

FANO MANIFOLDS WITH BIG AND NEF TANGENT BUNDLES

JEAN-PIERRE DEMAILLY, MIHAI PĂUN AND THOMAS PETERNELL

CONTENTS

1. Introduction	1
2. Basic Notions	2
3. Spannedness of the tangent bundle	3
4. The top Segre of a rational-homogeneous manifold	5
5. The Albanese map	6
References	8

1. INTRODUCTION

An interesting open problem in uniformization theory, first formulated and studied in [CP91], asks for the structure of complex projective (or compact Kähler) manifolds X whose tangent bundles T_X are nef. This is to say - in case X is projective - that given any curve irreducible compact curve C and any quotient

$$T_X|_C \rightarrow Q \rightarrow 0,$$

the determinant of Q is non-negative: $c_1(Q) \geq 0$.

The notion of a nef tangent bundle includes the case that X carries a metric with non-negative holomorphic bisectional curvature, but is more general. Two very prominent cases have been treated in 1979 and 1988:

- Mori [Mo79] proved that the only compact Kähler manifold with *ample* tangent bundle is projective space;
- Mok [Mo88] showed that a compact Kähler manifold admitting a *Kähler* metric with non-negative holomorphic bisectional curvature, is hermitian-symmetric.

In [DPS94] the study of Kähler manifolds with nef tangent bundles was reduced to the case of Fano manifolds X . Namely, if X is a compact Kähler manifold with T_X nef, then - possibly after a finite étale cover - the Albanese map $\alpha : X \rightarrow A$ is a surjective submersion (which is flat in a certain sense), whose fibers are Fano manifolds with nef tangent bundles.

The main conjecture in [CP91] predicts that a Fano manifold X with nef tangent bundle is a rational homogeneous manifold, i.e. $X = G/P$ where G is a semi-simple complex Lie group and P a parabolic subgroup. Notice that in order to prove that a Fano manifold X is rational homogeneous, it suffices to show that X is homogeneous, i.e., that T_X is spanned by global sections.

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Only a few special results on the general problem were known so far, see [CP91], [DPS94], [Mo02], [SW04], [MOSWW14]. In this paper we confirm the above conjecture under some natural additional assumptions, e.g. in case the projectivized tangent bundle has a semi-ample or big tautological line bundle $\mathcal{O}_{\mathbb{P}(T_X)}(1)$. Recall that a semi-ample line bundle is a line bundle L such that some multiple mL is spanned by global sections of $H^0(X, mL)$.

1.1. Theorem. *Let X be a Fano manifold of dimension n with nef tangent bundle. Assume that the tautological line bundle $\mathcal{O}_{\mathbb{P}(T_X)}(1)$ on $\mathbb{P}(T_X)$ is semi-ample. Then X is rational homogeneous.*

1.2. Theorem. *Let X be a Fano manifold of dimension n with nef tangent bundle. Suppose that the top Segre class of X does not vanish: $s_n(X) \neq 0$. Then X is rational homogeneous.*

The key of the proof is to introduce the projectivized bundle $\mathbb{P}(T_X)$ associated with the tangent bundle T_X . The tautological line bundle $\mathcal{O}_{\mathbb{P}(T_X)}(1)$ is nef (essentially by definition), and the anticanonical bundle of $\mathbb{P}(T_X)$ is given by

$$-K_{\mathbb{P}(T_X)} = \mathcal{O}_{\mathbb{P}(T_X)}(n)$$

where $n = \dim X$. Since T_X is nef, the top Segre class of T_X is non-negative, i.e. $s_n(X) \geq 0$ by [DPS94]. Equivalently, $c_1(\mathcal{O}(1))^{2n-1} \geq 0$. Thus, if $s_n(X) \neq 0$, then $s_n(X) > 0$, and we see that $\mathcal{O}_{\mathbb{P}(T_X)}(1)$ and $-K_{\mathbb{P}(T_X)}$ are big. The base point free theorem (see Lemma 2.1 below) implies in this case that $\mathcal{O}_{\mathbb{P}(T_X)}(1)$ is semi-ample, therefore Theorem 1.2 is a consequence of Theorem 1.1.

The main idea of the proof is to consider $T_X \oplus \mathcal{O}_X$ instead of T_X and to show by suitable vanishing theorems that some symmetric power $S^m(T_X \oplus \mathcal{O}_X)$ is spanned; this implies the spannedness of T_X itself.

Notice that rational-homogeneous manifolds have indeed positive top Segre class; see e.g. [St76]; we will provide a short proof in Section 4. Thus, in order to complete the proof of the main conjecture, it would remain to show

1.3. Conjecture. *Let X be a Fano manifold of dimension n . If T_X is nef, then the top Segre class satisfies $s_n(X) \neq 0$.*

2. BASIC NOTIONS

Recall that a vector bundle E over a projective manifold is nef if the “hyperplane bundle”

$$\mathcal{O}_{\mathbb{P}(E)}(1)$$

is nef. Here we take the projectivization in Grothendieck’s sense (using hyperplanes). Equivalently E is nef if and only the following holds. Given an irreducible curve C with normalization $\eta : \tilde{C} \rightarrow C$ and an epimorphism $\eta^*(E) \rightarrow Q \rightarrow 0$, the determinant $\det Q$ has non-negative degree.

The notion of a nef vector bundle can be defined on any compact complex manifold using the above definition; it suffices to say that a line bundle L on a compact manifold Z is nef, if $c_1(L)$ can be represented by a positive closed current on Z . For details and properties of nef bundles we refer to [DPS94]. In particular, it is shown in [DPS94] that all Segre classes $s_i(E)$ of a nef bundle are non-negative, in particular $s_n(E)$ is a non-negative integer, where $n = \dim X$.

A vector bundle E which is nef as well as its dual E^* is called numerically trivial.

By [DPS94], E is numerically trivial if and only if E is nef and $\det E^*$ is nef. All Chern classes of a numerically trivial bundle E vanish and E has a filtration by unitary flat bundles.

One well-known but crucial result that we need is the base point free theorem due to Kawamata and Shokurov [?].

2.1. Lemma. *Let L be a line bundle over a projective manifold X . Assume that L is nef, and that $aL - K_X$ is nef and big for some positive rational number a . Then L is base point free, i.e. semi-ample.*

We will also use the following elementary lemma.

2.2. Lemma. *Let E be a vector bundle on a complex manifold X . Then $\mathcal{O}_{\mathbb{P}(E)}(1)$ is semi-ample if and only if $L = \mathcal{O}_{\mathbb{P}(E \oplus \mathcal{O}_X)}(1)$ is semi-ample.*

Proof. Since $\mathbb{P}(E) \subset \mathbb{P}(E \oplus \mathcal{O}_X)$, the semi-ampleness of L implies the semi-ampleness of $\mathcal{O}_{\mathbb{P}(E)}(1)$. Conversely, we have

$$H^0(mL) = H^0(S^m(E \oplus \mathcal{O}_X)) = H^0(S^m E \oplus S^{m-1}(E) \oplus \dots \oplus E \oplus \mathcal{O}_X).$$

Points of $\mathbb{P}(E \oplus \mathcal{O}_X)$ can be seen as lines $\mathbb{C}(\xi^*, \lambda)$ in $E_x^* \oplus \mathcal{O}_{X,x}$. If $\lambda \neq 0$, non zero constant sections coming from $H^0(X, \mathcal{O}_X)$ do not vanish at that point. If $\lambda = 0$, we have by the semi-ampleness of $\mathcal{O}_{\mathbb{P}(E)}(1)$ a section $\sigma \in H^0(X, S^m E)$ such that $\sigma(x) \cdot (\xi^*)^m \neq 0$ and thus we also get a section of $H^0(mL)$ which does not vanish at $[\xi^* : 0]$, by taking the components in all other summands $S^j E$, $j < m$, to be equal to zero. \square

3. SPANNEDNESS OF THE TANGENT BUNDLE

We prove here our main results by showing that the tangent bundle of a Fano manifold X with $\mathcal{O}_{\mathbb{P}(T_X)}(1)$ semi-ample must be spanned. Our arguments rely on the following properties of vector bundles on curves.

3.1. Lemma. *Let C be a smooth compact curve and \mathcal{E} a vector bundle over C . Let $L = \mathcal{O}_{\mathbb{P}(\mathcal{E})}(1)$ and assume that mL is spanned for some positive m . Let $\phi : \mathbb{P}(\mathcal{E}) \rightarrow W$ be the associated morphism with connected fibers. Assume furthermore that L is not ample and that $L = \phi^*(L')$ with some ample line bundle L' on W . Then for any fiber F of $\pi : \mathbb{P}(\mathcal{E}) \rightarrow C$, the restriction $\phi|_F$ is biholomorphic (and all fibers of ϕ are sections of π).*

Proof. Let r be the rank of \mathcal{E} . Observe that the bundle \mathcal{E} is nef and consider the maximal ample subbundle $\mathcal{F} \subset \mathcal{E}$, [PW00, 2.3]. Then the quotient bundle

$$Q = \mathcal{E}/\mathcal{F}$$

is nef with $c_1(Q) = 0$, hence numerically flat in the sense of [DPS94].

If $Q = \mathcal{E}$ i.e., $\mathcal{F} = 0$, then \mathcal{E} itself is numerically flat. Since $c_1(L)^r = c_1(\mathcal{E}) = 0$, the line bundle L is not big and ϕ is a fibration with $\dim W = r - 1$. Since the fibers F of the projection $\mathbb{P}(\mathcal{E}) \rightarrow C$ dominate W and since $L = \phi^*(L')$, it follows that $\phi|_F$ is an isomorphism.

If $\mathcal{F} \neq 0$, then $c_1(L)^r = c_1(\mathcal{E}) > 0$, so L is big and ϕ is birational. The exceptional locus is exactly $\mathbb{P}(Q)$; let $W' = \phi(\mathbb{P}(Q))$. Then W' is normal, since $\phi|_{\mathbb{P}(Q)}$ has connected fibers. We now simply apply the previous arguments to the numerically flat bundle Q to conclude that $W' = \mathbb{P}_{s-1}$ with s the rank of Q and that $\phi|_{F'}$ is biholomorphic for any fiber F' of the projection $\mathbb{P}(Q) \rightarrow C$ (notice simply that $L|_{\mathbb{P}(Q)} = \mathbb{P}_{\mathbb{P}(Q)}(1)$). Since $F' = F \cap \mathbb{P}(Q)$, we conclude. \square

3.2. Lemma. *Let \mathcal{E} be a vector bundle on a projective manifold X . Assume for every smooth curve C in X the restriction $\mathcal{E}|_C$ is spanned. Then \mathcal{E} is spanned on X .*

Proof. It is enough to show that through any point x there is a smooth curve C such that the restriction map induces an isomorphism

$$H^0(X, \mathcal{E}) \xrightarrow{\simeq} H^0(C, \mathcal{E}|_C)$$

for $\mathcal{E} = T_X$. However, if Y is a smooth sufficiently ample divisor passing through x , we have $H^0(X, \mathcal{E} \otimes \mathcal{O}(-Y)) = H^1(Y, \mathcal{E} \otimes \mathcal{O}(-Y)) = 0$ as soon as $\dim X \geq 2$, hence $H^0(X, \mathcal{E}) \simeq H^0(Y, \mathcal{E}|_Y)$. The conclusion then follows by induction on the dimension of Y , cutting down Y to a curve C obtained as a complete intersection of sufficiently ample divisors. \square

We are now ready to prove our main results.

3.3. Theorem. *Let X be a Fano manifold of dimension n such that $\mathcal{O}_{\mathbb{P}(T_X)}(1)$ is semi-ample. Then T_X is spanned, hence X is rational homogeneous.*

Proof. Let $\mathbb{P} := \mathbb{P}(T_X \oplus \mathcal{O}_X)$ and $L = \mathcal{O}_{\mathbb{P}}(1)$, so that $-K_{\mathbb{P}} = (n+1)L$. Our assumption combined with Lemma 2.2 implies that some multiple mL is spanned. Let $f : \mathbb{P} \rightarrow Z$ be the associated morphism; we have $L = f^*(L')$ for some ample line bundle L' on Z and Z is Gorenstein with at most canonical singularities. Let $C \subset X$ be a smooth curve. We claim that

$$(3.3.1) \quad S^m(T_X|_C \oplus \mathcal{O}_C) \quad \text{is spanned for } m \geq m_0(C).$$

Once we know this, we infer that $T_X|_C$ itself is spanned as a direct summand of $S^m(T_X|_C \oplus \mathcal{O}_C)$, and Lemma 3.2 concludes the proof.

In order to prove Claim (3.3.1), set

$$\mathbb{P}_C = \mathbb{P}(T_X|_C \oplus \mathcal{O}_C),$$

and $L_C = L|_{\mathbb{P}_C}$. Let $g : \mathbb{P}_C \rightarrow Z_C$ be the morphism (with connected fibers) associated to $|mL_C|$. Notice that there is a map $Z_C \rightarrow f(\mathbb{P}_C)$, therefore we can write $L_C = g^*(L'_C)$ with some ample line bundle L'_C on Z_C . The projection $\mathbb{P}_C \rightarrow C$ is again denoted by π ; let $F = \pi^{-1}(x)$ be a fiber of π . We need to prove that there exists a number m_0 (a priori depending on F), such that

$$(3.3.2) \quad H^0(\mathbb{P}_C, mL_C) \rightarrow H^0(F, mL_C|_F) \simeq H^0(\mathbb{P}_n, \mathcal{O}(m))$$

is surjective for $m \geq m_0$. This will show that $S^m(T_X|_C \oplus \mathcal{O}_C)$ is spanned at x for $m \geq m_0(x)$. Then spannedness will be true also in an open neighborhood of x in C , and therefore a compactness argument shows that $S^m(T_X|_C \oplus \mathcal{O}_C)$ is spanned everywhere for $m \gg 0$ proving Claim (3.3.1).

Claim (3.3.2) is equivalent to proving the injectivity of the natural map

$$H^1(\mathbb{P}_C, \mathcal{I}_F \otimes mL_C) \rightarrow H^1(\mathbb{P}_C, mL_C).$$

Now

$$H^q(Z_C, g_*(\mathcal{I}_F \otimes mL_C)) = H^q(Z_C, g_*(\mathcal{I}_F) \otimes mL'_C) = 0$$

and

$$H^q(Z_C, g_*(mL_C)) = H^q(Z_C, mL'_C) = 0$$

for $m \gg 0$ and $q \geq 1$, since L'_C is ample. Therefore by the Leray spectral sequence

$$\begin{aligned} H^1(\mathbb{P}_C, \mathcal{I}_F \otimes mL_C) &= E_{0,1}^\infty = E_{0,1}^2 = \\ &= H^0(Z_C, R^1 g_*(\mathcal{I}_F \otimes mL_C)) = H^0(Z_C, R^1 g_*(\mathcal{I}_F) \otimes mL'_C) \end{aligned}$$

and similarly

$$H^1(Z_C, mL_C) = H^0(Z_C, R^1 g_*(\mathcal{O}_{\mathbb{P}_C}) \otimes mL'_C).$$

Hence we are reduced to verifying the injectivity of the map

$$\alpha : H^0(Z_C, R^1 g_*(\mathcal{I}_F) \otimes mL'_C) \rightarrow H^0(Z_C, R^1 g_*(\mathcal{O}_{\mathbb{P}_C}) \otimes mL'_C).$$

Consider the exact sequence

$$0 \rightarrow \mathcal{I}_F \rightarrow \mathcal{O}_{\mathbb{P}_C} \rightarrow \mathcal{O}_F \rightarrow 0$$

and apply g_* . Now $g_*(\mathcal{I}_F) = \mathcal{I}_{g(F)}$ and moreover $g_*(\mathcal{O}_F) = \mathcal{O}_{g(F)}$ by Lemma 3.1, observing that $g|_F : F \rightarrow g(F)$ is biholomorphic. Hence the canonical map

$$R^1 g_*(\mathcal{I}_F) \rightarrow R^1 g_*(\mathcal{O}_{\mathbb{P}_C})$$

is injective, and so is α , establishing Claim (3.3.2). Theorem 3.3 is proved. \square

3.4. Corollary. *Let X be a projective manifold. If there exists some positive integer m such that $S^m T_X$ is spanned, then X is homogeneous.*

3.5. Remark. One might wonder whether the reduction to curves is really necessary in the proof of Theorem 3.3. A direct argument could be as follows, using the notation of the proof of Theorem 3.3. In order to show that

$$H^0(\mathbb{P}, mL) \rightarrow H^0(F, mL|_F)$$

is surjective, we need to show that

$$H^1(Z, R^1 f_*(\mathcal{I}_F) \otimes mL') \rightarrow H^1(Z, R^1 f'_*(\mathcal{O}_{\mathbb{P}}) \otimes mL')$$

is injective and therefore that

$$R^1 f_*(\mathcal{I}_F) \rightarrow R^1 f'_*(\mathcal{O}_{\mathbb{P}})$$

is injective. This requires to know that $f|_F$ is biholomorphic, which it is not at all clear a priori ($f|_F$ is definitely finite and birational, but could be a normalization map).

4. THE TOP SEGRE OF A RATIONAL-HOMOGENEOUS MANIFOLD

Here we give a simple non-group theoretic proof of the following (classical, but not so well documented)

4.1. Theorem. *Let X be a rational-homogeneous manifold of dimension n . Then the top Segre class $s_n(X) \neq 0$.*

Of course, Theorem 4.1 follows again from Theorem 5.1.

Proof. As in the proof of Theorem 3.3, we consider

$$\mathbb{P} := \mathbb{P}(T_X \oplus \mathcal{O}_X)$$

with projection $\pi : \mathbb{P} \rightarrow X$ and need to show that the spanned line bundle

$$L = \mathcal{O}_{\mathbb{P}}(1)$$

is big. Let

$$\Sigma = \mathbb{P}(\mathcal{O}_X) \subset \mathbb{P}$$

and

$$D = \mathbb{P}(T_X) \subset \mathbb{P}.$$

Let

$$\psi : \mathbb{P} \rightarrow Z \subset \mathbb{P}_N$$

be the holomorphic map defined by $H^0(\mathbb{P}, L)$. We argue by contradiction and assume that L is not big so that

$$\dim Z < \dim \mathbb{P} = 2n - 1.$$

We choose a basis s_0, \dots, s_N corresponding to a basis $t_0 \oplus 0, \dots, t_{N-1} \oplus 0, 0 \oplus 1$, where the t_i form a basis of $H^0(X, T_X)$. Notice that $L_D := L|_D = \mathcal{O}_{\mathbb{P}(T_X)}(1)$ and that $\mathcal{O}_{\mathbb{P}(D)} = L$. Thus we have an exact sequence

$$0 \rightarrow \mathcal{O}_X \rightarrow L \rightarrow L_D \rightarrow 0$$

yielding a sequence in cohomology

$$0 \rightarrow \mathbb{C} \rightarrow H^0(\mathbb{P}, L) \rightarrow H^0(D, L_D) \rightarrow H^1(\mathbb{P}, \mathcal{O}_{\mathbb{P}}) = 0.$$

The section s_N is constant and non-vanishing along Σ , whereas $s_j|_{\Sigma} = 0$ for $0 \leq j \leq N-1$. Thus ψ maps Σ to the point $z_0 = [0 : \dots : 1]$ and $\psi^{-1}(z_0) = \Sigma$, since Σ is exactly the common vanishing locus of the $s_j, 0 \leq j \leq N-1$. Notice also that $\psi(D) = Z \cap H$ with a hyperplane $H \subset \mathbb{P}_N$.

Now consider a general fiber F of ψ (resp. a connected component). Since $\psi|_{\pi^{-1}(x)}$ is an isomorphism for all x , we conclude that $d := \dim F \leq n$. By adjunction we have

$$K_F = K_{\mathbb{P}}|_F = \mathcal{O}_F,$$

hence $\dim H^d(F, \mathcal{O}_F) = 1$, and therefore

$$R^d \psi_*(\mathcal{O}_{\mathbb{P}})$$

has rank 1 generically. If $\hat{\Sigma}$ denotes the formal completion of \mathbb{P} along Σ , the comparison theorem of Grauert implies that

$$H^d(\hat{\Sigma}, \mathcal{O}_{\hat{\Sigma}}) \neq 0.$$

Therefore $H^d(\Sigma, S^k N_{\Sigma/X}^*) \neq 0$ for some $k \geq 0$. Since $N_{\Sigma/X} \simeq T_X$, this contradicts the vanishing

$$H^d(X, S^k T_X) = 0$$

for $k \geq 0$ on a rational-homogeneous manifold. \square

5. THE ALBANESE MAP

If X is a compact Kähler manifold with nef tangent bundle T_X , then the Albanese map is a surjective submersion, as already mentioned. To be more precise, let $\tilde{q}(X)$ be the maximum of all irregularities $q(\tilde{X})$, where $\tilde{X} \rightarrow X$ is any finite étale cover. Since $q(X) \leq \dim X$, the generalized irregularity $\tilde{q}(X)$ is also bounded by $\dim X$ and we can always pass to a finite étale cover of X to achieve $q(X) = \tilde{q}(X)$. Then [DPS94] in combination with the main theorem of this paper proves the following

5.1. Theorem. *Let X be a compact Kähler manifold with T_X nef. Suppose that $q(X) = \tilde{q}(X)$. Then the Albanese map $\alpha : X \rightarrow A$ is a surjective submersion. The bundles $\alpha_*(-mK_X)$ are numerically flat. If $s_{n-q}(T_F) \neq 0$ for some fiber, then α is a fiber bundle with rational homogeneous fiber.*

The flatness of $\alpha_*(-mK_X)$ in the non-algebraic case is shown in [Cao12], Proof of 6.3; see also [Cao13, 4.4.1].

Here we prove the converse to Theorem 5.1.

5.2. Theorem. *Let A be a complex torus and $\alpha : X \rightarrow A$ a fiber bundle with rational homogeneous fiber. If $\alpha_*(-K_X)$ is a numerically flat bundle, then T_X is nef.*

Proof. Note that since $R^j\alpha_*(-K_X) = 0$ for $k \geq 1$, the sheaf

$$V = \alpha_*(-K_X)$$

is indeed locally free. Since $-K_X$ is relatively very ample, the fibers of α being rational homogeneous, we have an epimorphism

$$W := \alpha^*\alpha_*(-K_X) = \alpha^*(V) \rightarrow -K_X \rightarrow 0,$$

leading to an embedding

$$X \subset \mathbb{P}(W).$$

In order to show that T_X is nef, we prove equivalently that the relative tangent bundle $T_{X/A}$ is nef. Since the tangent bundles of the fibers of α are spanned, we have an epimorphism

$$\alpha^*\alpha_*(T_{X/A}) \rightarrow T_{X/A} \rightarrow 0,$$

consequently it suffices to show that

$$E = \alpha_*(T_{X/A})$$

is nef. Now notice that

$$\alpha_*(T_{\mathbb{P}(W)/A}) = (W^* \otimes W)/\mathcal{O},$$

hence $\alpha_*(T_{\mathbb{P}(W)/A})$ is nef, and actually numerically flat. Since $E = \alpha_*(T_{X/A})$ is a subbundle of $\alpha_*(T_{\mathbb{P}(W)/A})$, its dual E^* is nef. Consider the tangent bundle sequence

$$0 \rightarrow T_{X/A} \rightarrow T_{\mathbb{P}(W)/A} \rightarrow N_{X/\mathbb{P}(W)} \rightarrow 0,$$

where $N_{X/\mathbb{P}(W)} =: N$ denotes the normal bundle. We apply α_* to obtain

$$0 \rightarrow E \rightarrow \alpha_*(T_{\mathbb{P}(W)/A}) \rightarrow \alpha_*(N) \rightarrow 0,$$

having in mind that $H^1(F, T_F) = 0$ for the fibers F of α . We will show that $\det \alpha_*(N)$ is trivial, hence the last sequence shows that $\det E$ is trivial, too. Since E^* is nef, E will be numerically trivial, in particular nef, finishing the proof.

The normal bundle N is easily computed by

$$N = -K_X \otimes (W^*/K_X).$$

In order to control $\alpha_*(N)$, consider the exact sequence

$$0 \rightarrow K_X \rightarrow W^* \rightarrow W^*/K_X \rightarrow 0.$$

Tensorize by $-K_X$ to obtain

$$0 \rightarrow \mathcal{O}_X \rightarrow -K_X \otimes W^* \rightarrow N \rightarrow 0.$$

Applying α_* leads to

$$0 \rightarrow \mathcal{O}_A \rightarrow \alpha_*(-K_X \otimes W^*) \rightarrow \alpha_*(N) \rightarrow 0.$$

Since $\alpha^*(V^*) = \alpha^*(V)^*$, the sheaf V being locally free, we have

$$\alpha_*(-K_X \otimes W^*) = \alpha_*(-K_X \otimes \alpha^*(V^*)) = \alpha_*(-K_X) \otimes \alpha_*(-K_X)^* = V \otimes V^*,$$

hence

$$\det(\alpha_*(-K_X \otimes W^*)) = \mathcal{O}_A.$$

Thus $\det \alpha_*(N) = \mathcal{O}_A$, as claimed. □

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JEAN-PIERRE DEMAILLY, UNIVERSITÉ GRENOBLE-ALPES, INSTITUT FOURIER, UMR 5582 DU CNRS, BP 74, F-38402 SAINT MARTIN D’HERES, FRANCE

E-mail address: `jean-pierre.demailly@ujf-grenoble.fr`

MIHAI PĂUN, KOREA INSTITUTE FOR ADVANCED STUDY, SCHOOL OF MATHEMATICS, 85 HOE-GIRO, DONGDAEMUN-GU, SEOUL 130-722, KOREA.

E-mail address: `paun@kias.re.kr`

THOMAS PETERNELL, MATHEMATISCHES INSTITUT, UNIVERSITÄT BAYREUTH, 95440 BAYREUTH, GERMANY

E-mail address: `thomas.peternell@uni-bayreuth.de`