

# Affine embeddings with a finite number of orbits

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## Abstract

Let  $G$  be a simple algebraic group and let  $H$  be a reductive subgroup of  $G$ . We classify all pairs  $(G, H)$  such that for any affine  $G$ -variety  $X$  with a dense  $G$ -orbit isomorphic to  $G/H$  the number of  $G$ -orbits in  $X$  is finite.

## 1 Introduction.

Let  $G$  be a connected reductive algebraic group over an algebraically closed field  $K$  of characteristic zero and let  $H$  be an algebraic subgroup of  $G$ . Let us recall that an irreducible algebraic variety  $X$  is said to be *an embedding* of the homogeneous space  $G/H$  if  $G$  acts on  $X$  with a dense orbit isomorphic to  $G/H$ . We shall denote this by  $G/H \hookrightarrow X$ .

By definition, *the complexity* of  $G$ -variety  $X$  is an integer number  $c(X)$  equal to the codimension of the generic  $B$ -orbit in  $X$  for the restricted action  $B : X$ , where  $B$  is a Borel subgroup of  $G$ , see [1] and [2]. Normal  $G$ -varieties of complexity zero are called *spherical*. A homogeneous space  $G/H$  and a subgroup  $H \subset G$  are said to be spherical if  $G/H$  is a spherical  $G$ -variety with respect to the natural  $G$ -action.

**Theorem 1** (F. J. Servedio [3], D. Luna and Th. Vust [2], D. N. Akhiezer [4]). *The number of  $G$ -orbits in  $X$  for any embedding  $G/H \hookrightarrow X$  of the homogeneous space  $G/H$  is finite if and only if  $G/H$  is spherical.*

To be more precise, F. J. Servedio proved that any affine spherical variety contains a finite number of  $G$ -orbits, D. Luna, Th. Vust and D. N. Akhiezer extended this result to an arbitrary spherical variety and D. N. Akhiezer constructed a projective embedding with infinite number of  $G$ -orbits for any homogeneous space of positive complexity.

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Let us say that an embedding  $G/H \hookrightarrow X$  is *affine* if the variety  $X$  is affine. In many problems of invariant theory, representation theory and other branches of mathematics only affine embeddings of homogeneous spaces are considered. Hence for a homogeneous space  $G/H$  it is natural to ask: does there exist an affine embedding  $G/H \hookrightarrow X$  with infinite number of  $G$ -orbits ?

Note that a given homogeneous space  $G/H$  admits an affine embedding if and only if  $G/H$  is quasi-affine (as an algebraic variety), see [5, Theorem 1.6]. In this situation the subgroup  $H$  is said to be *observable* in  $G$ . For a description of observable subgroups see [6]. The homogeneous space  $G/H$  is affine if and only if  $H$  is reductive, see [5, Th. 4.17]. In particular, any reductive subgroup is observable. In the sequel, we suppose that  $H$  is an observable subgroup of  $G$ . The main problem for this paper is to characterize all quasi-affine homogeneous spaces  $G/H$  of a reductive group  $G$  with the property:

(AF) *the number of  $G$ -orbits in  $X$  for any affine embedding  $G/H \hookrightarrow X$  is finite.*

**Example 1.** For any spherical quasi-affine homogeneous space property (AF) holds (Theorem 1).

**Example 2.** Property (AF) holds for any homogeneous space of the group  $SL(2)$ . In fact, here  $\dim X \leq 3$  and only a one-parameter family of one-dimensional orbits can appear. But  $SL(2)$  contains no two-dimensional observable subgroup.

**Example 3.** Let  $T$  be a maximal torus in  $G$  and let  $V$  be a finite-dimensional  $G$ -module. Suppose that a vector  $v \in V$  is  $T$ -fixed. Then the orbit  $Gv$  is closed in  $V$ , see [7]. This shows that property (AF) holds for any subgroup  $H$  such that  $T \subseteq H$ .

**Definition 1.** An affine homogeneous space  $G/H$  is called *affinely closed* if it admits only one affine embedding  $X = G/H$ .

Homogeneous spaces  $G/H$  from Example 3 are affinely closed. Denote by  $N_G(H)$  the normalizer of a subgroup  $H$  in  $G$ . The following theorem is a reformulation of a result due to D. Luna [8]:

**Theorem 2.** *Let  $H$  be a reductive subgroup of a reductive group  $G$ . The homogeneous space  $G/H$  is affinely closed if and only if the group  $N_G(H)/H$  is finite.*

This theorem provides many examples of homogeneous spaces with property (AF). Let us note that the complexity of the space  $G/T$  can be arbitrary large and property (AF) cannot be characterized in terms of the complexity only.

In this paper we show that the union of two conditions – the sphericity and the finiteness of the group  $N_G(H)/H$  – is very close to characterizing all affine homogeneous spaces of a reductive group  $G$  with property (AF). Namely, it follows from Theorem 3 below that if  $H$  is reductive then the only case, which is not covered by these two conditions, is the case, where  $c(G/H) = 1$ , the rank of  $N_G(H)/H$  is

equal to one, and any extension of  $H$  by a one-parameter subgroup of  $N_G(H)$  is a spherical subgroup of  $G$ .

For a simple group  $G$  there is a list of all affine homogeneous spaces of complexity one [9]. In this situation we prove that if  $rk N_G(H)/H = 1$  and an extension of  $H$  by a one-parameter subgroup of  $N_G(H)$  is a spherical subgroup in  $G$  then property (AF) holds (Proposition 2). This completes the classification of affine homogeneous spaces of simple groups with property (AF) (Theorem 4).

As a corollary, we obtain that for a simple group  $G$  there exists only one series of affine homogeneous spaces of complexity one that admit an affine embedding with infinite number of  $G$ -orbits. Here  $G = SL(n)$ ,  $n > 4$  and  $H^0 = SL(n-2) \times K^*$ , where  $SL(n-2)$  is embedded in  $SL(n)$  as the stabilizer of the first two basis vectors  $e_1$  and  $e_2$  in the minimal representation of  $SL(n)$ , and  $K^*$  acts on  $e_1$  and  $e_2$  with weights  $\alpha_1$  and  $\alpha_2$  such that  $\alpha_1 + \alpha_2 = 2 - n$ ,  $\alpha_1 \neq \alpha_2$ , and on  $\langle e_3, \dots, e_n \rangle$  by scalar multiplication.

Let us fix the following notation:

$K^*$  is the multiplicative group of non-zero elements of the field  $K$ ;

$F^0$  is the identity component of an algebraic group  $F$ ;

$Z(F)$  is the center of a group  $F$ ;

$T \subset B$  are a maximal torus and a Borel subgroup of the reductive group  $G$ ;

$U$  is the maximal unipotent subgroup of  $B$ ;

$N_G(H)$  is the normalizer of a subgroup  $H$  in a group  $G$ ;

$W(H)$  is the quotient group  $N_G(H)/H$ ;

$\gamma : N_G(H) \rightarrow W(H)$  is the quotient homomorphism;

$V^F$  is the set of  $F$ -fixed points in a  $F$ -module  $V$ ;

$F_v$  is the isotropy subgroup of a vector  $v$  in a  $F$ -module  $V$ ;

$V^{\otimes n}$  is the tensor power  $V \otimes \dots \otimes V$  ( $n$  times) of a vector space  $V$ ;

$\Xi(G)_+$  is the semigroup of all dominant weights of  $G$ ;

$V_\mu$  is an irreducible  $G$ -module with highest weight  $\mu$ ;

$V_{\mu^*} = V_\mu^*$  is the dual module to  $V_\mu$ ;

$QA$  is the field of quotients of an integral algebra  $A$ ;

$\text{Spec } A$  is the affine variety corresponding to a finitely generated algebra  $A$  without nilpotent elements.

## 2 Embeddings with infinite number of $G$ -orbits.

**Theorem 3.** *Let  $H$  be an observable subgroup in a reductive group  $G$ . Suppose that there is a non-trivial one-parameter subgroup  $\lambda : K^* \rightarrow W(H)$  such that the subgroup  $H_1 = \gamma^{-1}(\lambda(K^*))$  is not spherical in  $G$ . Then there exists an affine embedding  $G/H \hookrightarrow X$  with infinite number of  $G$ -orbits.*

We shall prove this theorem in the next section. The idea of the proof is to apply the Akhiezer construction for the non-spherical homogeneous space  $G/H_1$

and to consider the affine cone over a projective embedding of  $G/H_1$  with infinite number of  $G$ -orbits.

**Corollary 1.** *Let  $G$  be a reductive group with infinite center  $Z(G)$  and let  $H$  be an observable subgroup in  $G$  that does not contain  $Z(G)^0$ . Then property (AF) holds for  $G/H$  if and only if  $H$  is a spherical subgroup of  $G$ .*

**Proof.** If  $H$  does not contain  $Z(G)^0$  then there exists a non-trivial one-parameter subgroup  $\lambda(K^*)$  in  $Z(G)$  with finite intersection with  $H$ . The corresponding extension  $H_1$  is spherical iff  $H$  is spherical in  $G$ .

**Corollary 2.** *Let  $H$  be a connected reductive subgroup in a reductive group  $G$ . Suppose that there exists a reductive non-spherical subgroup  $H_1$  in  $G$  such that  $H \subset H_1$  and  $\dim H_1 = \dim H + 1$ . Then property (AF) does not hold for  $G/H$ .*

**Proof.** Under these assumptions there exists a non-trivial one-parameter subgroup of  $H_1$  with finite intersection with  $H$ , which normalizes (and even centralizes)  $H$ .

**Corollary 3.** *Let  $H$  be a reductive subgroup in a reductive group  $G$  such that the complexity of the homogeneous space  $G/H$  is greater than one. Then the number of  $G$ -orbits in any affine embedding of  $G/H$  is finite if and only if  $G/H$  is affinely closed.*

**Proof.** If the subgroup  $H$  is reductive then the group  $W(H)$  is reductive too [8]. If  $W(H)$  is not finite then it contains a non-trivial one-parameter subgroup  $\lambda(K^*)$ . For  $H_1 = \gamma^{-1}(\lambda(K^*))$  we have  $c(G/H_1) \geq 1$ .

### 3 Proof of Theorem 3.

**Lemma 1.** *If property (AF) holds for a homogeneous space  $G/H$  then it holds for any homogeneous space  $G/H'$ , where  $H'$  is an overgroup of  $H$  of finite index.*

**Proof.** Suppose that there exists an affine embedding  $G/H' \hookrightarrow X$  with infinite number of  $G$ -orbits. Consider the morphism  $G/H \rightarrow G/H'$ . It determines an embedding  $K[G/H'] \subset K[G/H]$ . Let  $A$  be the integral closure of the image of the subalgebra  $K[X] \subset K[G/H']$  in the field of rational functions  $K(G/H)$ .

$$\begin{array}{ccccc}
 A & \hookrightarrow & K[G/H] & \hookrightarrow & K(G/H) & & \text{Spec } A & \hookrightarrow & G/H \\
 \uparrow & & \uparrow & & \uparrow & & \downarrow & & \downarrow \\
 K[X] & \hookrightarrow & K[G/H'] & \hookrightarrow & K(G/H') & & X & \hookrightarrow & G/H'
 \end{array}$$

We have a natural  $G$ -action on the affine variety  $\text{Spec } A$  and we can consider  $\text{Spec } A$  as an affine embedding of  $G/H$ . The embedding  $K[X] \subset A$  defines a finite

(surjective) morphism  $\text{Spec } A \rightarrow X$  and therefore,  $\text{Spec } A$  contains infinitely many  $G$ -orbits. This contradiction completes the proof.

**Remark 1.** The converse statement does not hold. Indeed, set  $G = SL(3)$  and  $H = (t, t, t^{-2}) \subset T \subset SL(3)$ . We can extend  $H$  by the one-parameter subgroup  $(t, t^{-1}, 1)$ . Then  $H_1 = T$  is not a spherical subgroup in  $SL(3)$  and by Theorem 3 property (AF) does not hold here. On the other hand, there is an overgroup  $H'$  of  $H$  of finite index such that the group  $W(H')$  is finite. By Theorem 2 property (AF) holds for  $G/H'$ .

**Lemma 2.** 1) *In the notation of Theorem 3 there exists a finite-dimensional  $G$ -module  $V$  and a  $H_1$ -eigenvector  $v \in V$  such that  $G_v$  is an overgroup of  $H$  of finite index;*

2) *If  $H$  is reductive then one can suppose that  $G_v = H$ .*

**Proof.** 1) Since  $H$  is observable, there exists a finite-dimensional  $G$ -module  $U$  and a vector  $u \in U$  such that  $G_u = H$ , see [5, Th. 1.5 and Th 1.6]. We have the representation  $W(H) : U^H$  and the vector  $u$  has the trivial stabilizer in  $W(H)$ . Let  $u_1, \dots, u_k$  be an eigenbasis for the action  $\lambda(K^*) : U^H$  and let  $n_1, \dots, n_k$  be the weights of  $\lambda(K^*)$  on the vectors  $u_1, \dots, u_k$  respectively. Here  $n_1, \dots, n_k$  are integer numbers such that  $\gcd(n_1, \dots, n_k) = 1$ .

Choose a basis  $B_2 = (b_1^2, \dots, b_k^2), \dots, B_k = (b_1^k, \dots, b_k^k)$  of the sublattice  $\mathbb{Z}^{k-1} \subset \mathbb{Z}^k$  defined by the equation  $n_1 x_1 + \dots + n_k x_k = 0$ . Set  $b = \max |b_i^j|$ . Let  $A = (a_1, \dots, a_k)$  be an integral vector such that  $a_i > b$  for any  $i$  and  $a_1 n_1 + \dots + a_k n_k = N_1 \neq 0$ . Set  $N = |N_1|$ . Then the vectors  $A_1 = A, A_2 = A + B_2, \dots, A_k = A + B_k$  generate a sublattice in  $\mathbb{Z}^k$  of index  $N$ . The coordinates  $(a_i^j)$  of the vectors  $A_i$  are positive integers. Set  $c_j = a_1^j + \dots + a_k^j$ .

Consider the  $G$ -module

$$V = U^{\otimes c_1} \oplus \dots \oplus U^{\otimes c_k}$$

and the vector

$$v = (u_1^{\otimes a_1^1} \otimes \dots \otimes u_k^{\otimes a_k^1}, \dots, u_1^{\otimes a_1^k} \otimes \dots \otimes u_k^{\otimes a_k^k}) \in V.$$

The vector  $v$  is a  $\lambda(K^*)$ -eigenvector of weight  $N$ . The stabilizer of this vector (in the group  $G$ ) is contained in the intersection of stabilizers of the lines  $\langle u_1 \rangle, \dots, \langle u_k \rangle$  and is an overgroup of  $H$  of index  $N$ .

2) If  $H$  is reductive then one can suppose that the orbit  $Gv$  is closed in  $U$ . This implies that the orbits  $W(H)v$  and  $\lambda(K^*)v$  are closed (in  $U$  and in  $U^H$ ). Consequently the numbers  $n_1, \dots, n_k$  cannot be all positive or all negative, and there exist positive integer numbers  $a_1, \dots, a_k, b_1, \dots, b_k$  such that  $a_1 n_1 + \dots + a_k n_k = 1$ ,  $b_1 n_1 + \dots + b_k n_k = 0$ , and  $\gcd(b_1, \dots, b_k) = 1$ .

Arguing as above, we construct a set of integer vectors  $A_1, \dots, A_k$ , a space  $V$  and a vector  $v \in V$  such that  $G_v = H$  (here  $N = 1$ ). This completes the proof.

**Remark 2.** For an arbitrary observable subgroup statement 2) of the previous lemma does not hold. For example, let  $G$  be the group  $SL_3$  and  $H = U$  be a maximal

unipotent subgroup normalized by  $T$ . Consider the subtorus  $T' = \text{diag}(t^2, t^3, t^{-5})$  in  $T$  as a one-parameter subgroup  $\lambda(K^*)$ . Any  $H$ -stable vector in a finite-dimensional  $G$ -module is a sum of highest weight vectors. The restriction of any dominant weight to  $T'$  has a non-trivial kernel and the stabilizer of such a vector contains  $H$  as a proper subgroup.

**Proof of Theorem 3.** Let  $V$  be the  $G$ -module from Lemma 2. In the projective space  $\mathbb{P}(V)$  the point  $\langle v \rangle$  has the stabilizer  $H_1$ . Let  $Y$  be the closure of the orbit  $G \langle v \rangle$  in  $\mathbb{P}(V)$ . Now we shall recall the Akhiezer construction. By assumption, the complexity of the homogeneous space  $G/H_1$  is positive. This implies that there exists a character  $\xi : H_1 \rightarrow K^*$  such that for the corresponding line bundle  $L_\xi$  on  $G/H_1$  the  $G$ -module  $H^0(G/H_1, L_\xi)$  of regular sections contains two different isomorphic irreducible  $G$ -submodules, say  $W_1$  and  $W_2$ , see [11, Theorem 1]. Choose two associated bases of  $T$ -eigenvectors  $\{\phi_1, \dots, \phi_m\}$  and  $\{\psi_1, \dots, \psi_m\}$  in  $W_1$  and  $W_2$  such that  $\phi_1$  and  $\psi_1$  are highest weight vectors.

Consider the rational  $G$ -equivariant morphism  $f : Y \rightarrow \mathbb{P}^{2m-1}$  defined on the open  $G$ -orbit by the formula:

$$f(gH_1) = [\phi_1(g) : \dots : \phi_m(g) : \psi_1(g) : \dots : \psi_m(g)].$$

Let  $\overline{X}$  be the closure of the graph of  $f$  in  $Y \times \mathbb{P}^{2m-1}$ . Set

$$Z_c = \{[a : b] \in \mathbb{P}^{2m-1} \mid a_i = cb_i \text{ for } i = 1, \dots, m\},$$

where  $c$  is a parameter. Then  $Z_c$  is a closed  $G$ -invariant subvariety in  $\mathbb{P}^{2m-1}$  and for  $c_1 \neq c$  the intersection of  $Z_c$  and  $Z_{c_1}$  is empty. It is shown in [4] that the subsets  $f^{-1}(Z_c)$  in  $\overline{X}$  are non-empty for infinitely many values of  $c$ . This proves that  $\overline{X}$  contains infinitely many  $G$ -orbits.

Let  $X$  be the affine cone over  $\overline{X}$  with respect to the Segre embedding of  $\mathbb{P}(V) \times \mathbb{P}^{2m-1}$ . We fix a basis in  $V$  such that  $v$  is the first basis vector. The point  $\langle v \rangle$  on  $\overline{X}$  corresponds to the line  $l$  on  $X$  with coordinates

$$(\alpha\phi_1(eH_1), \dots, \alpha\phi_m(eH_1), \alpha\psi_1(eH_1), \dots, \alpha\psi_m(eH_1), 0, \dots, 0),$$

where  $\alpha$  is a coordinate on the line  $\langle v \rangle$ . The values  $\phi_i(eH_1)$  and  $\psi_i(eH_1)$  do not change under the action of  $\lambda(K^*)$ , the line  $l$  is  $H_1$ -invariant, and the stabilizer of any non-zero point on  $l$  is an overgroup  $H'$  of  $H$  of finite index. The cone over the dense  $G$ -orbit in  $\overline{X}$  isomorphic to  $G/H_1$  is a dense  $G$ -orbit in  $X$  isomorphic to  $G/H'$  and  $X$  contains infinitely many  $G$ -invariant cones corresponding to  $G$ -orbits in  $\overline{X}$ . Lemma 1 completes the proof.

## 4 Very symmetric embeddings.

The group of  $G$ -equivariant automorphisms of a homogeneous space  $G/H$  is isomorphic to  $W(H)$  (the action  $W(H) : G/H$  is induced by the action  $N_G(H) : G/H$  by

right multiplication). Let  $G/H \hookrightarrow X$  be an affine embedding. The group  $Aut_G X$  of  $G$ -equivariant automorphisms of  $X$  is a subgroup of  $W(H)$ .

**Definition 2.** An embedding  $G/H \hookrightarrow X$  is said to be *very symmetric* if  $W(H)^0 \subseteq Aut_G X$ .

Any spherical affine variety is very symmetric. In fact, for a spherical homogeneous space  $G/H$  any isotypic component  $K[G/H]_\mu$  of the  $G$ -algebra  $K[G/H]$  is irreducible  $G$ -module (see [10] or Section 6) and  $W(H)$  acts on  $K[G/H]_\mu$  by scalar multiplication. This shows that any  $G$ -invariant subalgebra in  $K[G/H]$  is  $W(H)$ -invariant too.

In the case of affine  $SL(2)$ -embeddings only the embedding  $X = SL(2)$  is very symmetric, in all other cases the group  $Aut_{SL(2)} X$  is isomorphic to a Borel subgroup in  $SL(2)$ , see [10, III.4.8, Satz 1]. More generally, if  $X$  is an affine embedding of the homogeneous space  $G/\{e\}$  then  $X$  is very symmetric if and only if the action  $G : X$  can be extended to an action of the group  $G \times G$  with an open orbit isomorphic to  $(G \times G)/H$ , where  $H$  is the diagonal in  $G \times G$ . Hence  $X$  can be considered as an affine  $(G \times G)/H$ -embedding. Theorem 2 implies that if  $G$  is a semisimple group then  $X = (G \times G)/H$ , for other proofs see [12] and [13, Proposition 1].

If  $G$  is a reductive group then the set of all very symmetric embeddings of the homogeneous space  $G/\{e\}$  is exactly the set of all affine algebraic monoids with  $G$  as group of units [13]. This demonstrates that very symmetric embeddings have a natural characterization in the variety of all affine  $G/\{e\}$ -embeddings. The classification of reductive algebraic monoids is obtained in [13] and [14].

Now we are interested in the following problem: when does there exist a very symmetric affine embedding of a homogeneous space  $G/H$  with infinite number of  $G$ -orbits? The example of  $SL(3)/\{e\}$ -embeddings shows that the latter property is not equivalent to (AF).

**Proposition 1.** *Let  $H$  be a reductive subgroup in a reductive group  $G$ .*

1) *If  $W(H)^0$  is semisimple then there exists only one very symmetric affine embedding of  $G/H$ , namely  $X = G/H$ .*

2) *If  $W(H)^0$  is not semisimple and  $c(G/N_G(H)) \geq 1$  then there exists a very symmetric affine embedding of  $G/H$  with infinite number of  $G$ - (and even  $G \times W(H)^0$ -) orbits.*

**Proof.** If  $X$  is a very symmetric affine embedding of  $G/H$  then one can consider  $X$  as a  $(G \times W(H)^0)$ -variety. The stabilizer of a point in a dense  $(G \times W(H)^0)$ -orbit in  $X$  is conjugated to  $\tilde{H} = \{(h, hH) \mid h \in \gamma^{-1}(W(H)^0)\}$ .

1) If  $W(H)^0$  is semisimple then the Lie subalgebras in  $Lie(G \times W(H)^0)$  corresponding to the group  $\tilde{H}$  and to the normalizer of  $\tilde{H}$  coincide. To check this, one may use the fact that the normalizer of a reductive Lie subalgebra in a reductive Lie algebra is the sum of this subalgebra and its centralizer. Hence Theorem 2 implies statement 1).

2) By assumption, there exists a non-trivial central one-parameter subgroup in  $W(H)^0$ . Extending  $\tilde{H}$  by this subgroup we obtain a subgroup in  $N_G(H) \times W(H)^0$ ,

which is not spherical in  $G \times W(H)^0$ . Theorem 3 implies that there exists an affine  $(G \times W(H)^0)/\tilde{H}$ -embedding with infinite number of  $(G \times W(H)^0)$ -orbits.

## 5 Classifications.

Let  $H$  be a reductive subgroup of  $G$ . We are interested in property (AF) for the homogeneous space  $G/H$ . Corollary 1 allows us to suppose that  $G$  is semisimple. If either  $H$  is spherical in  $G$  or the group  $W(H)$  is finite then property (AF) holds. If  $W(H)$  is not finite then there exists a non-trivial one-parameter subgroup  $\lambda(K^*) \subset W(H)$  ( $W(H)$  is reductive) and we can extend  $H$  by this subgroup. If we obtain a non-spherical subgroup in  $G$  then property (AF) does not hold for  $G/H$  (Theorem 3). So the only unclear case is the following:

*$H$  is a non-spherical subgroup in  $G$  but any extension of  $H$  by a one-parameter subgroup of  $W(H)$  is spherical in  $G$ .*

In this case the homogeneous space  $G/H$  has complexity one. Moreover, the rank of the group  $W(H)$  is equal to one. Indeed, the field of  $B$ -invariant rational functions  $K(G/H)^B$  is a field of rational functions in one variable. There is a natural action  $W(H) : K(G/H)^B$ . If  $T'$  is a torus in  $W(H)$  and  $\dim T' \geq 2$  then the restricted action  $T' : K(G/H)^B$  has a non-trivial kernel. The extension of  $H$  by this kernel is a non-spherical subgroup in  $G$ .

If the group  $G$  is simple then there is a classification of all connected reductive subgroups such that  $c(G/H) = 1$ , see [9, Table 1]. Below we list all such pairs  $(G, H)$ :

- 1)  $(SL(n), SL(n-2) \times (K^*)^2)$ ,  $n \geq 3$ ;
- 2)  $(Sp(2n), Sp(2n-4) \times SL(2) \times SL(2))$ ,  $n \geq 3$ ;
- 3)  $(SL(6), Sp(4) \times SL(2) \times K^*)$ ,  $R(\tilde{\phi}_1)|_H = R(\phi_1) \otimes 1 \otimes \epsilon + 1 \otimes R(\phi'_1) \otimes \epsilon^{-2}$ ;
- 4)  $(SO(9), G_2 \times K^*)$ ,  $R(\tilde{\phi}_1)|_H = R(\phi_1) \otimes 1 + 1 \otimes \epsilon + 1 \otimes \epsilon^{-1}$ ;
- 5)  $(SO(11), SL(2) \times Spin(7))$ ,  $R(\tilde{\phi}_1)|_H = R(2\phi_1) \otimes 1 + 1 \otimes R(\phi'_3)$ ;
- 6)  $(Sp(4), SL(2))$ ,  $R(\tilde{\phi}_1)|_H = R(3\phi_1)$ ;
- 7)  $(E_6, Spin(9) \times K^*)$ ,  $R(\tilde{\phi}_1)|_H = 1 \otimes 1 + R(\phi_1) \otimes 1 + R(\phi_4) \otimes \epsilon + 1 \otimes \epsilon$ ;
- 8)  $(F_4, Spin(8))$ ,  $R(\tilde{\phi}_1)|_H = R(\phi_1) + R(\phi_3) + R(\phi_4) + 2$ ;
- 9)  $(SL(2n), SL(n) \times SL(n))$ ;
- 10)  $(SO(n), SO(n-2))$ ,  $n > 4$ ;



- 11)  $(SO(2n + 1), SL(n)), n > 2, R(\tilde{\phi}_1) |_H = R(\phi_1) + R(\phi_{n-1}) + 1;$
- 12)  $(SO(4n), SL(2n)), n > 1, R(\tilde{\phi}_1) |_H = R(\phi_1) + R(\phi_{n-1});$
- 13)  $(Sp(2n), Sp(2n - 2)), n > 1, R(\tilde{\phi}_1) |_H = R(\phi_1) + 2;$
- 14)  $(Sp(2n), SL(n)), R(\tilde{\phi}_1) |_H = R(\phi_1) + R(\phi_{n-1});$
- 15)  $(E_7, E_6), R(\tilde{\phi}_1) |_H = R(\phi_1) + R(\phi_5) + 2;$
- 16)  $(SO(10), Spin(7)), R(\tilde{\phi}_1) |_H = R(\phi_3) + 2;$
- 17)  $(SL(n), SL(n - 2) \times K^*), n > 4, R(\tilde{\phi}_1) |_H = R(\phi_1) \otimes \epsilon + 1 \otimes \epsilon^{\alpha_1} + 1 \otimes \epsilon^{\alpha_2},$   
 $\alpha_1 + \alpha_2 = 2 - n, \alpha_1 \neq \alpha_2.$

**Comments.** In the line  $R(\tilde{\phi}_1) |_H = \dots$  we indicate the restriction of the simplest representaton of  $G$  to  $H$ . The fundamental weights of simple components of the semisimple part of  $H$  are denoted by  $\phi_i, \phi'_i, \dots$ , the corresponding irreducible representations are denoted by  $R(\phi_i), R(\phi'_i), \dots$ ;  $\epsilon$  is a faithful 1-dimensional representation of the multiplicative group  $K^*$ ; 1 is the trivial 1-dimensional representation.

**Theorem 4.** *Let  $G$  be a simple group and  $H$  be a reductive subgroup in  $G$ . Then there exists an affine embedding  $G/H \hookrightarrow X$  with infinite number of  $G$ -orbits if and only if  $(G, H)$  satisfies one of the following conditions:*

- 1)  $c(G/H) \geq 2$  and the group  $N_G(H)/H$  is infinite;
- 2)  $G = SL(n), n > 4$  and  $H^0 = SL(n - 2) \times K^*$ , where  $SL(n - 2)$  is embedded in  $SL(n)$  as the stabilizer of the first two basis vectors  $e_1$  and  $e_2$  in the minimal representation of  $SL(n)$ , and  $K^*$  acts on  $e_1$  and  $e_2$  with weights  $\alpha_1$  and  $\alpha_2$  such that  $\alpha_1 + \alpha_2 = 2 - n, \alpha_1 \neq \alpha_2$ , and on  $\langle e_3, \dots, e_n \rangle$  by scalar multiplication.

**Proof.** We have to consider only the case  $c(G/H) = 1$ . Suppose that  $H$  is connected. The pairs 1)-8) from the above list are covered by Theorem 2. The pairs 9)-16) are considered in Proposition 2 in the next section. By Lemma 1, property (AF) holds for any finite extension of  $H$  in these cases. Finally, the pair 17) (and all finite extension of  $H$  here) satisfies the conditions of Theorem 3.

## 6 Embeddings of complexity one.

Now we need some more specific information about homogeneous spaces of complexity one. Let  $X$  be a  $G$ -variety. The decomposition of the algebra of regular functions

$$K[X] = \bigoplus_{\mu \in \Xi(X)} K[X]_{\mu},$$

where  $\mu \in \Xi(G)_+$  and  $K[X]_{\mu}$  is the sum of all irreducible  $G$ -submodules in  $K[X]$  isomorphic to  $V_{\mu}$ , is called *the isotypic decomposition* of the algebra  $K[X]$ . Here

$\Xi(X)$  is the subset in  $\Xi(G)_+$  consisting of all dominant weights  $\mu$  such that  $K[X]_\mu \neq \{0\}$ . This subset is a subsemigroup in  $\Xi(G)_+$ , see [10]. The semigroup  $\Xi(X)$  is called *the rank semigroup* of a  $G$ -variety  $X$ . In particular, if  $H$  is an observable subgroup in  $G$  then we obtain the rank semigroup  $\Xi(G/H)$  for the quasi-affine homogeneous space  $G/H$ . It follows from Frobenius reciprocity that

$$\Xi(G/H) = \{ \mu \in \Xi(G)_+ \mid V_{\mu^*}^H \neq 0 \}.$$

Denote by  $m_\mu$  the multiplicity of the irreducible  $G$ -submodule  $V_\mu$  in the  $G$ -algebra  $K[G/H]$ . An observable subgroup  $H \subset G$  is spherical iff  $m_\mu = 1$  for any  $\mu \in \Xi(G/H)$ , see [11, Theorem 1].

**Theorem 5** ([9, Theorem 2]). *Let  $G$  be a simply connected semisimple group, and  $H$  be an observable subgroup in  $G$  without rational characters. If  $c(G/H) = 1$  then there exists a unique weight  $\omega \in \Xi(G/H)$  such that*

- 1)  $m_\omega = 2$ ;
- 2) if  $\mu \in \Xi(G/H)$ ,  $\mu = e\omega + \delta$ ,  $e \in \mathbb{N}$ ,  $\delta \in \Xi(G/H)$ , and  $\delta - \omega \notin \Xi(G/H)$  then  $m_\mu = e + 1$ .

**Definition 3.** The weight  $\omega \in \Xi(G/H)$  is called *the remarkable weight* for  $G/H$ .

If a subgroup  $H$  is reductive then the semigroup  $\Xi(G/H)$  is stable under the Weyl involution  $\mu \rightarrow \mu^*$  and  $\omega^* = \omega$ .

Without loss of generality it can be assumed that  $G$  is simply connected.

**Proposition 2.** *Let  $G$  be a simply connected simple group and  $H$  be a connected reductive subgroup in  $G$  such that  $W(H)^0 \neq \{e\}$  and any extension of  $H$  by a one-parameter subgroup  $\lambda(K^*)$  of  $W(H)$  is spherical in  $G$ . Then property (AF) holds for  $G/H$ .*

**Proof.** Applying the normalization, we have to consider only normal embeddings. The center of any spherical reductive subgroup in a simple group is at most one-dimensional. Hence  $H$  is semisimple in our case. The rank semigroup  $\Xi(G/H) = \langle \omega, \mu_1, \dots, \mu_k \rangle$  is free and the remarkable weight is one of the generators of  $\Xi(G/H)$ , see [9, 3.1]. We have  $K[G/H]^U = K[x, y] \otimes K[f_{\mu_1}, \dots, f_{\mu_k}]$ , where  $x$  and  $y$  are highest weight vectors with weight  $\omega$ , and  $f_{\mu_1}, \dots, f_{\mu_k}$  are other generators of  $K[G/H]^U$ ,  $\mu_i \neq \mu_j$  for  $i \neq j$ . There are a  $G$ -equivariant  $\lambda(K^*)$ -action on  $K[G/H]$  and a  $T$ -equivariant  $\lambda(K^*)$ -action on  $K[G/H]^U$ .

*Fact 1.* One can choose  $x$  and  $y$  in  $K[X]_\omega^U$  that are  $\lambda(K^*)$ -eigenvectors with opposite weights.

*Fact 2.* For the pairs 9)-15)  $f_\mu \in K[G/H]^{\lambda(K^*)}$  for any  $\mu \in \langle \mu_1, \dots, \mu_k \rangle$ .

In order to check these facts one can compare the rank semigroups  $\Xi(G/H)$  [9, Table 1] and  $\Xi(G/H_1)$  [17, Table 1], where  $H_1 = \lambda(K^*)H$ .

Let  $G/H \hookrightarrow X$  be a normal affine embedding. Then  $X \setminus (G/H) = \cup_i D_i$ , where  $D_i$  are irreducible  $G$ -stable divisors in  $X$ . Denote by  $\nu_i$  the valuation of the field

$K(X)$  defined by the divisor  $D_i$ . A function  $f \in K[G/H]$  is regular on  $X$  iff  $\nu_i(f) \geq 0$  for all  $i$ , and the restriction of  $f$  to  $D_i$  is a non-zero function iff  $\nu_i(f) = 0$ .

Suppose that for a divisor  $D_i$ ,

(\*) *there exists a linear form  $z = \alpha x + \beta y$  such that  $\nu_i(x) \neq \nu_i(z)$ .*

Let  $\mu \in \langle \mu_1, \dots, \mu_k \rangle$ . The  $T$ -isotypic component of  $K[G/H]^U$  of weight  $\mu + n\omega$  consists of the functions  $f_\mu(a_0x^n + a_1x^{n-1}z + \dots + a_nz^n)$ . Here  $\nu_i(x^{n-j_1}z^{j_1}) \neq \nu_i(x^{n-j_2}z^{j_2})$  for  $j_1 \neq j_2$  and there exists at most one  $j$  such that  $\nu_i(f_\mu) + \nu_i(x^{n-j}z^j) = 0$ . Hence the algebra  $K[D_i]$  is multiplicity free as a  $G$ -module. This proves that the number of  $G$ -orbits in  $D_i$  is finite. Let  $D$  be a union of all divisors  $D_i$  with property (\*).

Consider  $\tilde{X} = X \setminus D$ . This is a quasi-affine unirational  $G$ -variety of complexity one and by a result of F. Knop [16] the algebra of regular functions  $K[\tilde{X}]$  is finitely generated. Here  $\nu_i(x) = \nu_i(z)$  for any  $D_i \subset \tilde{X}$  and for any  $z = \alpha x + \beta y$ . We have  $\nu_i(f_\mu(x^n + a_1x^{n-1}y + \dots + a_ny^n)) = \nu_i(f_\mu(c_1x + d_1y) \dots (c_nx + d_ny)) = \nu_i(f_\mu) + n\nu_i(x)$ . Hence either  $K[G/H]_{\mu+n\omega} \subset K[\tilde{X}]$  or  $K[G/H]_{\mu+n\omega} \cap K[\tilde{X}] = \{0\}$  for any  $\mu + n\omega \in \Xi(G/H)$ . This implies that the  $G$ -equivariant  $\lambda(K^*)$ -action on  $G/H$  can be extended to  $\tilde{X}$ .

Consider the quotient morphism  $\pi : \tilde{X} \rightarrow S = \text{Spec } K[\tilde{X}]^{\lambda(K^*)}$ . The affine variety  $S$  carries a natural  $G$ -action. We claim that  $K[\tilde{X}]^{K^*} \neq K$ . In fact,  $K[\tilde{X}]$  is not a multiplicity free  $G$ -module and there exist  $\mu \in \langle \mu_1, \dots, \mu_k \rangle$  and  $n > 0$  such that  $K[G/H]_{\mu+n\omega}^U \subset K[\tilde{X}]$ . In cases 9)-15), we have  $f_\mu \in K[G/H]^{K^*}$  for all  $\mu$  (see Fact 1 and Fact 2) and then

(C) *there exist  $\mu \in \langle \mu_1, \dots, \mu_k \rangle$  and  $n > 0$  such that  $f_\mu x^n y^n \in K[\tilde{X}]^{\lambda(K^*)}$*

In the exceptional case 16) ( $SO(10)$ ,  $Spin(7)$ ) we check that  $\nu_i(x) \geq 0$  for all  $i$ . Indeed, consider the affine  $G$ -variety  $X' = \text{Spec } K[\tilde{X}]$ . There is an embedding  $\tilde{X} \subset X'$  and it suffices to prove that the number of  $G$ -orbits in  $X'$  is finite. If the closed  $G$ -orbit in  $X'$  is isomorphic to  $G/L$ , where  $L$  is reductive and  $L \neq G$  then  $X' \cong G *_L X''$ , where  $X''$  is an affine embedding of  $L/H$ , see [5, Theorem 6.7]. In our case there are only two candidates for  $L$ :  $SO(8)$  and  $SO(8) \times SO(2)$ , the pair  $(L, H)$  is spherical and therefore the number of  $G$ -orbits in  $X'$  is finite. If  $X'$  contains  $G$ -fixed point then, by the Bogomolov theorem [15], there exists a surjective  $G$ -equivariant morphism  $X' \rightarrow C(\sigma)$  for some  $\sigma \in \Xi_+(G)$ ,  $\sigma \neq 0$ , where  $C(\sigma)$  is the closure of the orbit of a highest weight vector  $v_\sigma$  in  $V_\sigma$ . In this case  $H$  is contained in the proper parabolic subgroup  $P_\sigma = G_{\langle v_\sigma \rangle}$ . But the subgroup  $Spin(7)$  is contained only in one proper parabolic subgroup of  $SO(10)$ . Denote by  $\tilde{\phi}_1, \dots, \tilde{\phi}_r$  the fundamental weights of  $G$ . Then  $\sigma = k\tilde{\phi}_1 = k\omega$  (here  $\omega = \tilde{\phi}_1$ , see [9, Table 1]) for some  $k > 0$ . The morphism  $X' \rightarrow C(k\omega) \subset V_{k\omega}$  induces a homomorphism  $K[V_{k\omega}] \rightarrow K[X']$ . This implies that there exists a  $B$ -semi-invariant function in  $K[X']$  of weight  $k\omega$ . This function can be written as  $f = a_0x^k + a_1x^{k-1}y + \dots + a_ky^k$  and  $\nu_i(f) = k\nu_i(x) \geq 0$ . Hence  $\nu_i(x) \geq 0$  for all  $D_i \subset \tilde{X}$ . Finally we have  $xy \in K[X']^{\lambda(K^*)}$  (see Fact 2).

The action  $G : S$  is quasihomogeneous. Let  $G/F$  be a dense  $G$ -orbit in  $S$ . Recall that  $H_1 = \gamma^{-1}(\lambda(K^*))$ . We have the restricted map  $G/H_1 \rightarrow G/F$  and hence  $H_1 \subset F$ . The subgroup  $F$  is an observable subgroup in  $G$ . We claim that  $F$  is reductive. In fact, if  $rk(H_1) = rk(G)$  then  $rk(F) = rk(G)$  and  $F$  is reductive [6]. In the exceptional case ( $SO(10)$ ,  $Spin(7)$ ) it is also possible to show that  $H_1 = Spin(7) \times SO(2)$  is not contained in any proper quasiparabolic subgroup (for the definition see [6]) of  $SO(10)$  and thus  $H_1$  is not contained in any observable non-reductive subgroup of  $SO(10)$ .

*Case 1.  $F = H_1$ .*

Then  $S = G/H_1$  by Theorem 2. Any fiber of the morphism  $\pi$  is the closure of  $K^* \cong H_1/H$  in  $\tilde{X}$ . Hence any fiber is isomorphic either to  $K$  or to  $K^*$  and the number of  $G$ -orbits in  $\tilde{X}$  is at most two.

*Case 2.  $F \neq H_1$ .*

There are only three possibilities for  $F$ . In all cases  $S = G/F$  by Theorem 2.

1)  $G = SO(2n + 1)$ ,  $F = SO(2n)$ ,  $H = SL(n)$ ,  $n > 3$ . Here  $\omega = \tilde{\phi}_n$  [9] and  $\Xi(G/F) = \langle \tilde{\phi}_1 \rangle$  [17]. This contradicts condition (C).

2)  $G = Sp(2n)$ ,  $F = Sp(2n - 2) \times SL(2)$ ,  $H = Sp(2n - 2)$ ,  $n > 1$ . Here  $\omega = \tilde{\phi}_1$  [9] and  $\Xi(G/F) = \langle \tilde{\phi}_2 \rangle$  [17]. This contradicts condition (C).

3)  $G = SO(10)$ ,  $F = SO(2) \times SO(8)$ ,  $H = Spin(7)$ . Consider the restricted morphism  $G/H \rightarrow G/F$ . The preimage of the point  $eF$  is  $F/H$ . The closure  $Z$  of  $F/H$  in  $\tilde{X}$  is a spherical  $F$ -variety (for the restricted action  $F : \tilde{X}$ ) and it contains finitely many  $F$ -orbits. We have  $\tilde{X} \cong G *_F Z$  and the number of  $G$ -orbits in  $\tilde{X}$  is finite. The proof of Proposition 2 is completed.

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