$  is injective (i.e.  $\Phi$  is an immersion), then there is a corresponding natural morphism  $\Phi_{[k]} : (X_k, V_k) \rightarrow (Y_k, W_k)$  at the level of Semple bundles. If one merely assumes that the differential  $\Phi_* : V \rightarrow \Phi^* W$  is non zero, there is still a well defined meromorphic map  $\Phi_{[k]} : (X_k, V_k) \dashrightarrow (Y_k, W_k)$  for all  $k \geq 0$ .*

In case  $V$  is singular, the  $k$ -th Semple bundle  $X_k$  will also be singular, but we can still replace  $(X_k, V_k)$  by a suitable modification  $(\widehat{X}_k, \widehat{V}_k)$  if we want to work with a nonsingular model  $\widehat{X}_k$  of  $X_k$ . The exceptional set of  $\widehat{X}_k$  over  $X_k$  can be chosen to lie above  $\text{Sing}(V) \subset X$ , and proceeding inductively with respect to  $k$ , we can also arrange the modifications in such a way that we get a tower structure  $(\widehat{X}_{k+1}, \widehat{V}_{k+1}) \rightarrow (\widehat{X}_k, \widehat{V}_k)$ ; however, in general, it will not be possible to achieve that  $\widehat{V}_k$  is a subbundle of  $T_{\widehat{X}_k}$ .

It is not true that  $K_{\widehat{V}_k}$  is big in case  $(X, V)$  is of general type (especially since the fibers of  $X_k \rightarrow X$  are towers of  $\mathbb{P}^{r-1}$  bundles, and the canonical bundles of projective spaces are always negative!). However, a twisted version holds true, that can be seen as another instance of the “monotonicity principle” when going to higher stages in the Semple tower.

**1.11. Lemma.** *If  $(X, V)$  is of general type, then there is a modification  $(\widehat{X}, \widehat{V})$  such that all pairs  $(\widehat{X}_k, \widehat{V}_k)$  of the associated Semple tower have a twisted canonical bundle  $K_{\widehat{V}_k} \otimes \mathcal{O}_{\widehat{X}_k}(p)$  that is still big when one multiplies  $K_{\widehat{V}_k}$  by a suitable  $\mathbb{Q}$ -line bundle  $\mathcal{O}_{\widehat{X}_k}(p)$ ,  $p \in \mathbb{Q}_+$ .*

*Proof.* First assume that  $V$  has no singularities. The exact sequences (1.6) and (1.7) provide

$$K_{V_k} := \det V_k^* = \det(T_{X_k/X_{k-1}}^*) \otimes \mathcal{O}_{X_k}(1) = \pi_{k,k-1}^* K_{V_{k-1}} \otimes \mathcal{O}_{X_k}(-(r-1))$$

where  $r = \text{rank}(V)$ . Inductively we get

$$(1.11.1) \quad K_{V_k} = \pi_{k,0}^* K_V \otimes \mathcal{O}_{X_k}(-(r-1)\mathbf{1}), \quad \mathbf{1} = (1, \dots, 1) \in \mathbb{N}^k.$$

We know by [Dem95] that  $\mathcal{O}_{X_k}(\mathbf{c})$  is relatively ample over  $X$  when we take the special weight  $\mathbf{c} = (2 \cdot 3^{k-2}, \dots, 2 \cdot 3^{k-j-1}, \dots, 6, 2, 1)$ , hence

$$K_{V_k} \otimes \mathcal{O}_{X_k}((r-1)\mathbf{1} + \varepsilon\mathbf{c}) = \pi_{k,0}^* K_V \otimes \mathcal{O}_{X_k}(\varepsilon\mathbf{c})$$

is big over  $X_k$  for any sufficiently small positive rational number  $\varepsilon \in \mathbb{Q}_+^*$ . Thanks to Formula (1.9), we can in fact replace the weight  $(r-1)\mathbf{1} + \varepsilon\mathbf{c}$  by its total degree  $p = (r-1)k + \varepsilon|\mathbf{c}| \in \mathbb{Q}_+$ . The general case of a singular linear space follows by considering suitable “sufficiently high” modifications  $\widehat{X}$  of  $X$ , the related directed structure  $\widehat{V}$  on  $\widehat{X}$ , and embedding  $(\widehat{X}_k, \widehat{V}_k)$  in the absolute Semple tower  $(\widehat{X}_k^a, \widehat{V}_k^a)$  of  $\widehat{X}$ . We still have a well defined morphism of rank 1 sheaves

$$(1.11.2) \quad \pi_{k,0}^* K_{\widehat{V}} \otimes \mathcal{O}_{\widehat{X}_k}(-(r-1)\mathbf{1}) \rightarrow K_{\widehat{V}_k}$$

because the multiplier ideal sheaves involved at each stage behave according to the monotonicity principle applied to the projections  $\pi_{k,k-1}^a : \widehat{X}_k^a \rightarrow \widehat{X}_{k-1}^a$  and their differentials  $(\pi_{k,k-1}^a)_*$ , which yield well-defined transposed morphisms from the  $(k-1)$ -st stage to the  $k$ -th stage at the level of exterior differential forms. Our contention follows.  $\square$

## 2. INDUCED DIRECTED STRUCTURE ON A SUBVARIETY OF A JET SPACE

Let  $Z$  be an irreducible algebraic subset of some  $k$ -jet bundle  $X_k$  over  $X$ ,  $k \geq 0$ . We define the linear subspace  $W \subset T_Z \subset T_{X_k}|_Z$  to be the closure

$$(2.1) \quad W := \overline{T_{Z'} \cap V_k}$$

taken on a suitable Zariski open set  $Z' \subset Z_{\text{reg}}$  where the intersection  $T_{Z'} \cap V_k$  has constant rank and is a subbundle of  $T_{Z'}$ . Alternatively, we could also take  $W$  to be the closure of  $T_{Z'} \cap V_k$  in the  $k$ -th stage  $(X_k^a, V_k^a)$  of the absolute Semple tower. We say that  $(Z, W)$  is the *induced* directed variety structure. In the sequel, we always consider such a subvariety  $Z$  of  $X_k$  as a directed pair  $(Z, W)$  by taking the induced structure described above. Let us first quote the following easy observation.

**2.2. Observation.** *For  $k \geq 1$ , let  $Z \subsetneq X_k$  be an irreducible algebraic subset that projects onto  $X_{k-1}$ , i.e.  $\pi_{k,k-1}(Z) = X_{k-1}$ . Then the induced directed variety  $(Z, W) \subset (X_k, V_k)$ , satisfies*

$$1 \leq \text{rank } W < r := \text{rank}(V_k).$$

*Proof.* Take a Zariski open subset  $Z' \subset Z_{\text{reg}}$  such that  $W' = T_{Z'} \cap V_k$  is a vector bundle over  $Z'$ . Since  $X_k \rightarrow X_{k-1}$  is a  $\mathbb{P}^{r-1}$ -bundle,  $Z$  has codimension at most  $r-1$  in  $X_k$ . Therefore  $\text{rank } W \geq \text{rank } V_k - (r-1) \geq 1$ . On the other hand, if we had  $\text{rank } W = \text{rank } V_k$  generically, then  $T_{Z'}$  would contain  $V_k|_{Z'}$ , in particular it would contain all vertical directions  $T_{X_k/X_{k-1}} \subset V_k$  that are tangent to the fibers of  $X_k \rightarrow X_{k-1}$ . By taking the flow along vertical vector fields, we would conclude that  $Z'$  is a union of fibers of  $X_k \rightarrow X_{k-1}$  up to an algebraic set of smaller dimension, but this is excluded since  $Z$  projects onto  $X_{k-1}$  and  $Z \subsetneq X_k$ .  $\square$

**2.3. Definition.** *For  $k \geq 1$ , let  $Z \subset X_k$  be an irreducible algebraic subset of  $X_k$  that projects onto  $X_{k-1}$ . We assume moreover that  $Z \not\subset D_k = P(T_{X_{k-1}/X_{k-2}})$  (and put here  $D_1 = \emptyset$  in what follows to avoid to have to single out the case  $k=1$ ). In this situation we say that  $(Z, W)$  is of general type modulo  $X_k \rightarrow X$  if there exists  $p \in \mathbb{Q}_+$  such that  $K_W \otimes \mathcal{O}_{X_k}(p)|_Z$  is big over  $Z$ , possibly after replacing  $Z$  by a suitable nonsingular model  $\widehat{Z}$  (and pulling-back  $W$  and  $\mathcal{O}_{X_k}(p)|_Z$  to the nonsingular variety  $\widehat{Z}$ ).*



The main result of [Dem11] mentioned in the introduction as Theorem 0.10 implies the following important “induction step”.

**2.4. Proposition.** *Let  $(X, V)$  be a directed pair where  $X$  is projective algebraic. Take an irreducible algebraic subset  $Z \not\subset D_k$  of the associated  $k$ -jet Semple bundle  $X_k$  that projects onto  $X_{k-1}$ ,  $k \geq 1$ , and assume that the induced directed space  $(Z, W) \subset (X_k, V_k)$  is of general type modulo  $X_k \rightarrow X$ . Then there exists a divisor  $\Sigma \subset Z_\ell$  in a sufficiently high stage of the Semple tower  $(Z_\ell, W_\ell)$  associated with  $(Z, W)$ , such that every non constant holomorphic map  $f : \mathbb{C} \rightarrow X$  tangent to  $V$  that satisfies  $f_{[k]}(\mathbb{C}) \subset Z$  also satisfies  $f_{[k+\ell]}(\mathbb{C}) \subset \Sigma$ .*

*Proof.* Let  $E \subset Z$  be a divisor containing  $Z_{\text{sing}} \cup (Z \cap \pi_{k,0}^{-1}(\text{Sing}(V)))$ , chosen so that on the nonsingular Zariski open set  $Z' = Z \setminus E$  all linear spaces  $T_{Z'}$ ,  $V_{k|Z'}$  and  $W' = T_{Z'} \cap V_k$  are subbundles of  $T_{X_k|Z'}$ , the first two having a transverse intersection on  $Z'$ . By taking closures over  $Z'$  in the absolute Semple tower of  $X$ , we get (singular) directed pairs  $(Z_\ell, W_\ell) \subset (X_{k+\ell}, V_{k+\ell})$ , which we eventually resolve into  $(\widehat{Z}_\ell, \widehat{W}_\ell) \subset (\widehat{X}_{k+\ell}, \widehat{V}_{k+\ell})$  over nonsingular bases. By construction, locally bounded sections of  $\mathcal{O}_{\widehat{X}_{k+\ell}}(m)$  restrict to locally bounded sections of  $\mathcal{O}_{\widehat{Z}_\ell}(m)$  over  $\widehat{Z}_\ell$ .

Since Theorem 0.10 and the related estimate (0.11) are universal in the category of directed varieties, we can apply them by replacing  $X$  with  $\widehat{Z} \subset \widehat{X}_k$ , the order  $k$  by a new index  $\ell$ , and  $F$  by

$$F_k = \mu^* \left( \left( \mathcal{O}_{X_k}(p) \otimes \pi_{k,0}^* \mathcal{O}_X(-\varepsilon A) \right) \Big|_Z \right)$$

where  $\mu : \widehat{Z} \rightarrow Z$  is the desingularization,  $p \in \mathbb{Q}_+$  is chosen such that  $K_W \otimes \mathcal{O}_{x_k}(p)|_Z$  is big,  $A$  is an ample bundle on  $X$  and  $\varepsilon \in \mathbb{Q}_+^*$  is small enough. The assumptions show that  $K_{\widehat{W}} \otimes F_k$  is big on  $\widehat{Z}$ , therefore, by applying our theorem and taking  $m \gg \ell \gg 1$ , we get in fine a large number of (metric bounded) sections of

$$\begin{aligned} & \mathcal{O}_{\widehat{Z}_\ell}(m) \otimes \widehat{\pi}_{k+\ell,k}^* \mathcal{O} \left( \frac{m}{\ell r'} \left( 1 + \frac{1}{2} + \dots + \frac{1}{\ell} \right) F_k \right) \\ &= \mathcal{O}_{\widehat{X}_{k+\ell}}(m \mathbf{a}') \otimes \widehat{\pi}_{k+\ell,0}^* \mathcal{O} \left( - \frac{m\varepsilon}{kr} \left( 1 + \frac{1}{2} + \dots + \frac{1}{k} \right) A \right) \Big|_{\widehat{Z}_\ell} \end{aligned}$$

where  $\mathbf{a}' \in \mathbb{Q}_+^{k+\ell}$  is a positive weight (of the form  $(0, \dots, \lambda, \dots, 0, 1)$  with some non zero component  $\lambda \in \mathbb{Q}_+$  at index  $k$ ). These sections descend to metric bounded sections of

$$\mathcal{O}_{X_{k+\ell}}((1+\lambda)m) \otimes \widehat{\pi}_{k+\ell,0}^* \mathcal{O} \left( - \frac{m\varepsilon}{kr} \left( 1 + \frac{1}{2} + \dots + \frac{1}{k} \right) A \right) \Big|_{Z_\ell}.$$

Since  $A$  is ample on  $X$ , we can apply the fundamental vanishing theorem (see e.g. [Dem97] or [Dem11], Statement 8.15), or rather an “embedded” version for curves satisfying  $f_{[k]}(\mathbb{C}) \subset Z$ , proved exactly by the same arguments. The vanishing theorem implies that the divisor  $\Sigma$  of any such section satisfies the conclusions of Proposition 2.4, possibly modulo exceptional divisors of  $\widehat{Z} \rightarrow Z$ ; to take care of these, it is enough to add to  $\Sigma$  the inverse image of the divisor  $E = Z \setminus Z'$  initially selected.  $\square$

### 3. STRONG GENERAL TYPE CONDITION FOR DIRECTED MANIFOLDS

Our main result is the following partial solution to the Green-Griffiths-Lang conjecture, providing a sufficient algebraic condition for the analytic conclusion to hold true. We first give an ad hoc definition.

**3.1. Definition.** Let  $(X, V)$  be a directed pair where  $X$  is projective algebraic. We say that  $(X, V)$  is “strongly of general type” if it is of general type and for every irreducible algebraic set  $Z \subsetneq X_k$ ,  $Z \not\subset D_k$ , that projects onto  $X_{k-1}$ ,  $k \geq 1$ , the induced directed structure  $(Z, W) \subset (X_k, V_k)$  is of general type modulo  $X_k \rightarrow X$ .

**3.2. Example.** The situation of a product  $(X, V) = (X', V') \times (X'', V'')$  described in (0.12) shows that  $(X, V)$  can be of general type without being strongly of general type. In fact, if  $(X', V')$  and  $(X'', V'')$  are of general type, then  $K_V = \text{pr}'^* K_{V'} \otimes \text{pr}''^* K_{V''}$  is big, so  $(X, V)$  is again of general type. However

$$Z = P(\text{pr}'^* V') = X'_1 \times X'' \subset X_1$$

has a directed structure  $W = \text{pr}'^* V'_1$  which does not possess a big canonical bundle over  $Z$ , since the restriction of  $K_W$  to any fiber  $\{x'\} \times X''$  is trivial. The higher stages  $(Z_k, W_k)$  of the Semple tower of  $(Z, W)$  are given by  $Z_k = X'_{k+1} \times X''$  and  $W_k = \text{pr}'^* V'_{k+1}$ , so it is easy to see that  $\text{GG}_k(X, V)$  contains  $Z_{k-1}$ . Since  $Z_k$  projects onto  $X$ , we have here  $\text{GG}(X, V) = X$  (see [DR13] for more sophisticated indecomposable examples).

**3.3. Remark.** It follows from Definition 2.3 that  $(Z, W) \subset (X_k, V_k)$  is automatically of general type modulo  $X_k \rightarrow X$  if  $\mathcal{O}_{X_k}(1)|_Z$  is big. Notice further that

$$\mathcal{O}_{X_k}(1 + \varepsilon)|_Z = (\mathcal{O}_{X_k}(\varepsilon) \otimes \pi_{k,k-1}^* \mathcal{O}_{X_{k-1}}(1) \otimes \mathcal{O}(D_k))|_Z$$

where  $\mathcal{O}(D_k)|_Z$  is effective and  $\mathcal{O}_{X_k}(1)$  is relatively ample with respect to the projection  $X_k \rightarrow X_{k-1}$ . Therefore the bigness of  $\mathcal{O}_{X_{k-1}}(1)$  on  $X_{k-1}$  also implies that every directed subvariety  $(Z, W) \subset (X_k, V_k)$  is of general type modulo  $X_k \rightarrow X$ . If  $(X, V)$  is of general type, we know by the main result of [Dem11] that  $\mathcal{O}_{X_k}(1)$  is big for  $k \geq k_0$  large enough, and actually the precise estimates obtained therein give explicit bounds for such a  $k_0$ . The above observations show that we need to check the condition of Definition 3.1 only for  $Z \subset X_k$ ,  $k \leq k_0$ . Moreover, at least in the case where  $V$ ,  $Z$ , and  $W = T_Z \cap V_k$  are nonsingular, we have

$$K_W \simeq K_Z \otimes \det(T_Z/W) \simeq K_Z \otimes \det(T_{X_k}/V_k)|_Z \simeq K_{Z/X_{k-1}} \otimes \mathcal{O}_{X_k}(1)|_Z.$$

Thus we see that, in some sense, it is only needed to check the bigness of  $K_W$  modulo  $X_k \rightarrow X$  for “rather special subvarieties”  $Z \subset X_k$  over  $X_{k-1}$ , such that  $K_{Z/X_{k-1}}$  is not relatively big over  $X_{k-1}$ .  $\square$

**3.4. Hypersurface case.** Assume that  $Z \neq D_k$  is an irreducible hypersurface of  $X_k$  that projects onto  $X_{k-1}$ . To simplify things further, also assume that  $V$  is nonsingular. Since the Semple jet-bundles  $X_k$  form a tower of  $\mathbb{P}^{r-1}$ -bundles, their Picard groups satisfy  $\text{Pic}(X_k) \simeq \text{Pic}(X) \oplus \mathbb{Z}^k$  and we have  $\mathcal{O}_{X_k}(Z) \simeq \mathcal{O}_{X_k}(\mathbf{a}) \otimes \pi_{k,0}^* B$  for some  $\mathbf{a} \in \mathbb{Z}^k$  and  $B \in \text{Pic}(X)$ , where  $a_k = d > 0$  is the relative degree of the hypersurface over  $X_{k-1}$ . Let  $\sigma \in H^0(X_k, \mathcal{O}_{X_k}(Z))$  be the section defining  $Z$  in  $X_k$ . The induced directed variety  $(Z, W)$  has  $\text{rank } W = r - 1 = \text{rank } V - 1$  and formula (1.12) yields  $K_{V_k} = \mathcal{O}_{X_k}(-(r-1)\mathbf{1}) \otimes \pi_{k,0}^*(K_V)$ . We claim that

$$(3.4.1) \quad K_W \supset (K_{V_k} \otimes \mathcal{O}_{X_k}(Z))|_Z \otimes \mathcal{J}_S = (\mathcal{O}_{X_k}(\mathbf{a} - (r-1)\mathbf{1}) \otimes \pi_{k,0}^*(B \otimes K_V))|_Z \otimes \mathcal{J}_S$$

where  $S \subsetneq Z$  is the set (containing  $Z_{\text{sing}}$ ) where  $\sigma$  and  $d\sigma|_{V_k}$  both vanish, and  $\mathcal{J}_S$  is the ideal locally generated by the coefficients of  $d\sigma|_{V_k}$  along  $Z = \sigma^{-1}(0)$ . In fact, the intersection

$W = T_Z \cap V_k$  is transverse on  $Z \setminus S$ ; then (3.4.1) can be seen by looking at the morphism

$$V_k|_Z \xrightarrow{d\sigma|_{V_k}} \mathcal{O}_{X_k}(Z)|_Z,$$

and observing that the contraction by  $K_{V_k} = \Lambda^r V_k^*$  provides a metric bounded section of the canonical sheaf  $K_W$ . In order to investigate the positivity properties of  $K_W$ , one has to show that  $B$  cannot be too negative, and in addition to control the singularity set  $S$ . The second point is a priori very challenging, but we get useful information for the first point by observing that  $\sigma$  provides a morphism  $\pi_{k,0}^* \mathcal{O}_X(-B) \rightarrow \mathcal{O}_{X_k}(\mathbf{a})$ , hence a nontrivial morphism

$$\mathcal{O}_X(-B) \rightarrow E_{\mathbf{a}} := (\pi_{k,0})_* \mathcal{O}_{X_k}(\mathbf{a})$$

By [Dem95, Section 12], there exists a filtration on  $E_{\mathbf{a}}$  such that the graded pieces are irreducible representations of  $\mathrm{GL}(V)$  contained in  $(V^*)^{\otimes \ell}$ ,  $\ell \leq |\mathbf{a}|$ . Therefore we get a nontrivial morphism

$$(3.4.2) \quad \mathcal{O}_X(-B) \rightarrow (V^*)^{\otimes \ell}, \quad \ell \leq |\mathbf{a}|.$$

If we know about certain (semi-)stability properties of  $V$ , this can be used to control the negativity of  $B$ .  $\square$

We further need the following useful concept that generalizes entire curve loci.

**3.5. Definition.** *If  $Z$  is an algebraic set contained in some stage  $X_k$  of the Semple tower of  $(X, V)$ , we define its “induced entire curve locus”  $\mathrm{IEL}(Z) \subset Z$  to be the Zariski closure of the union  $\bigcup f_{[k]}(\mathbb{C})$  of all jets of entire curves  $f : (\mathbb{C}, T_{\mathbb{C}}) \rightarrow (X, V)$  such that  $f_{[k]}(\mathbb{C}) \subset Z$ .*

We have of course  $\mathrm{IEL}(\mathrm{IEL}(Z)) = \mathrm{IEL}(Z)$  by definition. It is not hard to check that modulo certain “vertical divisors” of  $X_k$ , the  $\mathrm{IEL}(Z)$  locus is essentially the same as the entire curve locus  $\mathrm{ECL}(Z, W)$  of the induced directed variety, but we will not use this fact here. Since  $\mathrm{IEL}(X) = \mathrm{ECL}(X, V)$ , proving the Green-Griffiths-Lang property amounts to showing that  $\mathrm{IEL}(X) \subsetneq X$  in the stage  $k = 0$  of the tower.

**3.6. Theorem.** *Let  $(X, V)$  be a directed pair of general type. Assume that there is an integer  $k_0 \geq 0$  such that for every  $k > k_0$  and every irreducible algebraic set  $Z \subsetneq X_k$ ,  $Z \not\subset D_k$ , that projects onto  $X_{k-1}$ , the induced directed structure  $(Z, W) \subset (X_k, V_k)$  is of general type modulo  $X_k \rightarrow X$ . Then  $\mathrm{IEL}(X_{k_0}) \subsetneq X_{k_0}$ .*

*Proof.* We argue here by contradiction, assuming that  $\mathrm{IEL}(X_{k_0}) = X_{k_0}$ . The main argument consists of producing inductively an increasing sequence of integers

$$k_0 < k_1 < \dots < k_j < \dots$$

and directed varieties  $(Z^j, W^j) \subset (X_{k_j}, V_{k_j})$  satisfying the following properties :

$$(3.6.1) \quad (Z^0, W^0) = (X_{k_0}, V_{k_0});$$

$$(3.6.2) \quad \text{for all } j \geq 0, \mathrm{IEL}(Z^j) = Z^j;$$

$$(3.6.3) \quad Z^j \text{ is an irreducible algebraic variety such that } Z^j \subsetneq X_{k_j} \text{ for } j \geq 1, Z^j \text{ is not contained in the vertical divisor } D_{k_j} = P(T_{X_{k_{j-1}}/X_{k_{j-2}}}) \text{ of } X_{k_j}, \text{ and } (Z^j, W^j) \text{ is of general type modulo } X_{k_j} \rightarrow X \text{ (i.e. some nonsingular model is);}$$

$$(3.6.4) \quad \text{for all } j \geq 0, \text{ the directed variety } (Z^{j+1}, W^{j+1}) \text{ is contained in some stage (of order } \ell_j = k_{j+1} - k_j \text{) of the Semple tower of } (Z^j, W^j), \text{ namely}$$

$$(Z^{j+1}, W^{j+1}) \subset (Z_{\ell_j}^j, W_{\ell_j}^j) \subset (X_{k_{j+1}}, V_{k_{j+1}})$$

and

$$W^{j+1} = \overline{T_{Z^{j+1}} \cap W_{\ell_j}^j} = \overline{T_{Z^{j+1}} \cap V_{k_j}}$$

is the induced directed structure.

(3.6.5) for all  $j \geq 0$ , we have  $Z^{j+1} \subsetneq Z_{\ell_j}^j$  but  $\pi_{k_{j+1}, k_{j+1}-1}(Z^{j+1}) = Z_{\ell_j-1}^j$ .

For  $j = 0$ , we have nothing to do by our hypotheses. Assume that  $(Z^j, W^j)$  has been constructed. By Proposition 2.4, we get an algebraic subset  $\Sigma \subsetneq Z_{\ell}^j$  in some stage of the Semple tower  $(Z_{\ell}^j)$  of  $Z^j$  such that every entire curve  $f : (\mathbb{C}, T_{\mathbb{C}}) \rightarrow (X, V)$  satisfying  $f_{[k_j]}(\mathbb{C}) \subset Z^j$  also satisfies  $f_{[k_j+\ell]}(\mathbb{C}) \subset \Sigma$ . By definition, this implies the first inclusion in the sequence

$$Z^j = \text{IEL}(Z^j) \subset \pi_{k_j+\ell, k_j}(\text{IEL}(\Sigma)) \subset \pi_{k_j+\ell, k_j}(\Sigma) \subset Z^j$$

(the other ones being obvious), so we have in fact an equality throughout. Let  $(S_{\alpha})$  be the irreducible components of  $\text{IEL}(\Sigma)$ . We have  $\text{IEL}(S_{\alpha}) = S_{\alpha}$  and one of the components  $S_{\alpha}$  must already satisfy  $\pi_{k_j+\ell, k_j}(S_{\alpha}) = Z^j = Z_0^j$ . We take  $\ell_j \in [1, \ell]$  to be the smallest order such that  $Z^{j+1} := \pi_{k_j+\ell, k_j+\ell_j}(S_{\alpha}) \subsetneq Z_{\ell_j}^j$ , and set  $k_{j+1} = k_j + \ell_j > k_j$ . By definition of  $\ell_j$ , we have  $\pi_{k_{j+1}, k_{j+1}-1}(Z^{j+1}) = Z_{\ell_j-1}^j$ , otherwise  $\ell_j$  would not be minimal. The fact that  $\text{IEL}(S_{\alpha}) = S_{\alpha}$  immediately implies  $\text{IEL}(Z^{j+1}) = Z^{j+1}$ . Also  $Z^{j+1}$  cannot be contained in the vertical divisor  $D_{k_{j+1}}$ . In fact no irreducible algebraic set  $Z$  such that  $\text{IEL}(Z) = Z$  can be contained in a vertical divisor  $D_k$ , because  $\pi_{k, k-2}(D_k)$  corresponds to stationary jets in  $X_{k-2}$ ; as every non constant curve  $f$  has non stationary points, its  $k$ -jet  $f_{[k]}$  cannot be entirely contained in  $D_k$ . Finally, the induced directed structure  $(Z^{j+1}, W^{j+1})$  must be of general type modulo  $X_{k_{j+1}} \rightarrow X$ , by the assumption of the theorem and the fact that  $k_{j+1} > k_0$ . The inductive procedure is therefore complete.

By Observation 2.2, we have

$$\text{rank } W^j < \text{rank } W^{j-1} < \dots < \text{rank } W^1 < \text{rank } W^0 = \text{rank } V.$$

After a sufficient number of iterations we reach  $\text{rank } W^j = 1$ . In this situation the Semple tower of  $Z^j$  is trivial,  $K_{W^j} = W^{j*} \otimes \overline{\mathcal{F}}_{W^j}$  is big, and Proposition 2.4 produces a divisor  $\Sigma \subsetneq Z_{\ell}^j = Z^j$  containing all jets of entire curves with  $f_{[k_j]}(\mathbb{C}) \subset Z^j$ . This contradicts the fact that  $\text{IEL}(Z^j) = Z^j$ . We have reached a contradiction, and Theorem 3.6 is thus proved.  $\square$

**3.7. Remark.** As it proceeds by contradiction, the proof is unfortunately non constructive – especially it does not give any information on the degree of the locus  $Y \subsetneq X_{k_0}$  whose existence is asserted. On the other hand, and this is a bit surprising, the conclusion is obtained even though the conditions to be checked do not involve cutting down the dimensions of the base loci of jet differentials; in fact, the contradiction is obtained even though the integers  $k_j$  may increase and  $\dim Z^j$  may become very large.

The special case  $k_0 = 0$  of Theorem 3.6 yields the following

**3.8. Partial solution to the generalized GGL conjecture.** *Let  $(X, V)$  be a directed pair that is strongly of general type. Then the Green-Griffiths-Lang conjecture holds true for  $(X, V)$ , namely  $\text{ECL}(X, V) \subsetneq X$ , in other words there exists a proper algebraic variety  $Y \subsetneq X$  such that every non constant holomorphic curve  $f : \mathbb{C} \rightarrow X$  tangent to  $V$  satisfies  $f(\mathbb{C}) \subset Y$ .*

**3.9. Remark.** The condition that  $(X, V)$  is strongly of general type seems to be related to some sort of stability condition. We are unsure what is the most appropriate definition, but here is one that makes sense. Fix an ample divisor  $A$  on  $X$ . For every irreducible subvariety

$Z \subset X_k$  that projects onto  $X_{k-1}$  for  $k \geq 1$ , and  $Z = X = X_0$  for  $k = 0$ , we define the slope  $\mu_A(Z, W)$  of the corresponding directed variety  $(Z, W)$  to be

$$\mu_A(Z, W) = \frac{\inf \lambda}{\text{rank } W},$$

where  $\lambda$  runs over all rational numbers such that there exists  $m \in \mathbb{Q}_+$  for which

$$K_W \otimes (\mathcal{O}_{X_k}(m) \otimes \pi_{k,0}^* \mathcal{O}(\lambda A))|_Z \text{ is big on } Z$$

(again, we assume here that  $Z \not\subset D_k$  for  $k \geq 2$ ). Notice that  $(X, V)$  is of general type if and only if  $\mu_A(X, V) < 0$ , and that  $\mu_A(Z, W) = -\infty$  if  $\mathcal{O}_{X_k}(1)|_A$  is big. Also, the proof of Lemma 1.11 shows that

$$\mu_A(X_k, V_k) \leq \mu_A(X_{k-1}, V_{k-1}) \leq \dots \leq \mu_A(X, V) \text{ for all } k$$

(with  $\mu_A(X_k, V_k) = -\infty$  for  $k \geq k_0 \gg 1$  if  $(X, V)$  is of general type). We say that  $(X, V)$  is *A-jet-stable* (resp. *A-jet-semi-stable*) if  $\mu_A(Z, W) < \mu_A(X, V)$  (resp.  $\mu_A(Z, W) \leq \mu_A(X, V)$ ) for all  $Z \subsetneq X_k$  as above. It is then clear that if  $(X, V)$  is of general type and *A-jet-semi-stable*, then it is strongly of general type in the sense of Definition 3.1. It would be useful to have a better understanding of this condition of stability (or any other one that would have better properties).  $\square$

**3.10. Example: case of surfaces.** Assume that  $X$  is a minimal complex surface of general type and  $V = T_X$  (absolute case). Then  $K_X$  is nef and big and the Chern classes of  $X$  satisfy  $c_1 \leq 0$  ( $-c_1$  is big and nef) and  $c_2 \geq 0$ . The Semple jet-bundles  $X_k$  form here a tower of  $\mathbb{P}^1$ -bundles and  $\dim X_k = k + 2$ . Since  $\det V^* = K_X$  is big, the strong general type assumption of 3.6 and 3.8 need only be checked for irreducible hypersurfaces  $Z \subset X_k$  distinct from  $D_k$  that project onto  $X_{k-1}$ , of relative degree  $m$ . The projection  $\pi_{k,k-1} : Z \rightarrow X_{k-1}$  is a ramified  $m : 1$  cover. Putting  $\mathcal{O}_{X_k}(Z) \simeq \mathcal{O}_{X_k}(\mathbf{a}) \otimes \pi_{k,0}(B)$ ,  $B \in \text{Pic}(X)$ , we can apply (3.4.1) to get an inclusion

$$K_W \supset (\mathcal{O}_{X_k}(\mathbf{a} - \mathbf{1}) \otimes \pi_{k,0}^*(B \otimes K_X))|_Z \otimes \mathcal{J}_S, \quad \mathbf{a} \in \mathbb{Z}^k, \quad a_k = m.$$

Let us assume  $k = 1$  and  $S = \emptyset$  to make things even simpler, and let us perform numerical calculations in the cohomology ring

$$H^\bullet(X_1, \mathbb{Z}) = H^\bullet(X)[u]/(u^2 + c_1 u + c_2), \quad u = c_1(\mathcal{O}_{X_1}(1))$$

(cf. [DEG00, Section 2] for similar calculations and more details). We have

$$Z \equiv mu + b \quad \text{where } b = c_1(B) \quad \text{and} \quad K_W \equiv (m - 1)u + b - c_1.$$

We are allowed here to add to  $K_W$  an arbitrary multiple  $\mathcal{O}_{X_1}(p)$ ,  $p \geq 0$ , which we rather write  $p = mt + 1 - m$ ,  $t \geq 1 - 1/m$ . An evaluation of the Euler-Poincaré characteristic of  $K_W + \mathcal{O}_{X_1}(p)|_Z$  requires computing the intersection number

$$\begin{aligned} (K_W + \mathcal{O}_{X_1}(p)|_Z)^2 \cdot Z &= (mtu + b - c_1)^2 (mu + b) \\ &= m^2 t^2 (m(c_1^2 - c_2) - bc_1) + 2mt(b - mc_1)(b - c_1) + m(b - c_1)^2, \end{aligned}$$

taking into account that  $u^3 \cdot X_1 = c_1^2 - c_2$ . In case  $S \neq \emptyset$ , there is an additional (negative) contribution from the ideal  $\mathcal{J}_S$  which is  $O(t)$  since  $S$  is at most a curve. In any case, for  $t \gg 1$ , the leading term in the expansion is  $m^2 t^2 (m(c_1^2 - c_2) - bc_1)$  and the other terms are negligible with respect to  $t^2$ , including the one coming from  $S$ . We know that  $T_X$  is semistable with respect to  $c_1(K_X) = -c_1 \geq 0$ . Multiplication by the section  $\sigma$  yields a

morphism  $\pi_{1,0}^* \mathcal{O}_X(-B) \rightarrow \mathcal{O}_{X_1}(m)$ , hence by direct image, a morphism  $\mathcal{O}_X(-B) \rightarrow S^m T_X^*$ . Evaluating slopes against  $K_X$  (a big nef class), the semistability condition implies  $bc_1 \leq \frac{m}{2} c_1^2$ , and our leading term is bigger than  $m^3 t^2 (\frac{1}{2} c_1^2 - c_2)$ . We get a positive answer in the well-known case where  $c_1^2 > 2c_2$ , corresponding to  $T_X$  being almost ample. Analyzing positivity for the full range of values  $(k, m, t)$  and of singular sets  $S$  seems an unsurmountable task at this point; in general, calculations made in [DEG00] and [McQ99] indicate that the Chern class and semistability conditions become less demanding for higher order jets (e.g.  $c_1^2 > c_2$  is enough for  $Z \subset X_2$ , and  $c_1^2 > \frac{9}{13} c_2$  suffices for  $Z \subset X_3$ ). When  $\text{rank } V = 1$ , major gains come from the use of Ahlfors currents in combination with McQuillan's tautological inequalities [McQ98]. We therefore hope for a substantial strengthening of the above sufficient conditions, and a better understanding of the stability issues, possibly in combination with a use of Ahlfors currents and tautological inequalities. In the case of surfaces, an application of Theorem 3.6 for  $k_0 = 1$  and an analysis of the behaviour of rank 1 (multi-)foliations on the surface  $X$  (with the crucial use of [McQ98]) was the main argument used in [DEG00] to prove the hyperbolicity of very general surfaces of degree  $d \geq 21$  in  $\mathbb{P}^3$ . For these surfaces, one has  $c_1^2 < c_2$  and  $c_1^2/c_2 \rightarrow 1$  as  $d \rightarrow +\infty$ . Applying Theorem 3.6 for higher values  $k_0 \geq 2$  might allow to enlarge the range of tractable surfaces, if the behavior of rank 1 (multi-)foliations on  $X_{k_0-1}$  can be analyzed independently.

#### 4. ALGEBRAIC JET-HYPERBOLICITY IMPLIES KOBAYASHI HYPERBOLICITY

Let  $(X, V)$  be a directed variety, where  $X$  is an irreducible projective variety; if  $X$  is singular, this still makes sense by possibly considering  $(X, V)$  as embedded in  $(\mathbb{P}^N, T_{\mathbb{P}^N})$  where  $V$  is closed and irreducible and contained in  $T_X$  at regular points.

For every irreducible algebraic subvariety  $Z \subset X$ , we get as in section 2 a directed variety structure  $(Z, W) \subset (X, V)$  by taking  $W = \overline{T_{Z'} \cap V}$  on a sufficiently small Zariski open set  $Z' \subset Z_{\text{reg}}$  where the intersection has minimal rank. Notice that when  $W = 0$  there cannot exist entire curves  $f : (\mathbb{C}, T_{\mathbb{C}}) \rightarrow (Z, W)$  except possibly those which lie in the algebraic set  $Z \setminus Z'$ , hence this case is easy to deal with by induction on dimension. Otherwise, we can resolve singularities of  $Z$  to get a directed variety  $(\widehat{Z}, \widehat{W})$  where  $\widehat{Z}$  is nonsingular and  $\text{rank } \widehat{W} \geq 1$ .

**4.1. Definition.** *We say that  $(X, V)$  is algebraically jet-hyperbolic if for every irreducible algebraic subvariety  $Z \subset X$ , the induced directed structure  $(Z, W)$  either satisfies  $W = 0$ , or has a desingularization  $(\widehat{Z}, \widehat{W})$ ,  $\text{rank } \widehat{W} \geq 1$ , that is strongly of general type.*

Thanks to Theorem 3.8, a very easy induction on the dimension of  $X$  implies

**4.2. Theorem.** *Let  $(X, V)$  be an irreducible projective directed variety that is algebraically jet-hyperbolic in the sense of the above definition. Then  $(X, V)$  is Brody (or Kobayashi) hyperbolic, i.e.  $\text{ECL}(X, V) = \emptyset$ .*

*Proof.* By Theorem 3.8, we have  $Y := \text{ECL}(X, V) \subsetneq X$ . If  $Y \neq \emptyset$ , apply induction on dimension to each of the irreducible components  $X'_j$  of  $Y$  and to the induced directed structures  $(X'_j, V'_j)$  to get  $\text{ECL}(X, V) \subset \bigcup \text{ECL}(X'_j, V'_j) \subsetneq \bigcup X'_j = Y$ , a contradiction.  $\square$

#### 5. PROOF OF THE KOBAYASHI CONJECTURE ON GENERIC HYPERBOLICITY

We start with a general situation, and then restrict ourselves to the special case of complete intersections in projective space. Consider a smooth deformation  $\pi : \mathcal{X} \rightarrow S$  of complex

projective manifolds, i.e. a proper algebraic submersion over a quasi-projective algebraic manifold  $S$  such that the fibers are nonsingular. By a “very general fiber”, we mean here a fiber  $X_t = \pi^{-1}(t)$  over a point  $t$  taken in the complement  $S \setminus \bigcup S_\nu$  of a countable union of algebraic subsets  $S_\nu \subsetneq S$ . We are only interested in the very general fiber and can therefore restrict ourselves to the case where  $S$  is affine after replacing  $S$  with a suitable Zariski open subset  $S^0 \subset S$ . Ample vector bundles over the total space  $\mathcal{X}$  are then the same as vector bundles that are relatively ample over  $S$ , as one can see by the direct image theorem and the fact that every locally free sheaf on an affine variety is very ample.

**5.1. Theorem.** *Let  $\pi : \mathcal{X} \rightarrow S$  be a deformation of complex projective nonsingular varieties  $X_t = \pi^{-1}(t)$  over a smooth quasi-projective irreducible base  $S$ . Let  $n = \dim X_t$  be the relative dimension and let  $N = \dim S$ . Assume that*

- (a) *there exists an ample effective  $\mathbb{Z}$ -divisor  $A$  in  $\mathcal{X}$  such that  $\delta A \leq K_{\mathcal{X}} \leq c\delta A$  (i.e.  $K_{\mathcal{X}} \otimes \mathcal{O}(-\delta A)$  and  $\mathcal{O}(c\delta A) \otimes K_{\mathcal{X}}^{-1}$  are both numerically effective) for some constants  $\delta > 0$  and  $c \geq 1$  in  $\mathbb{Q}_+$ ;*
- (b) *the twisted tangent bundle  $T_{\mathcal{X}} \otimes \mathcal{O}(A)$  is generated by sections;*
- (c) *there is a family of  $N$  vector fields  $(\sigma_j)_{1 \leq j \leq N}$  in  $H^0(S, T_S)$  generating  $T_S$  on a Zariski open subset  $S^0 \subset S$ , and global vector fields  $\tau_j$  in  $H^0(\mathcal{X}, T_{\mathcal{X}} \otimes \mathcal{O}(A))$ , corresponding to holomorphic vector fields on  $\mathcal{X} \setminus |A|$  with poles along  $A$ , such that  $\tau_j$  lifts  $\sigma_j$  to  $\mathcal{X}$ , i.e.  $\pi_*(\tau_j) = \sigma_j$  on  $\mathcal{X} \setminus |A|$ .*

*Then there exists a constant  $\delta_0(n, N, c)$  depending only on  $n$ ,  $N$  and  $c$ , such that whenever  $\delta \geq \delta_0(n, N, c)$ , the very general fiber  $X_t$  is algebraically jet-hyperbolic, and thus Kobayashi hyperbolic.*

*Proof.* By taking the relative directed structure  $\mathcal{V} = T_{\mathcal{X}/S} = \text{Ker}(d\pi : T_{\mathcal{X}} \rightarrow \pi^*T_S)$  on  $\mathcal{X}$ , one constructs a “relative” Semple tower  $(\mathcal{X}_k, \mathcal{V}_k)$  over  $\mathcal{X}$ . It specializes to the absolute Semple tower of  $X_t$  when one takes the restriction of  $\mathcal{X}_k$  to the inverse image of  $X_t = \pi^{-1}(t) \subset \mathcal{X}$  by  $\pi_{k,0} : \mathcal{X}_k \rightarrow \mathcal{X}_0 = \mathcal{X}$ . By construction  $\mathcal{V}_0 = \mathcal{V} = T_{\mathcal{X}/S}$  and all  $\mathcal{V}_k$  have rank  $n$ . Let  $(\mathcal{X}_k^a, \mathcal{V}_k^a)$  be the absolute Semple tower of  $\mathcal{X}$ , so that  $\mathcal{X}_0^a = \mathcal{X}$  and  $\mathcal{V}_0^a = T_{\mathcal{X}}$ , and let  $\tilde{\mathcal{V}}_k$  be the restriction of the vector bundle  $\mathcal{V}_k^a$  to  $\mathcal{X}_k \subset \mathcal{X}_k^a$ , so that  $\text{rank } \tilde{\mathcal{V}}_k = \text{rank } \mathcal{V}_k^a = N + n$ . For every  $k \geq 0$ , we claim that there is an exact sequence of vector bundles

$$(5.1.1) \quad 0 \rightarrow \mathcal{V}_k \rightarrow \tilde{\mathcal{V}}_k \rightarrow \mathcal{S}_k \rightarrow 0, \quad \mathcal{S}_k \simeq (\pi \circ \pi_{k,0})^*T_S \otimes \mathcal{O}_{\mathcal{X}_k}(\mathbf{1}) \quad \text{over } \mathcal{X}_k,$$

where  $\mathbf{1} = (1, \dots, 1) \in \mathbb{N}^k$ ,  $\text{rank } \mathcal{V}_k = n$ , and  $\text{rank } \tilde{\mathcal{V}}_k = \text{rank } \mathcal{V}_k^a = N + n = \dim \mathcal{X}$ . Since  $\tilde{\mathcal{V}}_0 = \mathcal{V}_0^a = T_{\mathcal{X}}$  and  $\mathcal{V}_0 = T_{\mathcal{X}/S}$ , this is true by definition for  $k = 0$ , with  $\underline{\mathcal{S}}_0 = \pi^*T_S$  and  $\mathcal{O}_{\mathcal{X}_0}(\mathbf{1}) = \mathcal{O}_{\mathcal{X}}$ . In general, there is a well defined injection of bundles  $\mathcal{V}_k \rightarrow \tilde{\mathcal{V}}_k$ , the quotient is of rank  $N$ , and we simply put  $\mathcal{S}_k = \tilde{\mathcal{V}}_k/\mathcal{V}_k$  by definition. The relative (resp. absolute) Semple tower of  $\mathcal{X}$  yields exact sequences

$$(5.1.2) \quad 0 \rightarrow T_{\mathcal{X}_k/\mathcal{X}_{k-1}} \rightarrow \mathcal{V}_k \xrightarrow{(\pi_{k,k-1})^*} \mathcal{O}_{\mathcal{X}_k}(-1) \rightarrow 0,$$

$$(5.1.3) \quad 0 \rightarrow \mathcal{O}_{\mathcal{X}_k} \rightarrow (\pi_{k,k-1})^*\mathcal{V}_{k-1} \otimes \mathcal{O}_{\mathcal{X}_k}(1) \rightarrow T_{\mathcal{X}_k/\mathcal{X}_{k-1}} \rightarrow 0,$$

$$(5.1.2^a) \quad 0 \rightarrow T_{\mathcal{X}_k^a/\mathcal{X}_{k-1}^a} \rightarrow \mathcal{V}_k^a \xrightarrow{(\pi_{k,k-1}^a)^*} \mathcal{O}_{\mathcal{X}_k^a}(-1) \rightarrow 0,$$

$$(5.1.3^a) \quad 0 \rightarrow \mathcal{O}_{\mathcal{X}_k^a} \rightarrow (\pi_{k,k-1}^a)^*\mathcal{V}_{k-1}^a \otimes \mathcal{O}_{\mathcal{X}_k^a}(1) \rightarrow T_{\mathcal{X}_k^a/\mathcal{X}_{k-1}^a} \rightarrow 0,$$

and by restricting the absolute ones to  $\mathcal{X}_k \subset \mathcal{X}_k^a$  and denoting  $\mathcal{G}_k := (T_{\mathcal{X}_k^a/\mathcal{X}_{k-1}^a})|_{\mathcal{X}_k}$ , we get exact sequences

$$(5.1.2^\sim) \quad 0 \longrightarrow \mathcal{G}_k \longrightarrow \tilde{\mathcal{V}}_k \xrightarrow{(\pi_{k,k-1})^*} \mathcal{O}_{\mathcal{X}_k}(-1) \longrightarrow 0,$$

$$(5.1.3^\sim) \quad 0 \longrightarrow \mathcal{O}_{\mathcal{X}_k} \longrightarrow (\pi_{k,k-1})^* \tilde{\mathcal{V}}_{k-1} \otimes \mathcal{O}_{\mathcal{X}_k}(1) \longrightarrow \mathcal{G}_k \longrightarrow 0$$

There is an inclusion morphism of (5.1.i) into (5.1.i $^\sim$ ),  $i = 2, 3$ , and by taking cokernels, we see that

$$\mathcal{S}_k := \tilde{\mathcal{V}}_k/\mathcal{V}_k \underset{(*)}{=} \mathcal{G}_k/(T_{\mathcal{X}_k/\mathcal{X}_{k-1}}) \underset{(**)}{=} (\pi_{k,k-1})^* \mathcal{S}_{k-1} \otimes \mathcal{O}_{\mathcal{X}_k}(1)$$

where (\*) comes from (5.1.2 $^\sim$ ) and (\*\*) from (5.1.3 $^\sim$ ). This induction formula for  $\mathcal{S}_k$  completes the proof of (5.1.1). If we take the dual exact sequences, we get

$$(5.1.2^*) \quad 0 \longrightarrow \mathcal{O}_{\mathcal{X}_k}(1) \longrightarrow \tilde{\mathcal{V}}_k^* \longrightarrow \mathcal{G}_k^* \longrightarrow 0,$$

$$(5.1.3^*) \quad 0 \longrightarrow \mathcal{G}_k^* \longrightarrow (\pi_{k,k-1})^* \tilde{\mathcal{V}}_{k-1}^* \otimes \mathcal{O}_{\mathcal{X}_k}(-1) \longrightarrow \mathcal{O}_{\mathcal{X}_k} \longrightarrow 0,$$

and the  $q$ -th (resp.  $q'$ -th) exterior power of these yield

$$(5.1.4) \quad 0 \longrightarrow \Lambda^{q-1} \mathcal{G}_k^* \otimes \mathcal{O}_{\mathcal{X}_k}(1) \longrightarrow \Lambda^q \tilde{\mathcal{V}}_k^* \longrightarrow \Lambda^q \mathcal{G}_k^* \longrightarrow 0,$$

$$(5.1.5) \quad 0 \longrightarrow \Lambda^{q'} \mathcal{G}_k^* \longrightarrow (\pi_{k,k-1})^* \Lambda^{q'} \tilde{\mathcal{V}}_{k-1}^* \otimes \mathcal{O}_{\mathcal{X}_k}(-q') \longrightarrow \Lambda^{q'-1} \mathcal{G}_k^* \longrightarrow 0.$$

We now make use of vector fields in a crucial manner.

### 5.1.6. Lemma.

- (a) Every local holomorphic vector field  $\zeta$  on an open set  $U \subset \mathcal{X}$  has a natural lifting  $\zeta^{(k)}$  to the open set  $(\pi_{k,0}^a)^{-1}(U)$  in the total space of the absolute Semple bundle  $\mathcal{X}_k^a$ .
- (b) In particular, if one assumes that  $\zeta$  is in  $H^0(U, T_{\mathcal{X}/S}) \subset H^0(U, T_{\mathcal{X}})$ , the lifted flow leaves invariant the relative Semple tower  $\mathcal{X}_k \subset \mathcal{X}_k^a$  in  $\pi_{k,0}^{-1}(U)$ , thus  $\zeta_{|\mathcal{X}_k}^{(k)}$  is tangent to  $T_{\mathcal{X}_k}$ .
- (c) Every local holomorphic vector field  $\sigma \in H^0(\Omega, T_S)$  has a (nonunique) lifting  $\tau^{(k)}$  to the open set  $(\pi \circ \pi_{k,0}^a)^{-1}(\Omega)$  in the total space of the absolute Semple bundle  $\mathcal{X}_k^a$ , and the flow of  $\tau^{(k)}$  induces a local biholomorphism  $(\mathcal{X}_k, \mathcal{V}_k) \rightarrow (\mathcal{X}_k, \mathcal{V}_k)$  of the relative Semple tower. These local flows preserve the exact sequences (5.1.1 – 5.1.5) and we again conclude that  $\tau_{|\mathcal{X}_k}^{(k)}$  is tangent to  $T_{\mathcal{X}_k}$ .
- (d) If a holomorphic vector field  $\zeta \in H^0(\mathcal{X} \setminus |A|, T_{\mathcal{X}})$  extends as a meromorphic section in  $H^0(\mathcal{X}, T_{\mathcal{X}} \otimes \mathcal{O}(pA))$  with poles of multiplicity  $\leq p$  along  $A$ , then

$$\zeta^{(k)} \in H^0(\mathcal{X}_k^a, T_{\mathcal{X}_k^a} \otimes (\pi_{k,0}^a)^* \mathcal{O}((p+k)A)).$$

- (e) If a global vector field  $\sigma$  on  $S$  is lifted as a meromorphic section  $\tau \in H^0(\mathcal{X}, T_{\mathcal{X}} \otimes \mathcal{O}(pA))$ , then we get a restriction  $\tau_{|\mathcal{X}_k}^{(k)} \in H^0(\mathcal{X}_k, T_{\mathcal{X}_k} \otimes \pi_{k,0}^* \mathcal{O}((p+k)A))$ .

*Proof.* (a) Every local holomorphic vector field  $\zeta$  on  $\mathcal{X}$  generates a flow of local biholomorphisms on open subsets of  $\mathcal{X}$ , and we can apply the functoriality property 1.11 to lift it to a flow on  $(\mathcal{X}_k^a, \mathcal{V}_k^a)$ . The differentiation of the lifted flow gives back what we define to be the lifted vector field  $\zeta^{(k)}$  on  $\mathcal{X}_k^a$ .

(b) If  $\zeta$  lies in  $\mathcal{V}_0 = T_{\mathcal{X}/S}$ , the lifted flow acts similarly on the relative tower  $(\mathcal{X}_k, \mathcal{V}_k)$  since the fibers  $X_{t,k}$  over  $X_t = \pi^{-1}(t)$  are preserved. Therefore  $\zeta_{|\mathcal{X}_k}^{(k)}$  is tangent to  $T_{\mathcal{X}_k}$ .

(c) Since  $\mathcal{X} \rightarrow S$  is a holomorphic submersion,  $\mathcal{X}$  is locally holomorphically trivialized as a product  $S \times X_t$ ; if  $\sigma$  is a local vector field on  $S$ , it can thus be lifted (possibly not uniquely)



as a local vector field  $\tau$  on  $\mathcal{X}$ . The resulting flow of  $\tau$  on  $\mathcal{X}$  commutes with the flow of  $\sigma$  on  $S$ , i.e. acts by (local) biholomorphisms  $(\mathcal{X}, T_{\mathcal{X}/S}) \rightarrow (\mathcal{X}, T_{\mathcal{X}/S})$  of directed varieties. From there we conclude, again by functoriality, that the lifting of the flow to  $\mathcal{X}_k^a$  preserves  $(\mathcal{X}_k, \mathcal{V}_k) \subset (\mathcal{X}_k^a, \mathcal{V}_k^a)$ , although its differential  $\tau^{(k)}$  need not be tangent to  $\mathcal{V}_k$ . The final conclusion of (c) is clear.

(d) The question is merely to compute the poles of  $\zeta^{(k)}$  along  $A$ . By our assumption, we can write  $\zeta = \eta/s_A^p$  near any point in the support of  $A$ , where  $\eta$  is a local section of  $T_{\mathcal{X}}$  and  $s_A = 0$  is a local holomorphic equation for  $|A|$  of divisor equal to  $A$ . The flow of  $\tau$  on  $\mathcal{X}$  is the solution  $\mathbb{C} \ni t \mapsto \Phi_t(x) \in \mathcal{X}$  of the ordinary differential equation

$$\frac{d}{dt}\Phi_t(x) = \zeta(\Phi_t(x)), \quad \Phi_0(x) = x.$$

The corresponding lifted flow on  $\mathcal{X}_1^a$  can be expressed as

$$\Phi_{[1],t}(x, [v]) = (\Phi_t(x), [d\Phi_t(x) \cdot v]), \quad v \in T_{\mathcal{X},x} \quad (v \neq 0).$$

By taking the derivative in  $t$  and writing  $\Phi_t(x) = x + t\zeta(x) + O(t^2)$ , we find

$$\begin{aligned} \zeta^{(1)}(x, [v]) &= \frac{d}{dt}\Big|_{t=0} \Phi_{[1],t}(x, [v]) = (\zeta(x), d\zeta(x) \cdot v \bmod \varepsilon) \\ &= (s_A^{-p}\eta(x), s_A^{-p}d\eta(x) \cdot v - p\eta(x)s_A^{-p-1}ds_A(x) \cdot v \bmod \varepsilon), \end{aligned}$$

where  $\varepsilon = \sum v_j \partial/\partial v_j$  is the Euler vector field on  $T_{\mathcal{X},x}$ . As a consequence, we find that  $\zeta^{(1)} \in H^0(\mathcal{X}_1^a, T_{\mathcal{X}_1^a} \otimes \pi_{1,0}^* \mathcal{O}(-(p+1)A))$ . The statement for  $\zeta^{(k)}$  follows by induction, by iterating  $k$  times the 1-jet functor. Property (e) is a special case, if we take (c) into account and restrict the vector field to  $\mathcal{X}_k$ .  $\square$

Let  $\mathcal{Z} \subset \mathcal{X}_k$  be an irreducible algebraic subvariety of  $\mathcal{X}_k$  that projects surjectively onto  $S$  for  $k = 0$ , and surjectively to  $\mathcal{X}_{k-1}$  for  $k \geq 1$ . We also assume that  $\mathcal{Z}$  is not contained in the union  $\Delta_k$  of vertical divisors of the Semple tower. Let  $(\mathcal{Z}, \mathcal{W}) \subset (\mathcal{X}_k, \mathcal{V}_k)$  be the induced directed structure.

**5.1.6. Lemma.** *In the above setting, if  $(\mathcal{Z}, \mathcal{W}) \subset (\mathcal{X}_k, \mathcal{V}_k)$  with  $r = \text{rank } \mathcal{W}$ , there is a natural nonzero (but merely rational) morphism*

$$(5.1.6_0) \quad \Lambda^{N+r} T_{\mathcal{X}^*|_{\mathcal{Z}}} \dashrightarrow K_{\mathcal{W}} \otimes \pi^* K_S \quad \text{for } k = 0,$$

$$(5.1.6_k) \quad \Lambda^{N+r} \tilde{\mathcal{V}}_k^*|_{\mathcal{Z}} \dashrightarrow K_{\mathcal{W}} \otimes (\pi \circ \pi_{k,0})^* K_S \otimes \mathcal{O}_{\mathcal{X}_k}(-N\mathbf{1})|_{\mathcal{Z}} \quad \text{for } k \geq 1$$

*Proof.* First assume  $k = 0$ . Pick a local section  $\theta$  of  $\Lambda^{N+r} T_{\mathcal{X}^*}$ , a local generator  $\sigma_1 \wedge \dots \wedge \sigma_N$  of  $K_S^{-1} = \Lambda^N T_S$ . Then, if  $\tilde{\sigma}_1 \wedge \dots \wedge \tilde{\sigma}_N$  is a local lifting of  $\sigma_1 \wedge \dots \wedge \sigma_N$  into  $\Lambda^N T_{\mathcal{Z}} \subset \Lambda^N T_{\mathcal{X}}$  at a regular point of  $\mathcal{Z}$ , the interior product contraction

$$\zeta = i(\tilde{\sigma}_1 \wedge \dots \wedge \tilde{\sigma}_N) \cdot \theta$$

gives a section of  $\Lambda^r T_{\mathcal{X}^*}$ , and its restriction  $\zeta|_{\mathcal{W}}$  to  $\mathcal{W} = T_{\mathcal{Z}} \cap T_{\mathcal{X}/S}$  yields the rational morphism (5.1.6<sub>0</sub>)

$$\theta \mapsto \eta = (\sigma_1 \wedge \dots \wedge \sigma_N)^{-1} \zeta|_{\mathcal{W}}$$

we were looking for. In other words, we have

$$(5.1.7) \quad \eta(\xi_1, \dots, \xi_r) = (\sigma_1 \wedge \dots \wedge \sigma_N)^{-1} \theta(\tilde{\sigma}_1, \dots, \tilde{\sigma}_N, \xi_1, \dots, \xi_r), \quad \xi_j \in \mathcal{W}.$$

Notice that  $\zeta|_{\mathcal{W}}$  is independent of the choice of the liftings  $\tilde{\sigma}_j$  (since another choice  $\tilde{\sigma}'_j$  would give a ‘‘vertical’’ difference  $\delta_j = \tilde{\sigma}'_j - \tilde{\sigma}_j \in \mathcal{W}$  which cannot be linearly independent from

the elements  $\xi_1, \dots, \xi_r$ ), and that the lifting process could a priori blow up at points where  $\pi : \mathcal{Z} \rightarrow S$  is not a submersion from a smooth germ of variety. The general case requires further arguments.

*End of proof of Lemma 5.1.6 (for  $k \geq 1$ ).* We proceed in a way quite similar to the case  $k = 0$ . Take a local section  $\theta$  of  $\Lambda^{r+N} \widetilde{\mathcal{V}}_k^*|_{\mathcal{Z}}$  at a point  $x \in \mathcal{Z}$ , and a local generator  $\sigma_1 \wedge \dots \wedge \sigma_N$  of  $\det T_S$  on a neighborhood of the point  $t = \pi \circ \pi_{k,0}(x) \in S$ . We use a local trivialization  $\mathcal{X} \simeq S \times X_t$  to lift the vector fields  $\sigma_j$  into vector fields  $\widetilde{\sigma}_j^{(k)}$  defined on the absolute Semple bundles  $\mathcal{X}_k^a$ ; by construction, they are tangent to  $\mathcal{V}_k^a$ , hence their restrictions to  $\mathcal{X}_k$  lie in  $\widetilde{\mathcal{V}}_k := \mathcal{V}_k^a|_{\mathcal{X}_k}$ . The interior product contraction yields a section

$$\zeta = i(\widetilde{\sigma}_1^{(k)} \wedge \dots \wedge \widetilde{\sigma}_N^{(k)}) \cdot \theta$$

of  $\Lambda^r \widetilde{\mathcal{V}}_k^*$ , and by composing with the restriction morphisms

$$\widetilde{\mathcal{V}}_k^* \rightarrow \mathcal{V}_k^* \rightarrow \mathcal{W}^*$$

and its  $r$ -th exterior power  $\Lambda^r \widetilde{\mathcal{V}}_k^* \rightarrow \Lambda^r \mathcal{W}^*$ , we get a section  $\zeta|_{\mathcal{W}}$  of  $K_{\mathcal{W}}$ . It is easy to see that this restriction factorizes through the multiplier ideal sheaf of  $K_{\mathcal{W}}$ ; in fact, since the relative Semple tower is nonsingular,  $\zeta$  can be lifted locally into a section of  $\Lambda^r T_{\mathcal{X}_k}^*$  on the ambient smooth variety  $\mathcal{X}_k$ . Now, let  $\widehat{\sigma}_j^{(k)}$  be the image of  $\widetilde{\sigma}_j^{(k)}$  into  $(\pi \circ \pi_{k,0})^* T_S \otimes \mathcal{O}_{\mathcal{X}_k}(1)$  by (5.1.1). We define

$$\eta = (\widehat{\sigma}_1^{(k)} \wedge \dots \wedge \widehat{\sigma}_N^{(k)})^{-1} \zeta|_{\mathcal{W}} \in K_{\mathcal{W}} \otimes (\pi \circ \pi_{k,0})^* K_S \otimes \mathcal{O}_{\mathcal{X}_k}(-N \mathbf{1})$$

and observe for this that  $\widehat{\sigma}_1^{(k)} \wedge \dots \wedge \widehat{\sigma}_N^{(k)}$  is a local generator of  $(\pi \circ \pi_{k,0})^* \Lambda^N T_S \otimes \mathcal{O}_{\mathcal{X}_k}(N \mathbf{1})$ . The morphism described in Lemma 5.1.6 is  $\theta \mapsto \eta$ . One still has to check that the map  $\theta \mapsto \eta$  does not depend on the choice of our liftings  $\widetilde{\sigma}_j$ . If we change for another one  $\widetilde{\sigma}'_j$ , the difference  $\delta_j = \widetilde{\sigma}'_j - \widetilde{\sigma}_j$  lies in  $T_{\mathcal{X}/S}$ . Its flow acts on the relative Semple tower by preserving each individual fiber  $X_{t,k}$  over  $X_t = \pi^{-1}(t)$ , and yields a lifting  $\delta_j^{(k)}$  that is tangent to  $\mathcal{V}_k$  on  $\mathcal{X}_k$ . Now, our section

that factorizes through the multiplier ideal sheaf of  $K_{\mathcal{W}}$ . It is surjective at the generic point, and actually, the integral closure of the ideal defined by the image of the morphism is everywhere equal to the multiplier ideal sheaf of  $K_{\mathcal{W}}$  (let us call this ‘‘quasi-surjectivity’’).

where the ‘‘dependence’’ on differentials of  $S$ -coordinates has been eliminated. For  $t$  generic, the restriction  $\eta|_{W_t}$  of

$$\eta = (\widehat{\sigma}_1^{(k)} \wedge \dots \wedge \widehat{\sigma}_N^{(k)})^{-1} \zeta|_{\mathcal{X}_k} \in \Lambda^{q-N} T_{\mathcal{X}_k^a|_{\mathcal{X}_k}}^* \otimes (\pi \circ \pi_{k,0})^* K_S \otimes \mathcal{O}_{\mathcal{X}_k}(-N \mathbf{1})$$

to  $W_t \subset T_{\mathcal{X}_k|Z_t} \subset T_{\mathcal{X}_k^a|Z_t}$  defines the image of  $\theta$  by (5.1.11);

The derivative of the flow on  $\mathcal{X}_k^a$  projects to a section  $\widehat{\sigma}^{(k)}$  of  $(\pi \circ \pi_{k,0})^* T_S \otimes \mathcal{O}_{\mathcal{X}_k}(1)$  by (5.1.1), and one can see that  $\widehat{\sigma}^{(k)}/(\pi \circ \pi_{k,0})^* \sigma$  is a non zero section of  $\mathcal{O}_{\mathcal{X}_k}(\mathbf{1})$ . The flow of any vector field  $\widetilde{\sigma}^{(k)}$  defines a local equivariant action on all vector bundles considered above, preserving especially all exact sequences (5.1.1–5.1.5) by functoriality. Clearly, (5.1.11) is well defined at a generic point where  $\mathcal{Z}$  and  $\mathcal{W}$  are nonsingular and the projection  $\pi \circ \pi_{k,0} : \mathcal{Z} \rightarrow S$  is a local submersion, but this is not completely clear for a singular point. Let us take a local section  $\theta$  of  $K_{\widetilde{\mathcal{W}}}$  at such a point. By definition (up to taking the integral closure – we just neglect this problem for now),  $\theta$  is the image in  $\det \widetilde{\mathcal{W}}^*$  of a section  $\theta^a$  in  $\Lambda^q T_{\mathcal{X}_k^a}^*$ . It admits a lifting  $\widetilde{\sigma}_1^{(k)} \wedge \dots \wedge \widetilde{\sigma}_N^{(k)}$  in the exterior power  $\Lambda^N T_{\mathcal{X}_k^a}$ , and by an

notice that the singularities of  $\mathcal{W}$  and  $\mathcal{Z}$  do not play a role in the definition of  $\eta$ . This shows that the morphism (5.1.11) is well defined and that it is surjective after passing to the integral closures of the multiplier ideals, thanks to the surjectivity of the restriction  $\Lambda^q T_{\mathcal{X}_k^a|Z_t}^* \rightarrow \Lambda^q T_{\mathcal{X}_k|Z_t}^*$  at the level of ambient nonsingular bundles (however, the restriction of

the integral closure might be smaller than the integral closure of the multiplier ideal sheaf restricted from  $\mathcal{Z}$  to  $Z_t$ .  $\square$

*Proof of Lemma 5.1.6.* Since  $\mathcal{Z}$  is also contained in the  $k$ -th stage  $\mathcal{X}_k^a$  of the absolute Semple tower, we have in a similar manner a directed structure  $(\mathcal{Z}, \widetilde{\mathcal{W}}) \subset (\mathcal{X}_k, \widetilde{\mathcal{V}}_k)$  induced by  $(\mathcal{X}_k^a, \mathcal{V}_k^a)$ . Clearly, thanks to (5.1.1) and by the fact that  $\mathcal{Z}$  dominates  $S$ , there is an exact sequence

$$(5.1.6) \quad 0 \longrightarrow \mathcal{W} \longrightarrow \widetilde{\mathcal{W}} \longrightarrow (\pi \circ \pi_{k,0})^* T_S \otimes \mathcal{O}_{\mathcal{X}_k}(1) \longrightarrow 0$$

over  $\mathcal{Z}$ , at least at the general point of  $\mathcal{Z}$ . We get by definition a non trivial morphism over  $\mathcal{Z}$  induced by the natural inclusion  $\widetilde{\mathcal{W}} \subset \widetilde{\mathcal{V}}_k$

$$\Lambda^q \widetilde{\mathcal{V}}_{k|Z}^* \longrightarrow K_{\widetilde{\mathcal{W}}}, \quad q = \text{rank } \widetilde{\mathcal{W}}.$$

One should notice that  $\widetilde{\mathcal{V}}_k$  and  $\mathcal{G}_k$  are genuine vector bundles without singularities, hence the above morphism actually has its image *contained in*  $K_{\widetilde{\mathcal{W}}}$  even when one takes into account the relevant multiplier ideal sheaf that defines  $K_{\widetilde{\mathcal{W}}}$  (“monotonicity principle”). Now, we conclude by (5.1.4) that either we have a non trivial morphism

$$\Lambda^{q-1} \mathcal{G}_k^* \otimes \mathcal{O}_{\mathcal{X}_k}(1)|_{\mathcal{Z}} \longrightarrow K_{\widetilde{\mathcal{W}}}$$

or (if the above vanishes) a non trivial morphism

$$\Lambda^q \mathcal{G}_k^* \otimes \mathcal{O}_{\mathcal{X}_k}(1)|_{\mathcal{Z}} \longrightarrow K_{\widetilde{\mathcal{W}}}.$$

By (5.1.5) with  $q' = q$  or  $q' = q + 1$ , we infer that we have a non trivial morphism

$$(\pi_{k,k-1})^* \Lambda^q \widetilde{\mathcal{V}}_{k-1}^* \otimes \mathcal{O}_{\mathcal{X}_k}(-q+1)|_{\mathcal{Z}} \longrightarrow K_{\widetilde{\mathcal{W}}}$$

or a non trivial morphism

$$(\pi_{k,k-1})^* \Lambda^{q+1} \widetilde{\mathcal{V}}_{k-1}^* \otimes \mathcal{O}_{\mathcal{X}_k}(-q-1)|_{\mathcal{Z}} \longrightarrow K_{\widetilde{\mathcal{W}}}.$$

Proceeding inductively with the lower stages and getting down to  $\widetilde{\mathcal{V}}_0 = T_{\mathcal{X}}$ , we conclude that there exists an integer  $q' \geq q = \text{rank } \widetilde{\mathcal{W}}$ , a weight  $\mathbf{a} = (a_1, \dots, a_k) \in \mathbb{N}^k$ ,  $a_j \geq q - 1$ , and a non trivial morphism

$$(5.1.7) \quad (\pi_{k,0})^* \Lambda^{q'} T_{\mathcal{X}}^* \otimes \mathcal{O}_{\mathcal{X}_k}(\mathbf{a})|_{\mathcal{Z}} \longrightarrow K_{\widetilde{\mathcal{W}}} \otimes \mathcal{O}_{\mathcal{X}_k}(\mathbf{a})|_{\mathcal{Z}}.$$

Assume that  $q \geq N + 1$  (i.e.  $\text{rank } \mathcal{W} \geq 1$ ). By our assumption (assuming  $S$  affine here),  $\Lambda^{q'} T_{\mathcal{X}}^*$  is ample over  $\mathcal{X}$ , thus, by twisting with a certain relatively ample line bundle  $\mathcal{O}_{\mathcal{X}_k}(\varepsilon \mathbf{c})$  with respect to  $\pi_{k,0}$ , we see that  $(\pi_{k,0})^* \Lambda^{q'} T_{\mathcal{X}}^* \otimes \mathcal{O}_{\mathcal{X}_k}(\varepsilon \mathbf{c})$  is ample over  $\mathcal{X}_k$  for  $0 < \varepsilon \ll 1$ . From this, we infer that there exists a weight  $\mathbf{b} \in \mathbb{Q}_+^k$ ,  $b_j > q - 1$ , such that  $K_{\mathcal{W}} \otimes \mathcal{O}_{\mathcal{X}_k}(\mathbf{b})|_{\mathcal{Z}}$  is big over  $\mathcal{Z}$ , in other words  $(\mathcal{Z}, \widetilde{\mathcal{W}})$  is of general type modulo  $\mathcal{X}_k \rightarrow \mathcal{X}$ . Notice that this is true especially for  $k = 0$  (the argument is then much more obvious from the assumption that  $\Lambda^q T_{\mathcal{X}}^*$  is ample over  $\mathcal{X}$ ).

From this, one easily concludes by a Hilbert scheme argument that  $(X_t, T_{X_t})$  is algebraically jet-hyperbolic for very general  $t \in S$ . Otherwise, consider the collection of irreducible varieties  $Z_t \times \{t\} \subset \mathcal{X}_k$  such that the induced directed structure  $(Z_t, W_t)$  is not of general type modulo  $X_{t,k} \rightarrow X_t$ , with  $\text{rank } W_t \geq 1$  and  $t$  running over  $S$ . If we fix  $k$ , the degree  $\delta$  of  $Z_t$  with respect to some polarization and the weight  $\mathbf{b} \in \mathbb{Q}_+^k$  such that  $K_{W_t} \otimes \mathcal{O}_{\mathcal{X}_k}(\mathbf{b})|_{Z_t}$  is not big, we get a Zariski closed set  $\mathcal{H}_{k,\delta,\mathbf{b}}$  in the Hilbert scheme of  $\mathcal{X}_k$ , and so is  $\mathcal{H}_{k,\delta} = \bigcap_{\mathbf{b}} \mathcal{H}_{k,\delta,\mathbf{b}}$ . We have a natural projection  $p_{k,\delta} : \mathcal{H}_{k,\delta} \rightarrow S$ . If  $p_{k,\delta}$  were dominant, it would be possible to find a Zariski open set  $S^0 \subset S$ , a finite unramified cover  $\widehat{S}^0$  of  $S^0$  and a branched section  $\widehat{S}^0 \rightarrow \mathcal{H}_{k,\delta}$  of  $p_{k,\delta}$ . This would give an algebraic family  $Z_t \subset X_{t,k}$  for  $t \in \widehat{S}^0$ , such that

the induced directed structure  $(Z_t, W_t)$  is not of general type modulo  $X_{t,k} \rightarrow X_t$ , with  $\text{rank } W_t \geq 1$ . In order to avoid finite covers of the base, we apply a base change  $\widehat{S}^0 \rightarrow S$  and consider the resulting deformation  $\widehat{\mathcal{X}} \rightarrow \widehat{S}^0$ , which we still denote  $\mathcal{X} \rightarrow S$  to simplify notation (so that we just have  $\widehat{S}^0 = S$  in the new setting). We obtain a directed subvariety  $(\mathcal{Z}, \widetilde{\mathcal{W}})$  of the absolute Semple tower  $(\mathcal{X}_k^a, \mathcal{V}_k^a)$  with the following properties:

$$(5.1.8) \quad \mathcal{Z} := \overline{\bigcup_{t \in S} Z_t} \subset \mathcal{X}_k \subset \mathcal{X}_k^a, \quad \widetilde{\mathcal{W}} := \overline{T_{Z_{\text{reg}}} \cap \mathcal{V}_k^a} \subset \widetilde{\mathcal{V}}_k|_{\mathcal{Z}} \quad \text{where } \widetilde{\mathcal{V}}_k = \mathcal{V}_k^a|_{\mathcal{X}_k},$$

$$(5.1.9) \quad \text{Ker} \left( \widetilde{\mathcal{W}} \rightarrow (\pi \circ \pi_{k,0})^* T_S \otimes \mathcal{O}_{\mathcal{X}_k}(\mathbf{1})|_{\mathcal{Z}} \right) \text{ restricts to } W_t \text{ on } Z_t, t \in S$$

(maybe after shrinking again  $S$  to a smaller Zariski open set). By construction,  $\mathcal{Z}$  projects onto  $S$  and  $\widetilde{\mathcal{W}}$  has rank  $q = N + \text{rank } W_t > N = \dim S$ . Also the natural morphism

$$(5.1.10) \quad \widetilde{\mathcal{W}} \rightarrow (\pi \circ \pi_{k,0})^* T_S \otimes \mathcal{O}_{\mathcal{X}_k}(\mathbf{1})|_{\mathcal{Z}}$$

is generically surjective by construction. By Lemma 5.1.13 below, we infer that there is a well defined morphism that factorizes through the relevant multiplier ideal sheaves

$$(5.1.11) \quad (K_{\widetilde{\mathcal{W}}})|_{Z_t} \longrightarrow K_{W_t} \otimes (\pi \circ \pi_{k,0})^* K_S \otimes \mathcal{O}_{X_k}(-N \mathbf{1})|_{Z_t},$$

(it is an isomorphism up to enlarging the restricted multiplier ideal on the left hand side to its integral closure along  $Z_t$ , but we will not need this here). If we combine (5.1.7) and (5.1.11), we get a restriction morphism

$$(5.1.12) \quad (\pi_{k,0})^* \Lambda^{q'} T_{\mathcal{X}}^*|_{Z_t} \longrightarrow K_{W_t} \otimes (\pi \circ \pi_{k,0})^* K_S \otimes \mathcal{O}_{X_k}(\mathbf{a} - N \mathbf{1})|_{Z_t}.$$

Since  $\Lambda^{q'} T_{\mathcal{X}}^*$  is relatively big over  $S$  and the factor  $(\pi \circ \pi_{k,0})^* K_S|_{Z_t}$  is trivial, we see after multiplication by a small relatively ample term  $\mathcal{O}_{X_k}(\varepsilon \mathbf{c})|_{Z_t}$  that  $K_{W_t}$  is big modulo  $X_{k,t} \rightarrow X_t$  for generic  $t$  (it is helpful here to know that  $a_j > q - 1 \geq N$ ). This is a contradiction, hence  $p_{k,\delta}$  is not dominant and  $S_{k,\delta} = p_{k,\delta}(S) \subsetneq S$  (here we reproject down to  $S$  in case there were a finite cover  $\widehat{S}^0 \rightarrow S$ ). We find that  $X_t$  is algebraically jet hyperbolic for  $t \in S \setminus \bigcup_{k,\delta} S_{k,\delta}$  and Theorem 5.1 is proved.  $\square$

**5.2. Remark.** In fine, the main argument of the proof is the existence of a non trivial morphism given by (5.1.7). If for all relevant subvarieties  $\mathcal{Z} \subset \mathcal{X}_k$  one can find an ample subbundle  $\mathcal{A} \subset \Lambda^{q'} T_{\mathcal{X}}$  such that the composition

$$(\pi_{k,0})^* \mathcal{A}|_{\mathcal{Z}} \longrightarrow (\pi_{k,0})^* \Lambda^{q'} T_{\mathcal{X}}^*|_{\mathcal{Z}} \longrightarrow K_{\widetilde{\mathcal{W}}} \otimes \mathcal{O}_{\mathcal{X}_k}(\mathbf{a})|_{\mathcal{Z}}, \quad \mathbf{a} \in \mathbb{Q}_+^k$$

is non zero, then the conclusion still holds. This may allow to weaken the hypotheses on the positivity of  $\Lambda^{q'} T_{\mathcal{X}}$ .  $\square$

**5.3. Universal family of complete intersections.** Let us consider the universal family of complete intersections of dimension  $n$ , codimension  $c$  and type  $(d_1, \dots, d_c)$  in complex projective  $\mathbb{P}^{n+c} = P(E)$ , where  $E \simeq \mathbb{C}^{n+c+1}$  is a complex vector space. We can view it as a smooth family  $\pi = \text{pr}_1 : \mathcal{X} \rightarrow S$  where  $S$  is a Zariski open set in

$$\overline{S} = \prod_{1 \leq j \leq c} \text{Sym}^{d_j} E^* \simeq \prod \mathbb{C}^{N_j} = \mathbb{C}^N, \quad N_j = \binom{d_j + n + c}{n + c},$$

and  $\mathcal{X} \subset S \times P(E)$  is the incidence variety defined by

$$(5.3.1) \quad \begin{cases} t = (t_1, \dots, t_c) \in S, & z \in E \simeq \mathbb{C}^{n+c+1}, & t_j \simeq (t_{j,\alpha}) \in \text{Sym}^{d_j} E^*, \\ P_j(t, z) := t_j \cdot z^{d_j} = \sum_{|\alpha|=d_j} t_{j,\alpha} z^\alpha, & 1 \leq j \leq c, & \alpha = (\alpha_\ell) \in \mathbb{N}^{n+c+1}, \\ \mathcal{X} = \{(t, [z]) \in S \times P(E); P_j(t, z) = 0, 1 \leq j \leq c\}. \end{cases}$$

We denote by  $\text{pr}_1 : \mathcal{X} \rightarrow S$  and  $\text{pr}_2 : \mathcal{X} \rightarrow P(E) \simeq \mathbb{P}^{n+c}$  the natural projections. Here  $S$  is the set of coefficients  $t \in \mathbb{C}^N$  that define a nonsingular subvariety  $X_t = \text{pr}_1^{-1}(t)$  of codimension  $c$  in  $\mathbb{P}^{n+c}$  (or rather  $\{t\} \times \mathbb{P}^{n+c}$ ). Notice that there is a natural action of  $\text{GL}(E) = \text{GL}(n+c+1, \mathbb{C})$  on  $\mathcal{X}$  defined by

$$(5.3.2) \quad g \cdot ((t_j), [z]) = ((t_j \circ g^{-1}), [g \cdot z]), \quad g \in \text{GL}(E), t_j \in \text{Sym}^{d_j} E^*,$$

which simply consists of transforming the equations via an arbitrary linear change of coordinates. We use the following famous result proved by Claire Voisin [Voi96, Corollary 1.3] (with the substitution of notation  $k \mapsto c$ ,  $n \mapsto n+c$ ,  $l \mapsto N+n-q$  in our setting).

**5.4. Proposition** ([Voi96]). *Over any affine Zariski open set  $S^0 \subset S$ , the twisted tangent bundle  $T_{\mathcal{X}} \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(1)$  is generated by sections. Moreover, the vector bundle  $\Lambda^q T_{\mathcal{X}}^*$  is generated by sections for  $\sum d_j \geq 2n+c+N+1-q$ , and it is relatively very ample with respect to the projection  $\mathcal{X} \rightarrow S$  for  $\sum d_j > 2n+c+N+1-q$ .*

*Proof.* Since the argument can be made very simple and very short, we give it here for the sake of completeness. If  $(\varepsilon_j)_{0 \leq j \leq n+c}$  denotes the canonical basis of  $\mathbb{Z}^{n+c+1}$ , we get sections of the tangent bundle of  $\text{cone}(\mathcal{X})$  over  $\mathcal{X}$  in  $S \times E \simeq S \times \mathbb{C}^{n+c+1}$  by taking the explicit vector fields

$$(5.4.1) \quad \xi_{\ell,m} := z_m \frac{\partial}{\partial z_\ell} - \sum_{1 \leq j \leq c, |\alpha|=d_j} \alpha_\ell t_{j,\alpha} \frac{\partial}{\partial t_{j,\alpha-\varepsilon_\ell+\varepsilon_m}}, \quad 0 \leq \ell, m \leq n+c, \alpha \in \mathbb{N}^{n+c+1},$$

$$(5.4.2) \quad \eta_{j,\alpha,\ell,m} := z_m \frac{\partial}{\partial t_{j,\alpha}} - z_\ell \frac{\partial}{\partial t_{j,\alpha-\varepsilon_\ell+\varepsilon_m}}, \quad |\alpha| = d_j, \alpha_\ell > 0, 0 \leq \ell \neq m \leq n+c,$$

which all yield zero derivative when applied to any of the polynomials  $P_j(t, z)$ . In fact the vector fields (5.4.1) are just the Killing vector fields induced by the action of  $\text{GL}(E)$  on  $\text{cone}(\mathcal{X})$ . The natural  $\mathbb{C}^*$  action defined by  $\lambda \cdot (t, z) = (t, \lambda z)$  has an associated Euler vector field  $\varepsilon = \sum_{0 \leq \ell \leq n+c} z_\ell \partial / \partial z_\ell$ . By taking the quotient with the rank 1 subbundle  $\mathcal{O}_{\mathcal{X}} \cdot \varepsilon$ , the  $\xi_{\ell,m}$ 's actually define sections of  $T_{\mathcal{X}}$  (homogeneity degree 0 in  $z$ ), while the  $\eta_{j,\alpha,\ell,m}$ 's define sections of  $T_{\mathcal{X}} \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(1)$  (homogeneity degree 1 in  $z$ ). We claim that the vector fields

$$(t, [z]) \mapsto \xi_{\ell,m} z_p \text{ mod } \mathcal{O}_{\mathcal{X}} \cdot \varepsilon, \quad (t, [z]) \mapsto \eta_{j,\alpha,\ell,m} \text{ mod } \mathcal{O}_{\mathcal{X}} \cdot \varepsilon$$

generate  $T_{\mathcal{X}} \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(1)$  at every point. In fact, as the  $\xi_{\ell,m}$  already provide all ‘‘vertical’’  $z$ -directions, we need only check that it is enough to add one (non tangent) ‘‘horizontal’’ vector field  $\partial / \partial t_{j,\alpha_0^j}$  for each  $j = 1, \dots, c$  to generate the whole ambient tangent space  $T_{\mathbb{P}^{n+c} \times \mathbb{C}^N, x}$ , since the claim then follows by a trivial (co)dimension argument. At a point  $(t, [z])$  where  $z_0 \neq 0$  (say), we take  $\alpha_0^j = (d_j, 0, \dots, 0) \in \mathbb{N}^{n+c+1}$ . Together with  $\partial / \partial t_{j,\alpha_0^j}$ , the vector fields

$$(5.4.3) \quad z_0^{-1} \eta_{j,\alpha,\ell,0} := \frac{\partial}{\partial t_{j,\alpha}} - z_0^{-1} z_\ell \frac{\partial}{\partial t_{j,\alpha-\varepsilon_\ell+\varepsilon_0}}, \quad \alpha_\ell > 0, \quad \ell \neq 0$$

then generate  $T_{\mathbb{C}^N}$  by a simple triangular matrix argument (increase the value of the 0-th component of  $\alpha$  and decrease the  $\alpha_\ell$ 's,  $\ell \neq 0$ , until  $\alpha = \alpha_0^j$ ). Let  $\mathcal{O}_S(-1)$  be the tautological

line bundle on  $S$  (coming from the tautological line bundle  $\mathcal{O}_{P(\bar{S})}(-1)$  on  $P(\bar{S})$ ). Since  $K_{S \times \mathbb{P}^{n+c}} = \text{pr}_1^* K_S \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(-n-c-1)$  and  $\mathcal{X}$  is defined by sections of the line bundles  $\text{pr}_1^* \mathcal{O}_S(-1) \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(d_j)$ , the adjunction formula gives

$$K_{\mathcal{X}} = \Lambda^{N+n} T_{\mathcal{X}}^* = \mathcal{L}_S \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(\sum d_j - n - c - 1).$$

where  $\mathcal{L}_S$  is the line bundle  $\text{pr}_1^*(\det T_S^* \otimes \mathcal{O}_S(-c))$  (this bundle plays no role in the sequel since it can be made trivial by restricting  $S$  to a suitable affine chart). Therefore

$$\begin{aligned} (5.4.4) \quad \Lambda^q T_{\mathcal{X}}^* &= K_{\mathcal{X}} \otimes \Lambda^{N+n-q} T_{\mathcal{X}} \\ &= \mathcal{L}_S \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(\sum d_j - n - c - 1) \otimes \Lambda^{N+n-q} T_{\mathcal{X}} \\ &= \mathcal{L}_S \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(\sum d_j - 2n - c - N - 1 + q) \otimes \Lambda^{N+n-q} (T_{\mathcal{X}} \otimes \text{pr}_2^* \mathcal{O}(1)). \end{aligned}$$

As  $T_{\mathcal{X}} \otimes \text{pr}_2^* \mathcal{O}(1)$  is generated by sections, Prop. 5.4 follows immediately.  $\square$

If we want the relative ampleness of  $\Lambda^q T_{\mathcal{X}}^*$  to hold for  $q > N$ , we need  $\sum d_j \geq 2n + c + 1$ . Theorem 5.1 then implies:

**5.5. Corollary (solution of the Kobayashi conjecture).** *For all  $n, c \geq 1$  and  $d_j$  such that  $\sum d_j \geq 2n + c + 1$ , the very general complete intersection of type  $(d_1, \dots, d_c)$  in complex projective space  $\mathbb{P}^{n+c}$  is Kobayashi hyperbolic.*

The simplest non trivial situation is the surface case  $n = 2$  in codimension  $c = 1$ . We then obtain the Kobayashi hyperbolicity of a very general surface  $X \subset \mathbb{P}^3$  of degree  $d \geq 6$ . The result seems to be new even in this case, although Duval [Duv04] has shown by elementary means the existence of a hyperbolic sextic (from this, it already follows that there is a family of hyperbolic sextics over an open set of parameters in Hausdorff topology). Geng Xu [Xu95] has shown that a very general quintic surface  $X$  does not contain curves of genus  $g \leq 2$ , but as far as we know, this is not enough to conclude that  $X$  is Kobayashi hyperbolic.

**5.6. Remark.** It would be good to know if Kobayashi hyperbolicity is a Zariski open condition, in particular, whether one can replace “very general” by “general” in Cor. 5.5. This would require further investigations, but such a result might be accessible by taking into account Remark 3.3, which shows that the “bad sets”  $Z$  to consider are somehow bounded.

**5.7. Remark.** In the case  $n \geq 2$  and  $\sum d_j = 2n + c$  (and especially in the “border case”  $d = 2n + 1$  of hypersurfaces), it follows from Prop. 5.4 due to [Voi96] that  $(\Lambda^q T_{\mathcal{X}}^*)|_{X_t}$  is generated by sections for  $q = N + 1$  and very ample for  $q \geq N + 2$ . It would then be natural to look at the degeneration sets occurring for all appropriate subvarieties  $\mathcal{Z}$  in the various stages of the relative Semple tower. Our attempts left us with some hope of analyzing the situation, but certain remaining degenerations seem to require intricate Wronskian and flag manifold arguments.

**5.8. Case of complements.** Our techniques also apply to study the Kobayashi hyperbolicity of complements  $\mathbb{P}^n \setminus X$ , when  $X$  is an algebraic hypersurface of degree  $d$  in  $\mathbb{P}^n$ . In fact, if  $X = \{P(z_0, \dots, z_n) = 0\}$ , one can introduce the hypersurface

$$Y = \{z_{n+1}^d - P(z_0, \dots, z_n) = 0\} \subset \mathbb{P}^{n+1}.$$

It is trivial to show that the Kobayashi hyperbolicity of  $X$  implies the Kobayashi hyperbolicity of  $Y$ , since the natural projection

$$\rho : Y \rightarrow \mathbb{P}^n, \quad (z_0, \dots, z_{n+1}) \mapsto (z_0, \dots, z_n)$$

defines an unramified  $d : 1$  cover from  $Y \setminus \rho^{-1}(X)$  onto  $\mathbb{P}^n \setminus X$ . We have a universal family  $\mathcal{Y} \rightarrow S$  by looking at the parameter space given by coefficients of  $P$ . This is just a subfamily of the universal family of degree  $d$  hypersurfaces, and we only have to check that Prop. 5.4 still applies when we have no dependence on the variable  $z_{n+1}$  except for the monomial  $z_{n+1}^d$ . Here the group acting on the ambient projective space  $\mathbb{P}^{n+1}$  is taken to be

$$\mathrm{GL}(n+1, \mathbb{C}) \times \mathbb{C}^* \subset \mathrm{GL}(n+2, \mathbb{C}),$$

and one can see that the last Killing vector field  $z_{n+1}\partial/\partial z_{n+1} + (\dots)$  introduces some degenerations on  $z_{n+1} = 0$  – and only there. We easily conclude by our techniques that  $\mathrm{ECL}(X) \subset X \cap \{z_{n+1} = 0\}$  for  $P$  very general of degree  $d \geq 2n + 2$ , but since we also have  $\mathrm{ECL}(Y) = \emptyset$ , we conclude that  $\mathbb{P}^n \setminus X$  is Kobayashi hyperbolic for  $X$  very general of degree  $d \geq 2n + 2$ . Zaidenberg [Zai87] has shown that this conclusion fails for  $d = 2n$ . One could hope to improve the bound to  $d \geq 2n + 1$  by introducing logarithmic Semple jet bundles, as suggested by Dethloff and Lu [DL01], and apply the idea suggested in Remark 5.7.

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