

**5.3. Universal family of complete intersections.** Let us consider the universal family of complete intersections of dimension  $n$ , codimension  $c$  and type  $(d_1, \dots, d_c)$  in complex projective  $\mathbb{P}^{n+c} = P(E)$ , where  $E \simeq \mathbb{C}^{n+c+1}$  is a complex vector space. We can view it as a smooth family  $\pi = \text{pr}_1 : \mathcal{X} \rightarrow S$  where  $S$  is a Zariski open set in

$$\bar{S} = \prod_{1 \leq j \leq c} \text{Sym}^{d_j} E^* \simeq \prod \mathbb{C}^{N_j} = \mathbb{C}^N, \quad N_j = \binom{d_j + n + c}{n + c},$$

and  $\mathcal{X} \subset S \times P(E)$  is the incidence variety defined by

$$(5.3.1) \quad \begin{cases} t = (t_1, \dots, t_c) \in S, & z \in E \simeq \mathbb{C}^{n+c+1}, & t_j \simeq (t_{j,\alpha}) \in \text{Sym}^{d_j} E^*, \\ P_j(t, z) := t_j \cdot z^{d_j} = \sum_{|\alpha|=d_j} t_{j,\alpha} z^\alpha, & 1 \leq j \leq c, & \alpha = (\alpha_\ell) \in \mathbb{N}^{n+c+1}, \\ \mathcal{X} = \{(t, [z]) \in S \times P(E); P_j(t, z) = 0, 1 \leq j \leq c\}. \end{cases}$$

We denote by  $\text{pr}_1 : \mathcal{X} \rightarrow S$  and  $\text{pr}_2 : \mathcal{X} \rightarrow P(E) \simeq \mathbb{P}^{n+c}$  the natural projections. Here  $S$  is the set of coefficients  $t \in \mathbb{C}^N$  that define a nonsingular subvariety  $X_t = \text{pr}_1^{-1}(t)$  of codimension  $c$  in  $\mathbb{P}^{n+c}$  (or rather  $\{t\} \times \mathbb{P}^{n+c}$ ). Notice that there is a natural action of  $\text{GL}(E) = \text{GL}(n+c+1, \mathbb{C})$  on  $\mathcal{X}$  defined by

$$(5.3.2) \quad g \cdot ((t_j), [z]) = ((t_j \circ g^{-1}), [g \cdot z]), \quad g \in \text{GL}(E), t_j \in \text{Sym}^{d_j} E^*,$$

which simply consists of transforming the equations via an arbitrary linear change of coordinates. We use the following famous result proved by Claire Voisin [Voi96, Corollary 1.3] (with the substitution of notation  $k \mapsto c$ ,  $n \mapsto n+c$ ,  $l \mapsto N+n-q$  in our setting).

**5.4. Proposition** ([Voi96]). *Over any affine Zariski open set  $S^0 \subset S$ , the twisted tangent bundle  $T_{\mathcal{X}} \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(1)$  is generated by sections. Moreover, the vector bundle  $\Lambda^q T_{\mathcal{X}}^*$  is generated by sections for  $\sum d_j \geq 2n+c+N+1-q$ , and it is relatively very ample with respect to the projection  $\mathcal{X} \rightarrow S$  for  $\sum d_j > 2n+c+N+1-q$ .*

*Proof.* Since the argument can be made very simple and very short, we give it here for the sake of completeness. If  $(\varepsilon_j)_{0 \leq j \leq n+c}$  denotes the canonical basis of  $\mathbb{Z}^{n+c+1}$ , we get sections of the tangent bundle of  $\text{cone}(\mathcal{X})$  over  $\mathcal{X}$  in  $S \times E \simeq S \times \mathbb{C}^{n+c+1}$  by taking the explicit vector fields

$$(5.4.1) \quad \xi_{\ell,m} := z_m \frac{\partial}{\partial z_\ell} - \sum_{1 \leq j \leq c, |\alpha|=d_j} \alpha_\ell t_{j,\alpha} \frac{\partial}{\partial t_{j,\alpha-\varepsilon_\ell+\varepsilon_m}}, \quad 0 \leq \ell, m \leq n+c, \alpha \in \mathbb{N}^{n+c+1},$$

$$(5.4.2) \quad \eta_{j,\alpha,\ell,m} := z_m \frac{\partial}{\partial t_{j,\alpha}} - z_\ell \frac{\partial}{\partial t_{j,\alpha-\varepsilon_\ell+\varepsilon_m}}, \quad |\alpha| = d_j, \alpha_\ell > 0, 0 \leq \ell \neq m \leq n+c,$$

which all yield zero derivative when applied to any of the polynomials  $P_j(t, z)$ . In fact the vector fields (5.4.1) are just the Killing vector fields induced by the action of  $\text{GL}(E)$  on  $\text{cone}(\mathcal{X})$ . The natural  $\mathbb{C}^*$  action defined by  $\lambda \cdot (t, z) = (t, \lambda z)$  has an associated Euler vector field  $\varepsilon = \sum_{0 \leq \ell \leq n+c} z_\ell \partial / \partial z_\ell$ . By taking the quotient with the rank 1 subbundle  $\mathcal{O}_{\mathcal{X}} \cdot \varepsilon$ , the  $\xi_{\ell,m}$ 's actually define sections of  $T_{\mathcal{X}}$  (homogeneity degree 0 in  $z$ ), while the  $\eta_{j,\alpha,\ell,m}$ 's define sections of  $T_{\mathcal{X}} \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(1)$  (homogeneity degree 1 in  $z$ ). We claim that the vector fields

$$(t, [z]) \mapsto \xi_{\ell,m} z_p \text{ mod } \mathcal{O}_{\mathcal{X}} \cdot \varepsilon, \quad (t, [z]) \mapsto \eta_{j,\alpha,\ell,m} \text{ mod } \mathcal{O}_{\mathcal{X}} \cdot \varepsilon$$

generate  $T_{\mathcal{X}} \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(1)$  at every point. In fact, as the  $\xi_{\ell,m}$  already provide all ‘‘vertical’’  $z$ -directions, we need only check that it is enough to add one (non tangent) ‘‘horizontal’’ vector

field  $\partial/\partial t_{j,\alpha_0^j}$  for each  $j = 1, \dots, c$  to generate the whole ambient tangent space  $T_{\mathbb{P}^{n+c} \times \mathbb{C}^N, x}$ , since the claim then follows by a trivial (co)dimension argument. At a point  $(t, [z])$  where  $z_0 \neq 0$  (say), we take  $\alpha_0^j = (d_j, 0, \dots, 0) \in \mathbb{N}^{n+c+1}$ . Together with  $\partial/\partial t_{j,\alpha_0^j}$ , the vector fields

$$(5.4.3) \quad z_0^{-1} \eta_{j,\alpha,\ell,0} := \frac{\partial}{\partial t_{j,\alpha}} - z_0^{-1} z_\ell \frac{\partial}{\partial t_{j,\alpha-\varepsilon_\ell+\varepsilon_0}}, \quad \alpha_\ell > 0, \quad \ell \neq 0$$

then generate  $T_{\mathbb{C}^N}$  by a simple triangular matrix argument (increase the value of the 0-th component of  $\alpha$  and decrease the  $\alpha_\ell$ 's,  $\ell \neq 0$ , until  $\alpha = \alpha_0^j$ ). Let  $\mathcal{O}_S(-1)$  be the tautological line bundle on  $S$  (coming from the tautological line bundle  $\mathcal{O}_{P(\overline{S})}(-1)$  on  $P(\overline{S})$ ). Since  $K_{S \times \mathbb{P}^{n+c}} = \text{pr}_1^* K_S \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(-n-c-1)$  and  $\mathcal{X}$  is defined by sections of the line bundles  $\text{pr}_1^* \mathcal{O}_S(-1) \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(d_j)$ , the adjunction formula gives

$$K_{\mathcal{X}} = \Lambda^{N+n} T_{\mathcal{X}}^* = \mathcal{L}_S \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(\sum d_j - n - c - 1).$$

where  $\mathcal{L}_S$  is the line bundle  $\text{pr}_1^*(\det T_S^* \otimes \mathcal{O}_S(-c))$  (this bundle plays no role in the sequel since it can be made trivial by restricting  $S$  to a suitable affine chart). Therefore

$$(5.4.4) \quad \begin{aligned} \Lambda^q T_{\mathcal{X}}^* &= K_{\mathcal{X}} \otimes \Lambda^{N+n-q} T_{\mathcal{X}} \\ &= \mathcal{L}_S \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(\sum d_j - n - c - 1) \otimes \Lambda^{N+n-q} T_{\mathcal{X}} \\ &= \mathcal{L}_S \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(\sum d_j - 2n - c - N - 1 + q) \otimes \Lambda^{N+n-q} (T_{\mathcal{X}} \otimes \text{pr}_2^* \mathcal{O}(1)). \end{aligned}$$

As  $T_{\mathcal{X}} \otimes \text{pr}_2^* \mathcal{O}(1)$  is generated by sections, Prop. 5.4 follows immediately.  $\square$

If we want the relative ampleness of  $\Lambda^q T_{\mathcal{X}}^*$  to hold for  $q > N$ , we need  $\sum d_j \geq 2n + c + 1$ . In order to analyze the much more delicate border case  $\sum d_j = 2n + c$ , we will have to introduce further considerations. First, there is an exact sequence

$$(5.4.5) \quad 0 \rightarrow T_{\mathcal{X}}^h \rightarrow T_{\mathcal{X}} \rightarrow \text{pr}_2^* T_{\mathbb{P}^{n+c}} \rightarrow 0,$$

and via the differential  $(\text{pr}_1)_*$  of the first projection, the ‘‘horizontal subbundle’’  $T_{\mathcal{X}}^h$  can be seen as a subbundle of the trivial bundle  $\text{pr}_1^* T_S$  on  $\mathcal{X}$ . The Killing vector fields  $\xi_{\ell,m}$  (cf. (5.4.1)) are global sections of  $T_{\mathcal{X}}$ , and their images in  $\text{pr}_2^* T_{\mathbb{P}^{n+c}}$  generate that bundle. On the other hand, the  $\eta_{j,\alpha,\ell,m}$ 's defined in (5.4.2) are global sections of  $T_{\mathcal{X}}^h \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(1)$  and they generate  $T_{\mathcal{X}}^h \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}^{n+c}}(1)$  as well. A combination of Theorem 5.1 with Proposition 5.4 implies

**5.5. Corollary (solution of the Kobayashi conjecture).** *For all  $n, c \geq 1$  and  $d_j$  such that  $\sum d_j \geq 2n + c + 1$ , the very general complete intersection of type  $(d_1, \dots, d_c)$  in complex projective space  $\mathbb{P}^{n+c}$  is Kobayashi hyperbolic. The same conclusion holds for  $\sum d_j \geq 2n + c$  and  $n \geq 2$ .*

*Proof.* When  $\sum d_j \geq 2n + c + 1$ , our previous discussion shows that  $\Lambda^q T_{\mathcal{X}}^*$  is very ample for  $q \geq N + 1$ , so we conclude directly by Theorem 5.1. In the border case  $\sum d_j = 2n + c$ , these bundles are still very ample with the potential exception of  $\Lambda^{N+1} T_{\mathcal{X}}^*$  that is merely generated by sections. According to Remark 5.2, we try to find a suitable ample subbundle  $\mathcal{A} \subset \Lambda^{N+1} T_{\mathcal{X}}^*$ , the pull-back of which is not contained in the kernel  $\mathcal{R}$  of the associated morphism (5.1.7);  $\mathcal{R}$  has codimension 1 since  $\text{rank } K_{\overline{\mathcal{W}}} = 1$ . By (5.4.4), we simply have in this situation

$$(5.5.1) \quad \Lambda^{N+1} T_{\mathcal{X}}^* \simeq \mathcal{L}_S \otimes \Lambda^{n-1} (T_{\mathcal{X}} \otimes \text{pr}_2^* \mathcal{O}(1)).$$

In other words, one can take exterior products of  $(n-1)$   $\mathcal{O}(1)$ -twisted vector fields and contract them with a (then nowhere vanishing) twisted  $(N+n)$ -form on  $\mathcal{X}$ , to produce

sections of  $\Lambda^{N+1} T_{\mathcal{X}}^*$ . We reach our goal if we can take  $\mathcal{A}$  to be generated by contractions with at least one vector field  $\xi_{\ell,m}$  (i.e. not all of the type  $\eta_{j,\alpha,\ell,m}$ ), because in that case one of the sections lies in  $T_{\mathcal{X}}$ , and we have a gain of one factor  $\mathcal{O}(1)$ , i.e.  $\mathcal{A} \otimes \text{pr}_2^* \mathcal{O}(-1)$  is still generated by sections. This argument fails if the only possibility to get a section outside of  $\mathcal{R}$  is to take all factors to be vector fields of the type  $\eta_{j,\alpha,\ell,m}$ . In order to analyze this situation, we need the following general observation which is a consequence of the functorial properties of Semple towers.

**5.5.2. Observation.** *If  $\zeta$  is a local holomorphic vector field on an open set  $U \subset \mathcal{X}$ , its flow defines local biholomorphisms of  $\mathcal{X}$  which admit unique liftings to the absolute Semple bundles  $\mathcal{X}_k^a$ . In particular any such vector field  $\zeta$  has an intrinsically defined lifting  $\zeta^{(k)}$  over  $\pi_{k,0}^{-1}(U) \subset \mathcal{X}_k^a$ . Moreover, if  $\zeta_1, \dots, \zeta_{N'}$  generate  $T_{\mathcal{X}}$  over  $U$ ,  $N' = \dim \mathcal{X} = N + n$ , then*

$$\zeta_1^{(k)}, \dots, \zeta_{N'}^{(k)} \text{ generate } \mathcal{V}_k^a \text{ over } \pi_{k,0}^{-1}(U) \cap (\mathcal{X}_k \setminus \Delta_k)$$

( $\mathcal{X} \setminus \Delta_k$  being the Zariski open set of points of  $X_k$  associated with regular jets).  $\square$

Notice that by functoriality, our morphism (5.1.7) commutes with the action of flows of vector fields. Therefore, the failure described above means that we can find  $n - 1$  vector fields among the  $\eta_{j,\alpha,\ell,m}$ , say  $\eta_1, \dots, \eta_{n-1}$  to make notation shorter, so that

$$\widetilde{\mathcal{W}} \oplus \text{Span}(\eta_1^{(k)}, \dots, \eta_{n-1}^{(k)}) = \mathcal{V}_k^a$$

generically, but the replacement of some  $\eta_j^{(k)}$  (say  $\eta_{n-1}^{(k)}$ ) by any  $\xi_{\ell,m}^{(k)}$  no longer generates  $\mathcal{V}_k^a$ . In that case we conclude that

$$(5.5.3) \quad \text{Span}(\xi_{\ell,m}^{(k)})_{0 \leq \ell, m \leq n+c} \subset \widetilde{\mathcal{W}} \oplus \text{Span}(\eta_1^{(k)}, \dots, \eta_{n-2}^{(k)}) \quad [\text{a hyperplane in } \mathcal{V}_k^a].$$

In fact, we can change the direction of  $\text{Span}(\eta_1^{(k)}, \dots, \eta_{n-2}^{(k)})$  by adding linear combinations of the  $\eta_j$ 's, and by taking the intersection of all hyperplanes involved in the right hand side of (5.5.3), we conclude that the choice of an ample  $\mathcal{A}$  possibly fails only in case

$$(5.5.4) \quad \text{Span}(\xi_{\ell,m}^{(k)})_{0 \leq \ell, m \leq n+c} \subset \widetilde{\mathcal{W}} \subset T_{\mathcal{Z}}$$

at a generic point of  $\mathcal{Z}$ . Condition (5.5.4) implies (and is equivalent to the fact) that  $\mathcal{Z}$  is invariant by the action of  $\text{GL}(E)$  on  $\mathcal{X}_k$ . Also, since the vertical vector fields do not contribute in this situation, we see that (5.1.7) induces by restriction via (5.5.1) a surjective morphism

$$(5.5.5) \quad \mathcal{L}_S \otimes (\pi_{k,0})^* \Lambda^{n-1}(T_{\mathcal{X}}^h \otimes \mathcal{O}(1)) \rightarrow K_{\widetilde{\mathcal{W}}} \otimes \mathcal{O}_{X_k}(a)|_{\widetilde{\mathcal{Z}}}.$$

This situation is solved by the next lemma, which shows that the image of the morphism (5.5.5) is still a big rank 1 sheaf, as needed.  $\square$

**5.5.6. Lemma.** *Let  $\mathcal{Z} \subset \mathcal{X}_k$  be an irreducible algebraic variety that dominates  $\mathcal{X}_{k-1}$  (if  $k > 0$ ) resp.  $\underline{S}$  (if  $k = 0$ ). Assume that  $\mathcal{Z}$  is invariant by  $\text{GL}(E)$  and that the directed structure  $(\mathcal{Z}, \widetilde{\mathcal{W}})$  induced by the absolute Semple bundle  $(\mathcal{X}_k^a, \mathcal{V}_k^a)$  has  $\text{rank } \mathcal{W} = q := N + 1$ . For  $n \geq 2$  and  $\sum d_j = 2n + c$ , consider the associated morphism (5.1.7)*

$$\theta : (\pi_{k,0})^* \Lambda^{q'} T_{\mathcal{X}}^*|_{\mathcal{Z}} \rightarrow K_{\widetilde{\mathcal{W}}} \otimes \mathcal{O}_{X_k}(\mathbf{a})|_{\mathcal{Z}}, \quad q' \geq q.$$

Then

(i) either one has  $q' > q = N + 1$ , in which case  $\Lambda^{q'} T_{\mathcal{X}}^*$  is relatively ample,

- (ii) or  $q' = q = N + 1$ , in which case generic points of  $\mathcal{Z}$  are separated by global sections of  $H^0(\mathcal{Z}, K_{\widetilde{W}} \otimes \mathcal{O}_{\mathcal{X}_k}(\mathbf{a})|_{\mathcal{Z}})$  obtained as images of sections of  $\Lambda^q T_{\mathcal{X}}^* \simeq \Lambda^{n-1}(T_{\mathcal{X}} \otimes \mathcal{O}(1))$  arising from  $(n-1)$  exterior products of twisted vector fields  $\eta_{j,\alpha,\ell,m}$ .

*Proof.* We only have to consider case (ii)  $q' = q = N + 1$ . First assume  $k = 0$ . Then  $\mathcal{Z} \rightarrow S$  is a family of curves  $Z_t \subset \mathbb{P}^{n+c+1}$  over some Zariski open set  $S^0 \subset S \subset \mathbb{C}^N$  with  $\mathcal{Z} = \overline{\bigcup Z_t} \subset \mathcal{X}$  and  $\widetilde{W} = T_{\mathcal{Z}}$ . We already know that the sections described above generate  $K_{\widetilde{W}} \otimes \mathcal{O}_{\mathcal{X}_k}(\mathbf{a})|_{\mathcal{Z}}$  at nonsingular points, so it suffices to prove that we can find a nonzero section in the image that vanishes at a generic point. We pick a point  $x^0 \in Z_t$  such that  $x^0$  is smooth on  $Z_t$ ,  $\mathcal{Z}$ ,  $X_t$  and  $\mathcal{X}$  (this is true generically). By a suitable choice of a basis  $(e_j)_{0 \leq j \leq n+c}$  of  $E \simeq \mathbb{C}^{n+c+1}$ , we may assume that  $x^0 = (t, p^0)$  where  $p^0 = [e_0]$  is identified with the origin in the affine chart  $\mathbb{C}^{N+c} = \{z_0 = 1\} \subset \mathbb{P}^{n+c}$  equipped with coordinates  $z_1, \dots, z_{n+c}$ , that  $T_{Z_t, x^0}$  is generated by  $e_1 = \partial/\partial z_1$  in  $T_{\mathbb{P}^{n+c}}$ , and finally that  $T_{X_t, x^0} = \text{Span}(\partial/\partial z_1, \dots, \partial/\partial z_n)$ . By (5.4.3), the horizontal subspace of  $T_{\mathcal{X}, x^0}$  defined as the kernel of  $(\text{pr}_2)_* : T_{\mathcal{X}} \rightarrow T_{\mathbb{P}^{n+c}}$  contains the  $(N-c)$  vectors

$$\eta_{j,\alpha,\ell,0}(x^0) = \frac{\partial}{\partial t_{j,\alpha}}, \quad 1 \leq j \leq c, \quad \alpha \in \mathbb{N}^{n+c+1}, \alpha \neq \alpha_0^j = (d_j, 0, \dots, 0)$$

(the value at  $x^0$  does not depend on  $\ell$ , for any such  $\alpha$  we agree to take e.g. the largest  $\ell > 0$  such that  $\alpha_\ell > 0$ ). Beyond these horizontal vectors and the vertical ones  $\partial/\partial z_1, \dots, \partial/\partial z_n$  in  $T_{X_t, x^0} \subset T_{\mathcal{X}, z}$ , there are also  $c$  ‘‘oblique’’ (still possibly horizontal!) tangent vectors

$$\left( \frac{\partial}{\partial t_{j,\alpha_0^j}}, \zeta_j \right) \in T_{\mathcal{X}, x^0}, \quad \alpha_0^j = (d_j, 0, \dots, 0), \quad \zeta_j \in T_{\mathbb{P}^{n+c}, p^0}, \quad 1 \leq j \leq c.$$

By our choices,  $(t_{j,\alpha}, z_1)$  are local coordinates of  $\mathcal{Z}$  near  $x^0$ ,  $(t_{j,\alpha}, z_1, \dots, z_n)$  are local coordinates of  $\mathcal{X}$ , and the varieties can be locally expressed in  $\mathbb{C}^N \times \mathbb{P}^{n+c}$  as certain graphs

$$(5.5.7) \quad \mathcal{Z} : z_\ell = g_\ell(t_{j,\alpha}, z_1), \quad 2 \leq \ell \leq n+c,$$

$$(5.5.8) \quad \mathcal{X} : z_\ell = h_\ell(t_{j,\alpha}, z_1, \dots, z_n), \quad n+1 \leq \ell \leq n+c.$$

Accordingly,  $K_{\widetilde{W}} = K_{\mathcal{Z}}$ , resp.  $K_{\mathcal{X}}$ , are locally generated near  $x^0$  by the top degree forms

$$\omega_1 = \bigwedge_{j,\alpha} dt_{j,\alpha} \wedge dz_1, \quad \text{resp.} \quad \omega_{1\dots n} = \bigwedge_{j,\alpha} dt_{j,\alpha} \wedge dz_1 \wedge \dots \wedge dz_n$$

of respective degrees  $N+1$ ,  $N+n$ . By our assumption that  $\mathcal{Z}$  is  $\text{GL}(E)$  invariant, we know that there is a certain section of  $\Lambda^{n-1}(T_{\mathcal{X}} \otimes \mathcal{O}(1))$  of the form

$$u = \eta_{j_1, \alpha_1, \ell_1, 0} \wedge \dots \wedge \eta_{j_{n-1}, \alpha_{n-1}, \ell_{n-1}, 0}, \quad \alpha_s \neq (d_{j_s}, 0, \dots, 0),$$

that yields after contraction a section  $\theta u$  of  $K_{\widetilde{W}} \otimes \mathcal{O}_{\mathcal{X}_k}(\mathbf{a})|_{\mathcal{Z}}$  such that  $\theta u(x^0) \neq 0$ . In terms of the graph description (5.5.7), this means that

$$(5.5.9) \quad \det \left( \frac{\partial g_\ell}{\partial t_{j_s, \alpha_s}}(x^0) \right)_{2 \leq \ell \leq n, 1 \leq s \leq n-1} \neq 0.$$

As  $x^0 \in \mathcal{X}$  and  $\partial/\partial z_\ell \in T_{\mathcal{X}, x^0}$ ,  $1 \leq \ell \leq n$ , we get

$$P_j(x^0) = t_{j,\alpha_0^j} = 0 \quad \text{and} \quad \frac{\partial}{\partial z_\ell} P_j(x^0) = t_{j,\beta_\ell^j} = 0, \quad \beta_\ell^j = (d_j - 1, 0, \dots, 1, \dots, 0) = (d_j - 1)\varepsilon_0 + \varepsilon_\ell.$$

On the curve  $Z_t$ , the tangency of  $\partial/\partial z_1$  at  $x^0$  shows that we can use  $z_1$  as the local coordinate near  $x^0$  and that  $z_\ell = O(z_1^2)$  for  $\ell > 1$ . If  $\ell_s > 1$ , we find

$$\eta_{j_s, \alpha_s, \ell_s, 0}(x^0) = \frac{\partial}{\partial t_{j_s, \alpha_s}} \quad \text{while} \quad \eta_{j_s, \alpha_s, \ell_s, 1} = z_1 \frac{\partial}{\partial t_{j_s, \alpha_s}} - z_{\ell_s} \frac{\partial}{\partial t_{j_s, \alpha_s - \varepsilon_{\ell_s} + \varepsilon_1}}, \quad z_{\ell_s} = O(z_1^2).$$

Therefore, if we replace  $\eta_{j_s, \alpha_s, \ell_s, 0}$  by  $\eta_{j_s, \alpha_s, \ell_s, 1}$  in the wedge product defining  $u$ , we get another product  $v$  such that  $\theta v$  vanishes exactly at order 1 at  $x^0 \in Z_t$ ; this is the section we were looking for, and in that case conclusion (ii) is met. We obtain such sections unless all choices of  $\alpha_s$  that satisfy (5.5.9) are of the form  $(d_j - r, r, 0, \dots, 0)$ , i.e. all their components of index  $p > 1$  vanish. In other words, we fail if  $\partial g_\ell / \partial t_{j, \alpha}(x^0) = 0$  for all  $\ell \in \{2, \dots, n\}$  and all  $(j, \alpha)$  such that  $\alpha_p > 0$  for some  $p > 1$  (as any nonzero vector of  $\mathbb{C}^{n-1}$  can be completed into a basis by  $(n-2)$  vectors extracted from  $(n-1)$  linearly independent ones). Geometrically, this means that the corresponding horizontal vectors  $\frac{\partial}{\partial t_{j, \alpha}}, \exists p > 1, \alpha_p > 0$  that are tangent to  $\mathcal{X}$  are also tangent to  $\mathcal{Z}$  (the horizontality implies  $\partial g_\ell / \partial t_{j, \alpha}(x^0) = \partial h_\ell / \partial t_{j, \alpha}(x^0) = 0$  for  $\ell \geq n+1$ ). More intrinsically, these vectors form a basis of the subspace  $\mathcal{F}_\delta \subset \text{pr}_1^* T_S$  of vectors  $\tau \in \mathbb{C}^N = \prod \text{Sym}^{d_j} E^*$  such that  $\tau_j \cdot \zeta^{d_j} = 0$  for all  $\zeta$  in the plane  $\Pi_\delta = \text{Span}(e_0, e_1) \subset E$  that defines the tangent line  $\delta = T_{Z_t, x^0} \subset \mathbb{P}^{n+c}$ , and our condition is that this horizontal subspace  $\mathcal{F}_\delta$  should be tangent to  $\mathcal{Z}$ . The equality  $t_j \cdot \zeta^{d_j} \equiv 0$  yields  $d_j + 1$  independent conditions, thus  $\dim \mathcal{F}_\delta = N - \sum (d_j + 1) = N - (2n + 2c)$ . Since  $\mathcal{Z}$  is invariant by the action of  $\text{GL}(E)$ ,  $T_{\mathcal{Z}, x^0}$  also contains the subspace  $\mathcal{G}_{x^0}$  generated by the  $(n+c+1)^2$  Killing vector fields at point  $x^0 \in \mathbb{C}^N \times \mathbb{P}^{n+c}$ . For  $t$  generic (see below), we have  $\dim \mathcal{G}_{x^0} = (n+c+1)^2$  and as all vertical directions are attained, the horizontal directions form a subspace of dimension  $\dim \mathcal{G}_{x^0}^h = (n+c+1)^2 - (n+c)$ . When failure occurs, we have  $\mathcal{F}_\delta + \mathcal{G}_{x^0} \subset T_{\mathcal{Z}, x^0}$  or equivalently  $\mathcal{F}_\delta + \mathcal{G}_{x^0}^h \subset T_{\mathcal{Z}, x^0}^h$ , hence

$$(5.5.10) \quad \dim(\mathcal{F}_\delta + \mathcal{G}_{x^0}) \leq \dim T_{\mathcal{Z}, x^0} = N + 1,$$

$$(5.5.10^h) \quad \dim(\mathcal{F}_\delta + \mathcal{G}_{x^0}^h) \leq \dim T_{\mathcal{Z}, x^0}^h = N + 1 - (n+c).$$

Since  $\dim \mathcal{F}_\delta = N - (2n + 2c)$  and  $\dim \mathcal{G}_{x^0} = (n+c+1)^2$  is rather large, we are going to see that this situation is highly non generic, at least if  $n \geq 3$ .

**5.5.11. Lemma.** *Let  $F_{1,2}(E)$  be the flag manifold of pairs  $([z], \delta)$  such that  $[z] \in \delta \subset P(E)$  where  $\delta$  is a projective line, associated to a  $(1, 2)$ -flag  $\mathbb{C}z \subset \Pi_\delta \subset E$ ,  $\dim \Pi_\delta = 2$ . For  $r = 1, \dots, n$ , consider the algebraic variety  $M_r$  of triples  $(t, [z], \delta) \in S \times F_{1,2}(E)$  satisfying the conditions*

(a)  $(t, [z]) \in \mathcal{X}$ , i.e.  $t_j \cdot z^{d_j} = 0$  for  $1 \leq j \leq c$ ;

(b)  $[z] \in \delta \subset T_{X_t}$  and

(c)  $\dim(\mathcal{F}_\delta + \mathcal{G}_{(t, [z])}) \leq N + r$ , where  $\mathcal{F}_\delta = \{\tau \in T_S; \tau_j \cdot \zeta^{d_j} = 0, \forall \zeta \in \Pi_\delta\}$  and  $\mathcal{G}_p$  is the tangent space to the orbit of a point  $p = (t, [z]) \in \mathcal{X}$  under  $\text{GL}(E)$ .

Then

$$\text{codim}_S \text{pr}_1(M_r) \geq 2c - 1 + (n - r - 2)(n + c - 1),$$

in particular  $\text{codim}_S \text{pr}_1(M_1) > 0$  as soon as  $n \geq 3$ .

*Proof.* The variety  $M_r$  admits an equivariant fibration over  $F_{1,2}(E)$  with respect to the action of  $\text{GL}(E)$ , and the action is transitive on  $F_{1,2}(E)$ , hence

$$(5.5.12) \quad \dim M_r \leq \dim F_{1,2}(E) + \dim(\text{fiber}) = 2n + 2c - 1 + \dim(\text{fiber}).$$

Now, by differentiating the action  $g \mapsto g \cdot (t, [z]) = (\zeta \mapsto t_j \cdot g^{-1}(\zeta)^{d_j}, [gz])$  at  $g = \text{Id}_E$ , we see that  $\mathcal{G}_{(t,[z])}$  is the image of the differential

$$(5.5.13) \quad \mathfrak{gl}(E) \ni u \longmapsto \gamma(u) := (\tau_j : \zeta \mapsto -d_j t_j \cdot (\zeta^{d_j-1} u \zeta), \pi_*(uz))$$

where  $\pi_*$  is the differential of  $\pi : E \setminus \{0\} \rightarrow P(E)$  and the product  $\zeta^{d_j-1} u \zeta$  is computed in the symmetric algebra  $\text{Sym}^\bullet E$ . The horizontal part  $\mathcal{G}_{(t,[z])}^h$  is obtained by taking only those  $u \in \mathfrak{gl}(E)$  such that  $uz // z$ , in which case  $\pi_*(uz) = 0$ . We make more explicit calculations assuming  $z = e_0 = (1, 0, \dots, 0)$  and  $\Pi_\delta = \text{Span}(e_0, e_1)$  where  $(e_j)_{0 \leq j \leq n+c}$  is a basis of  $E$ . Then  $\mathcal{F}_\delta$  consists of elements  $\tau = (\tau_j)$  such that all components  $\tau_{j,\alpha}$  vanish for  $\alpha$  of the form  $(\alpha_1, \alpha_2, 0, \dots, 0)$ ,  $\alpha_1 + \alpha_2 = d_j$ . Condition (a) is equivalent to  $t_{j,\alpha} = 0$  for  $\alpha = \alpha_0^j = (d_j, 0, \dots, 0)$  and (b) is equivalent to  $t_{j,\alpha} = 0$  for  $\alpha = \beta_1^j = (d_j - 1, 1, 0, \dots, 0)$ , which already count for  $2c$  linear conditions on  $t$ . Since  $\dim \mathcal{F}_\delta = N - (2n + 2c)$ , condition (c), which is equivalent to  $\dim(\mathcal{F}_\delta + \mathcal{G}_{x_0}^h) \leq N + 1 - (n + c)$ , is realized if  $\mathcal{G}_{x_0}^h$  recovers via (5.5.13) at most  $n + c + 1$  directions from the missing  $(2n + 2c)$  directions  $\tau_{j,\alpha}$ ,  $\alpha = (\alpha_0, \alpha_1, 0, \dots, 0)$ ,  $\alpha_0 + \alpha_1 = d_j$ . Denote by  $u_{\ell,m} \in \mathfrak{gl}(E)$  the endomorphism such that  $u_{\ell,m}(e_m) = e_\ell$  and all other entries of the matrix are zero. Let  $\gamma_1(u) = \text{pr}_1 \gamma(u)$  in (5.5.13), and let  $\gamma_1(u)_{j,\alpha}$  be the coefficient of the monomial  $\zeta^\alpha$  in the component in  $\text{Sym}^{d_j} E^*$ . The action restricted to  $\lambda \mapsto g = \text{Id} + \lambda u_{\ell,m}$  has the effect of substituting  $\zeta_\ell + \lambda \zeta_m$  to  $\zeta_\ell$ , hence we find by taking the  $(d/d\lambda)|_{\lambda=0}$  derivative the simple formula

$$(5.5.14) \quad \gamma_1(u_{\ell,m})_{j,\alpha-\varepsilon_\ell+\varepsilon_m} = -\alpha_\ell t_{j,\alpha} \iff \gamma_1(u_{\ell,m})_{j,\alpha} = -(\alpha_\ell + 1 - \delta_{\ell,m}) t_{j,\alpha+\varepsilon_\ell-\varepsilon_m}.$$

Restricting the right hand side of (5.5.14) to indices  $\alpha$  of the form  $\alpha = (\alpha_0, \alpha_1, 0, \dots, 0)$ , we see that  $\gamma_1(u_{\ell,m})_{j,\alpha} = 0$  for  $m > 1$ , thus we only have to consider the images of  $u_{0,0}$  and  $u_{\ell,1}$ ,  $0 \leq \ell \leq n + c$ , namely  $n + c + 2$  vectors. We get

$$\gamma_1(u_{0,0})_{j,\alpha} = -\alpha_0 t_{j,\alpha}, \quad \gamma_1(u_{\ell,1})_{j,\alpha} = -(\alpha_\ell + 1 - \delta_{\ell,1}) t_{j,\alpha+\varepsilon_\ell-\varepsilon_1}.$$

to pairs  $(\ell, m)$  such that  $m = 0 \Rightarrow \ell = 0$ , we get a matrix with  $(n + c)(n + c + 1)$  columns and  $\sum d_j = 2n + c$  rows, whose entries are the  $*t_{j,\alpha+\varepsilon_\ell-\varepsilon_m}$  (notice that the entry is always zero if  $\alpha_1 = 0$ ). The degeneration condition (c) occurs if the rank of this matrix does not exceed  $n + c + r$ .

The element  $u_{0,0}$  yields one missing direction unless  $t_{j,\alpha} = 0$  for  $\alpha_0 > 0$ .

We can already recover 3 directions by taking

$$u_1(e_0) = e_0, \quad u_1(e_1) = 0, \quad u_2(e_0) = 0, \quad u_1(e_1) = e_0, \quad u_3(e_0) = 0, \quad u_1(e_1) = e_0$$

. We can further recover every direction  $\tau_{j,\alpha}$  with  $\alpha_2 > 0$  by taking  $u(e_0) = 0$ ,  $u(e_1) = e_\ell$ ,  $\ell > 1$ , and  $u(e_j) = 0$ ,  $j > 1$  in (5.5.13), as soon as we have  $t_{j,\beta} \neq 0$  for

$$\beta = (\alpha_1, \alpha_2 - 1, 0, \dots, 1, \dots, 0),$$

the component 1 being in the  $\ell$ -th position. Failure to recover  $\tau_{j,\alpha}$  occurs if these  $(n + c - 1)$  components  $t_{j,\beta}$  vanish. However, in order to recover several directions at the same time, we have to use independent elements  $u \in \mathfrak{gl}(E)$ , namely elements corresponding to different values of  $\ell$ . Hence we lose one dimension for the second direction to recover,  $1 + 2 = 3$  dimensions for the third direction,  $\dots$ ,  $p(p - 1)/2$  dimensions for the  $p$ -th direction. Since we can recover in total  $\sum d_j = 2n + c$  directions  $\tau_{j,\alpha}$ , the degeneration occurs when we have at least  $(n - r)$  directions not recovered, a situation that occurs only when  $t$  is in a finite union of subspaces of codimension  $2c + 1 + (n - r)(n + c - 1) - (n - r)(n - r - 1)/2$ . From

this, we infer by (5.5.12) that

$$\begin{aligned}\dim M_r &\leq (2n + 2c - 1) + (N - 2c - (n - r)(n + c - 1) + (n - r)(n - r - 1)/2) \\ &\leq N - (2c - 1 + (n - r - 2)(n + c - 1)).\end{aligned}$$

Lemma 5.5.11 is proved.  $\square$

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