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# THE SCHUR MULTIPLIER OF FINITE SYMPLECTIC GROUPS

by

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**Abstract.** — We show that the Schur multiplier of  $Sp(2g, \mathbb{Z}/D\mathbb{Z})$  is  $\mathbb{Z}/2\mathbb{Z}$ , when  $D$  is divisible by 4.

**Résumé (Multiplicateur de Schur des groupes symplectiques finis)**

Nous montrons que le multiplicateur de Schur de  $Sp(2g, \mathbb{Z}/D\mathbb{Z})$  est  $\mathbb{Z}/2\mathbb{Z}$  quand  $D$  est divisible par 4.

## 1. Introduction and statements

Let  $g \geq 1$  be an integer and denote by  $Sp(2g, \mathbb{Z})$  the symplectic group of  $2g \times 2g$  matrices with integer coefficients. Deligne's non-residual finiteness theorem from [7] states that the *universal central extension*  $\widetilde{Sp(2g, \mathbb{Z})}$  is not residually finite since the image of its center under any homomorphism into a finite group has order at most two when  $g \geq 3$ . Our first motivation was to understand this result and give a sharp statement, namely to decide whether the image of the central  $\mathbb{Z}$  might be of order two. Since these symplectic groups have the congruence subgroup property, this boils down to understanding the second homology of symplectic groups with coefficients in finite cyclic groups. In the sequel, for simplicity and unless otherwise explicitly stated, all (co)homology groups will be understood to be with trivial integer coefficients. An old theorem of Stein (see [18], Thm. 2.13 and Prop. 3.3.a) is that  $H_2(Sp(2g, \mathbb{Z}/D\mathbb{Z})) = 0$ , when  $D$  is not divisible by 4. The case  $D \equiv 0 \pmod{4}$  remained open since then;

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this is explicitly mentioned for instance in ([17], Remarks after Thm. 3.8). Our main result settles this case:

**Theorem 1.1.** — *The second homology group of finite principal congruence quotients of  $Sp(2g, \mathbb{Z})$ ,  $g \geq 3$  is*

$$H_2(Sp(2g, \mathbb{Z}/D\mathbb{Z})) = \mathbb{Z}/2\mathbb{Z}, \text{ if } D \equiv 0 \pmod{4}.$$

In comparison, recall that Beyl (see [2]) has showed that  $H_2(SL(2, \mathbb{Z}/D\mathbb{Z})) = \mathbb{Z}/2\mathbb{Z}$ , for  $D \equiv 0 \pmod{4}$  and Dennis and Stein proved using K-theoretic methods that for  $n \geq 3$  we have  $H_2(SL(n, \mathbb{Z}/D\mathbb{Z})) = \mathbb{Z}/2\mathbb{Z}$ , for  $D \equiv 0 \pmod{4}$ , while  $H_2(SL(n, \mathbb{Z}/D\mathbb{Z})) = 0$ , for  $D \not\equiv 0 \pmod{4}$  (see [8], Cor. 10.2 and [15], section 12).

Our proof also relies on Deligne's non-residual finiteness theorem from [7] and deep results of Putman in [17], and shows that we can detect this  $\mathbb{Z}/2\mathbb{Z}$  factor on  $H_2(Sp(2g, \mathbb{Z}/32\mathbb{Z}))$  for  $g \geq 4$ , providing an explicit extension that detects this homology class.

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## 2. Preliminaries

**2.1. Residual finiteness of universal central extensions.** — In this section we collect results about universal central extensions of perfect groups, for the sake of completeness of our arguments. Every perfect group  $\Gamma$  has a universal central extension  $\tilde{\Gamma}$ ; the kernel of the canonical projection map  $\tilde{\Gamma} \rightarrow \Gamma$  contains the center  $Z(\tilde{\Gamma})$  of  $\tilde{\Gamma}$  and is canonically isomorphic to the second integral homology group  $H_2(\Gamma)$ . We will recall now how the residual finiteness problem for the universal central  $\tilde{\Gamma}$  of a perfect and residually finite group  $\Gamma$  translates into an homological problem about  $H_2(\Gamma)$ . We start with a classical result for maps between universal central extensions of perfect groups.

**Lemma 2.1.** — *Let  $\Gamma$  and  $F$  be perfect groups,  $\tilde{\Gamma}$  and  $\tilde{F}$  be their universal central extensions and  $p : \Gamma \rightarrow F$  be a group homomorphism. Then there exists a unique homomorphism  $\tilde{p} : \tilde{\Gamma} \rightarrow \tilde{F}$  lifting  $p$  such that the following diagram is commutative:*

$$\begin{array}{ccccccc} 1 & \rightarrow & H_2(\Gamma) & \rightarrow & \tilde{\Gamma} & \rightarrow & \Gamma & \rightarrow & 1 \\ & & p_* \downarrow & & \tilde{p} \downarrow & & \downarrow p & & \\ 1 & \rightarrow & H_2(F) & \rightarrow & \tilde{F} & \rightarrow & F & \rightarrow & 1 \end{array}$$

For a proof we refer the interested reader to ([13], chap VIII) or ([4], chap IV, Ex. 1, 7). If  $\Gamma$  is a perfect residually finite group, to prove that its universal central extension  $\tilde{\Gamma}$  is also residually finite we only have to find enough finite quotients of  $\tilde{\Gamma}$  to detect the elements in its center  $H_2(\Gamma)$ . The following lemma analyses the situation.

**Lemma 2.2.** — *Let  $\Gamma$  be a perfect group and denote by  $\tilde{\Gamma}$  its universal central extension.*

1. *Let  $H$  be a finite index normal subgroup  $H \subset \Gamma$  such that the image of  $H_2(H)$  into  $H_2(\Gamma)$  contains the subgroup  $dH_2(\Gamma)$ , for some  $d \in \mathbb{Z}$ . Let  $F = \Gamma/H$  be the corresponding finite quotient of  $\Gamma$  and  $p : \Gamma \rightarrow F$  the quotient map. Then  $d \cdot p_*(H_2(\Gamma)) = 0$ , where  $p_* : H_2(\Gamma) \rightarrow H_2(F)$  is the homomorphism induced by  $p$ . In particular, if  $p_* : H_2(\Gamma) \rightarrow H_2(F)$  is surjective, then  $d \cdot H_2(F) = 0$ .*
2. *Assume that  $F$  is a finite quotient of  $\Gamma$  satisfying  $d \cdot p_*(H_2(\Gamma)) = 0$ . Let  $\tilde{F}$  denote the universal central extension of  $F$ . Then the homomorphism  $p : \Gamma \rightarrow F$  has a unique lift  $\tilde{p} : \tilde{\Gamma} \rightarrow \tilde{F}$  and the kernel of  $\tilde{p}$  contains  $d \cdot H_2(\Gamma)$ .*

Observe that in point 2. of Lemma 2.2 the group  $F$  being finite,  $H_2(F)$  is also finite, hence one can take  $d = |H_2(F)|$ .

*Proof.* — The image of  $H$  into  $F$  is trivial and thus the image of  $H_2(H)$  into  $H_2(F)$  is trivial. This implies that  $p_*(d \cdot H_2(\Gamma)) = 0$ , which proves the first part of the lemma.

Further, by Lemma 2.1 there exists a unique lift  $\tilde{p} : \tilde{\Gamma} \rightarrow \tilde{F}$ . If  $d \cdot p_*(H_2(\Gamma)) = 0$  then Lemma 2.1 yields  $d \cdot \tilde{p}(c) = d \cdot p_*(c) = 0$ , for any  $c \in H_2(\Gamma)$ . This settles the second part of the lemma.  $\square$

**Remark 2.1.** — It might be possible that we have  $d' \cdot p_*(H_2(\Gamma)) = 0$  for some proper divisor  $d'$  of  $d$ , so the first part of Lemma 2.2 can only give an upper bound of the orders of the image of the second cohomology. In order to find lower bounds we need additional information concerning the finite quotients  $F$ .

**Lemma 2.3.** — *Let  $\Gamma$  be a perfect group,  $\tilde{\Gamma}$  its universal central extension,  $p : \Gamma \rightarrow F$  be a surjective homomorphism onto a finite group  $F$  and  $\hat{p} : \tilde{\Gamma} \rightarrow G$  be some lift of  $p$  to a central extension  $G$  of  $F$  by some finite abelian group  $C$ . Assume that the image of  $H_2(\Gamma) \subset \tilde{\Gamma}$  in  $G$  by  $\hat{p}$  contains an element of order  $q$ . Then there exists an element of  $p_*(H_2(\Gamma)) \subset H_2(F)$  of order  $q$ .*

*Proof.* — By Lemma 2.1 there exists a lift  $\tilde{p} : \tilde{\Gamma} \rightarrow \tilde{F}$  of  $p$  into the universal central extension  $\tilde{F}$  of  $F$ . Then, by universality there exists a unique homomorphism  $s : \tilde{F} \rightarrow G$  of central extensions of  $F$  lifting the identity map of  $F$ . The homomorphisms  $\hat{p}$  and  $s \circ \tilde{p} : \tilde{\Gamma} \rightarrow G$  are then both lifts of  $p$ . Using the centrality of  $C$  in  $G$  it follows that the map  $\tilde{\Gamma} \rightarrow C$  given by  $x \mapsto \hat{p}(x)^{-1} \cdot (s \circ \tilde{p}(x))$  is a group homomorphism, and hence is trivial since  $\tilde{\Gamma}$  is perfect and  $C$  abelian. We conclude that  $\hat{p} = s \circ \tilde{p}$ .

Recall that the restriction of  $\tilde{p}$  to  $H_2(\Gamma)$  coincides with the homomorphism  $p_* : H_2(\Gamma) \rightarrow H_2(F)$  and that  $H_2(F)$  is finite since  $F$  is so. Then, if  $z \in H_2(\Gamma)$  is such

that  $\widehat{p}(z)$  has order  $q$  in  $C$ , the element  $p_*(z) \in p_*(H_2(\Gamma)) \subset \widetilde{F}$  is sent by  $s$  onto an element of order  $q$  and therefore  $p_*(z)$  has order a multiple of  $q$ , say  $aq$ . Then  $(p_*(z))^a = p_*(z^a) \in p_*(H_2(\Gamma)) \subset \widetilde{F}$  has order  $q$ .  $\square$

### 3. Proof of Theorem 1.1

**3.1. Reducing the proof to  $D = 2^k$ .** — Let  $D = p_1^{n_1} p_2^{n_2} \cdots p_s^{n_s}$  be the prime decomposition of an integer  $D$ . Then, according to ([16, Thm. 5]) we have  $Sp(2g, \mathbb{Z}/D\mathbb{Z}) = \oplus_{i=1}^s Sp(2g, \mathbb{Z}/p_i^{n_i}\mathbb{Z})$ . Since symplectic groups are perfect for  $g \geq 3$  (see e.g. [17], Thm. 5.1), from the Künneth formula, we derive:

$$H_2(Sp(2g, \mathbb{Z}/D\mathbb{Z})) = \oplus_{i=1}^s H_2(Sp(2g, \mathbb{Z}/p_i^{n_i}\mathbb{Z})).$$

Stein (see [18, 20]) proved that for any odd prime  $p$  and  $n \geq 2$  the Schur multipliers vanish:

$$H_2(Sp(2g, \mathbb{Z}/p^n\mathbb{Z})) = 0$$

while Steinberg has showed that

$$H_2(Sp(2g, \mathbb{Z}/2\mathbb{Z})) = 0.$$

Then, Theorem 1.1 is equivalent to the statement:

$$H_2(Sp(2g, \mathbb{Z}/2^k\mathbb{Z})) = \mathbb{Z}/2\mathbb{Z}, \text{ for all } g \geq 3, k \geq 2.$$

We will freely use in the sequel two classical results due to Stein. *Stein's isomorphism theorem* (see [18], Thm. 2.13 and Prop. 3.3.(a)) states that there is an isomorphism:

$$H_2(Sp(2g, \mathbb{Z}/2^k\mathbb{Z})) \simeq H_2(Sp(2g, \mathbb{Z}/2^{k+1}\mathbb{Z})), \text{ for all } g \geq 3, k \geq 2.$$

Further, *Stein's stability theorem* (see [18]) states that the stabilization homomorphism  $Sp(2g, \mathbb{Z}/2^k\mathbb{Z}) \hookrightarrow Sp(2g+2, \mathbb{Z}/2^k\mathbb{Z})$  induces an isomorphism:

$$H_2(Sp(2g, \mathbb{Z}/2^k\mathbb{Z})) \simeq H_2(Sp(2g+2, \mathbb{Z}/2^k\mathbb{Z})), \text{ for all } g \geq 3, k \geq 1.$$

Therefore, to prove Theorem 1.1 it suffices to show that:

$$H_2(Sp(2g, \mathbb{Z}/2^k\mathbb{Z})) = \mathbb{Z}/2\mathbb{Z}, \text{ for some } g \geq 3 \text{ and some } k \geq 2.$$

**3.2. An alternative for the order of  $H_2(Sp(2g, \mathbb{Z}/2^k\mathbb{Z}))$ .** — As our first step we prove, as a consequence of Deligne's theorem:

**Proposition 3.1.** — *We have  $H_2(Sp(2g, \mathbb{Z}/2^k\mathbb{Z})) \in \{0, \mathbb{Z}/2\mathbb{Z}\}$ , when  $g \geq 4$ .*

*Proof of Proposition 3.1.* — Let  $p : Sp(2g, \mathbb{Z}) \rightarrow Sp(2g, \mathbb{Z}/2^k\mathbb{Z})$  be the reduction mod  $2^k$  and  $p_* : H_2(Sp(2g, \mathbb{Z})) \rightarrow H_2(Sp(2g, \mathbb{Z}/2^k\mathbb{Z}))$  the induced homomorphism. The first ingredient in the proof is the following result which seems well-known, and that we isolate for later reference:

**Lemma 3.1.** — *The homomorphism  $p_* : H_2(\mathrm{Sp}(2g, \mathbb{Z})) \rightarrow H_2(\mathrm{Sp}(2g, \mathbb{Z}/2^k\mathbb{Z}))$  is surjective, if  $g \geq 4$ .*

The rather technical proof of Lemma 3.1 is postponed to section 3.5.

Now, it is a classical result that  $H_1(\mathrm{Sp}(2g, \mathbb{Z})) = 0$ , for  $g \geq 3$  and  $H_2(\mathrm{Sp}(2g, \mathbb{Z})) = \mathbb{Z}$ , for  $g \geq 4$  (see e.g. [17], Thm. 5.1). Note however that for  $g = 3$ , we have that  $H_2(\mathrm{Sp}(6, \mathbb{Z})) = \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$  according to [19]. This implies that  $H_2(\mathrm{Sp}(2g, \mathbb{Z}/2^k\mathbb{Z}))$  is cyclic when  $g \geq 4$  (this was also shown by Stein in [18]) and we only have to bound the order of this cohomology group.

Lemma 2.1 provides a lift between the universal central extensions  $\tilde{p} : \widetilde{\mathrm{Sp}(2g, \mathbb{Z})} \rightarrow \mathrm{Sp}(2g, \mathbb{Z}/2^k\mathbb{Z})$  of the mod  $2^k$  reduction map, such that the restriction of  $\tilde{p}$  to the central subgroup  $H_2(\mathrm{Sp}(2g, \mathbb{Z}))$  of  $\widetilde{\mathrm{Sp}(2g, \mathbb{Z})}$  is the homomorphism  $p_* : H_2(\mathrm{Sp}(2g, \mathbb{Z})) \rightarrow H_2(\mathrm{Sp}(2g, \mathbb{Z}/2^k\mathbb{Z}))$ . From Deligne's theorem [7] every finite index subgroup of the universal central extension  $\widetilde{\mathrm{Sp}(2g, \mathbb{Z})}$ , for  $g \geq 4$ , contains  $2\mathbb{Z}$ , where  $\mathbb{Z}$  is the central kernel  $\ker(\widetilde{\mathrm{Sp}(2g, \mathbb{Z})} \rightarrow \mathrm{Sp}(2g, \mathbb{Z}))$ . If  $c$  is a generator of the central  $\mathbb{Z} = H_2(\mathrm{Sp}(2g, \mathbb{Z}))$  we have  $2p_*(c) = \tilde{p}(2c) = 0$ . According to Lemma 3.1  $p_*$  is surjective and thus  $H_2(\mathrm{Sp}(2g, \mathbb{Z}/2^k\mathbb{Z}))$  is a quotient of  $\mathbb{Z}/2\mathbb{Z}$ , as claimed.  $\square$

### 3.3. Divisibility of the universal symplectic central extension when restricted to level subgroups.

— The Siegel upper half plane  $\mathcal{H}_g$  is the space of  $g \times g$  symmetric complex matrices with positive definite imaginary parts. Let  $\mathrm{Sp}(2g, L)$  denote the level  $L$  congruence subgroup of  $\mathrm{Sp}(2g, \mathbb{Z})$ , namely the kernel of the mod  $L$  reduction map  $\mathrm{Sp}(2g, \mathbb{Z}) \rightarrow \mathrm{Sp}(2g, \mathbb{Z}/L\mathbb{Z})$ . The moduli space  $\mathcal{A}_g(L)$  of principally polarized abelian varieties with level  $L$  structures (over  $\mathbb{C}$  of dimension  $g$ ) is defined as the quotient  $\mathcal{H}_g/\mathrm{Sp}(2g, L)$ . This is a quasiprojective orbifold. As  $\mathrm{Sp}(2g, \mathbb{Z})$  has Kazhdan's property  $T$  for  $g \geq 2$  we have  $H^1(\mathrm{Sp}(2g, L); \mathbb{Z}) = 0$  and there exists an injection of the Picard group  $\mathrm{Pic}(\mathcal{A}_g) \rightarrow H^2(\mathrm{Sp}(2g, L); \mathbb{Z})$ ; Borel ([3]) proved that  $H^2(\mathrm{Sp}(2g, L); \mathbb{Z})$  has rank 1, for  $g \geq 3$  and Putman ([17], Thm. D) showed that for  $g \geq 4$  and  $4 \nmid L$  this injection is an isomorphism. There is a line bundle  $\lambda_g \in \mathrm{Pic}(\mathcal{A}_g)$  whose holomorphic sections are Siegel modular forms of weight 1 and level 1, also called the Hodge line bundle. Then  $\lambda_g$  generates  $\mathrm{Pic}(\mathcal{A}_g)$  (see [10]) and its first Chern class  $c_1(\lambda_g)$  generates  $H^2(\mathrm{Sp}(2g, \mathbb{Z}); \mathbb{Z}) = \mathbb{Z}$ , for  $g \geq 3$ . Denote by  $\lambda_g(L) \in \mathrm{Pic}(\mathcal{A}_g(L))$  the pullback of  $\lambda_g$  to the orbifold covering  $\mathcal{A}_g(L)$ .

Recall the (slightly corrected) version of Putman's lemma ([17], Lemma 5.5):

**Lemma 3.2.** — *Let  $L \geq 2$  be an even number. The pull-back  $\lambda_g(L)$  of the Hodge bundle  $\lambda_g \in \mathcal{A}_g$  to  $\mathcal{A}_g(L)$  is divisible by 2 if  $4 \mid L$  and is divisible by 2 modulo torsion but not divisible by 2 if  $4 \nmid L$ .*

In view of the canonical injection  $\mathrm{Pic}(\mathcal{A}_g(L)) \hookrightarrow H^2(\mathrm{Sp}(2g, L); \mathbb{Z})$ , this result cohomologically translates as:

**Lemma 3.3.** — *Let  $L \geq 2$  be an even number. The pullback of the class of the universal central extension  $c \in H^2(Sp(2g, \mathbb{Z}); \mathbb{Z})$  to  $Sp(2g, L)$  is divisible by 2 if  $4 \mid L$  and divisible by 2 modulo torsion if  $4 \nmid L$ .*

*Proof.* — The transformation formulas for the theta nulls (see e.g. [10]) provide a square root for the pull-back, nevertheless, these computations do not see the torsion (i.e. flat) bundles. So they provide for  $L = 2$  and hence for every even  $L$  a square root for  $\lambda_g$  modulo torsion.

The universal coefficients exact sequence reads:

$$0 \rightarrow \text{Hom}(H_1(Sp(2g, 2); \mathbb{Z}), \mathbb{C}^*) \rightarrow H^2(Sp(2g, 2); \mathbb{Z}) \rightarrow \text{Hom}(H_2(Sp(2g, 2); \mathbb{Z}), \mathbb{Z}) \rightarrow 0$$

As  $Sp(2g, \mathbb{Z})$  is perfect, the divisibility by 2 mod torsion of  $\lambda_g(2)$  shows that the image of  $H_2(Sp(2g, 2); \mathbb{Z}) \rightarrow H_2(Sp(2g, \mathbb{Z}); \mathbb{Z}) = \mathbb{Z}$  is contained in  $2\mathbb{Z}$ . By Deligne's theorem, the image must in fact be  $2\mathbb{Z}$ .

Further, torsion elements in  $H^2(Sp(2g, 2); \mathbb{Z})$  all come from the abelianization of  $Sp(2g, 2)$ . It is proved in [12] that the commutator  $[Sp(2g, 2), Sp(2g, 2)]$  coincides with the so-called Igusa subgroup  $Sp(2g, 4, 8)$  of  $Sp(2g, 4)$  consisting of those symplectic matrices  $\begin{pmatrix} A & B \\ C & D \end{pmatrix}$  with the property that the diagonal entries of  $AB^\top$  and  $CD^\top$  are multiples of 8. Since  $Sp(2g, 4, 8) \supset Sp(2g, 8)$  the pull-back of the universal central extension on  $Sp(2g, \mathbb{Z})$  to  $Sp(2g, 8)$  is genuinely divisible by 2.

We are only left with the proof that the pullback of the class  $c$  on  $Sp(2g, 4)$  is divisible by 2. This is a consequence of Stein's stability theorem. Indeed, the divisibility by 2 on  $Sp(2g, 8)$  implies that the mod 2 reduction of the universal central extension  $c$  is the pullback of the universal central extension of  $Sp(2g, \mathbb{Z}/32\mathbb{Z})$ , so by stability it is also the pullback of the universal central extension of  $Sp(2g, \mathbb{Z}/4\mathbb{Z})$ , and we have a commutative diagram:

$$(1) \quad \begin{array}{ccccccc} 1 & \rightarrow & \mathbb{Z} & \rightarrow & \widetilde{Sp(2g, \mathbb{Z})} & \rightarrow & Sp(2g, \mathbb{Z}) \rightarrow 1 \\ & & \downarrow \text{mod } 2 & & \downarrow F & & \downarrow \\ 1 & \rightarrow & \mathbb{Z}/2\mathbb{Z} & \rightarrow & \widetilde{Sp(2g, \mathbb{Z}/4\mathbb{Z})} & \rightarrow & Sp(2g, \mathbb{Z}/4\mathbb{Z}) \rightarrow 1. \end{array}$$

Let  $H = \ker F$ . Then  $H$  is the preimage of  $Sp(2g, 4) \subset Sp(2g, \mathbb{Z})$  and  $H \cap \mathbb{Z} = 2\mathbb{Z}$ . By construction twice the class of the central extension

$$1 \rightarrow \mathbb{Z} \rightarrow H \rightarrow Sp(2g, 4) \rightarrow 1$$

is  $c$  restricted to  $Sp(2g, 4)$ . □

**3.4. Nonsplit central extensions.** — In order to show that  $H_2(Sp(2g, \mathbb{Z}/2^k\mathbb{Z})) = \mathbb{Z}/2\mathbb{Z}$ , when  $k \geq 2$  and  $g \geq 4$  it is enough to show that  $H_2(Sp(2g, \mathbb{Z}/m\mathbb{Z})) \neq 0$ , for some value of  $m$ . This will follow by exhibiting a nonsplit central extension of

$Sp(2g, \mathbb{Z}/m\mathbb{Z})$ . Let

$$1 \rightarrow \mathbb{Z} \rightarrow \widetilde{Sp(2g, \mathbb{Z})} \rightarrow Sp(2g, \mathbb{Z}) \rightarrow 1$$

be the universal central extension of  $Sp(2g, \mathbb{Z})$  and let  $c \in H^2(Sp(2g, \mathbb{Z})) = \mathbb{Z}$  be the generator. Let  $Sp(2g, 2)$  be the level 2 subgroup of  $Sp(2g, \mathbb{Z})$ , namely the kernel of the mod 2 reduction map  $Sp(2g, \mathbb{Z}) \rightarrow Sp(2g, \mathbb{Z}/2\mathbb{Z})$  and  $\iota : Sp(2g, 2) \rightarrow Sp(2g, \mathbb{Z})$  the inclusion.

From Lemma 3.3 there exists some  $d \in H^2(Sp(2g, 4))$  such that  $2d = \iota^*(c)$ . Let

$$1 \rightarrow \mathbb{Z} \rightarrow \widetilde{G} \rightarrow Sp(2g, 4) \rightarrow 1$$

be the central extension corresponding to the class  $d$ , so that we have a commutative diagram:

$$(2) \quad \begin{array}{ccccccc} 1 & \rightarrow & \mathbb{Z} & \rightarrow & \widetilde{G} & \rightarrow & Sp(2g, 4) \rightarrow 1 \\ & & \downarrow \times 2 & & \downarrow & & \downarrow \iota \\ 1 & \rightarrow & \mathbb{Z} & \rightarrow & \widetilde{Sp(2g, \mathbb{Z})} & \rightarrow & Sp(2g, \mathbb{Z}) \rightarrow 1. \end{array}$$

The group  $\widetilde{G}$  is thus a finite-index subgroup of  $\widetilde{Sp(2g, \mathbb{Z})}$ . Let  $\widetilde{K} \subset \widetilde{G}$  be a finite-index normal subgroup of  $\widetilde{Sp(2g, \mathbb{Z})}$  and  $K$  be its image in  $Sp(2g, 4)$ .

Using the congruence subgroup property, we can find some  $m$  such that  $Sp(2g, m) \subset K$ . Let  $Sp(2g, m)$  be the preimage of  $Sp(2g, m)$  under the map  $\widetilde{Sp(2g, \mathbb{Z})} \rightarrow Sp(2g, \mathbb{Z})$  and  $\widetilde{H} = \widetilde{K} \cap Sp(2g, m)$ . Since it is the intersection of two finite-index normal subgroups, the group  $\widetilde{H}$  is a finite-index normal subgroup of  $\widetilde{Sp(2g, \mathbb{Z})}$  that is contained in  $\widetilde{K}$ . Its intersection with the central  $\mathbb{Z}$  in  $\widetilde{Sp(2g, \mathbb{Z})}$  is thus of the form  $2n\mathbb{Z}$ , for some  $n \geq 1$ . By Deligne's theorem we derive that  $n = 1$ . We obtain the commutative diagram:

$$(3) \quad \begin{array}{ccccccc} 1 & \rightarrow & \mathbb{Z} & \rightarrow & \widetilde{H} & \rightarrow & Sp(2g, m) \rightarrow 1 \\ & & \downarrow \times 2 & & \downarrow & & \downarrow \iota \\ 1 & \rightarrow & \mathbb{Z} & \rightarrow & \widetilde{Sp(2g, \mathbb{Z})} & \rightarrow & Sp(2g, \mathbb{Z}) \rightarrow 1. \end{array}$$

We have  $Sp(2g, \mathbb{Z}/m\mathbb{Z}) = Sp(2g, \mathbb{Z})/Sp(2g, m)$ . Define  $\Gamma = \widetilde{Sp(2g, \mathbb{Z})}/\widetilde{H}$ . We thus have a central extension

$$1 \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow \Gamma \rightarrow Sp(2g, \mathbb{Z}/m\mathbb{Z}) \rightarrow 1$$

Since  $\widetilde{Sp(2g, \mathbb{Z})}$  is the universal central extension of the perfect group  $Sp(2g, \mathbb{Z})$  the group  $\widetilde{Sp(2g, \mathbb{Z})}$  is perfect. This implies that  $\Gamma$  is also perfect, and in particular it has no nontrivial homomorphism to  $\mathbb{Z}/2$ . We conclude that this central extension of  $Sp(2g, \mathbb{Z}/m\mathbb{Z})$  does not split, as desired.

**Remark 3.1.** — We can take for  $\widetilde{K} = [\widetilde{Sp(2g, 4)}, \widetilde{Sp(2g, 4)}]$ , which is a finite index normal subgroup of  $\widetilde{Sp(2g, \mathbb{Z})}$ . In this case  $K$  is the Igusa subgroup  $Sp(2g, 16, 32)$

consisting of those symplectic matrices  $\begin{pmatrix} A & B \\ C & D \end{pmatrix}$  from  $Sp(2g, 16)$  with the property that the diagonal entries of  $AB^\top$  and  $CD^\top$  are multiples of 32. Therefore we can take  $m = 32$  in the argument above.

**Remark 3.2.** — As a consequence Deligne’s non-residual finiteness theorem is sharp. Putman in ([17], Thm.F) has previously obtained the existence of finite index subgroups of  $\widetilde{Sp(2g, \mathbb{Z})}$  which contain  $2\mathbb{Z}$  but not  $\mathbb{Z}$ . We make explicit his construction as the image of the center of the universal central extension  $\widetilde{Sp(2g, \mathbb{Z})}$  into the universal central extension of  $Sp(2g, \mathbb{Z}/D\mathbb{Z})$  is of order two, when  $D \equiv 0 \pmod{4}$  and  $g \geq 3$ .

**3.5. Proof of Lemma 3.1.** — Let  $\mathbb{K}$  be a *number field*,  $\mathcal{R}$  be the set of inequivalent valuations of  $\mathbb{K}$  and  $S \subset \mathcal{R}$  be a finite set of valuations of  $\mathbb{K}$  including all the Archimedean (infinite) ones. Let

$$O(S) = \{x \in \mathbb{K} : v(x) \leq 1, \text{ for all } v \in \mathcal{R} \setminus S\}$$

be the ring of  $S$ -integers in  $\mathbb{K}$  and  $\mathfrak{q} \subset O(S)$  be a nonzero ideal. By  $\mathbb{K}_v$  we denote the completion of  $\mathbb{K}$  with respect to  $v \in \mathcal{R}$ . Following [1], a domain  $\mathfrak{A}$  which arises as  $O(S)$  above will be called a *Dedekind domain of arithmetic type*.

Let  $\mathfrak{A} = O_S$  be a Dedekind domain of arithmetic type and  $\mathfrak{q}$  be an ideal of  $\mathfrak{A}$ . Denote by  $Sp(2g, \mathfrak{A}, \mathfrak{q})$  the kernel of the surjective homomorphism  $p : Sp(2g, \mathfrak{A}) \rightarrow Sp(2g, \mathfrak{A}/\mathfrak{q})$ . The surjectivity is not a purely formal fact and follows from the fact that in these cases the symplectic group coincides with the so-called ”elementary symplectic group”, and that it is trivial to lift elementary generators of  $Sp(2g, \mathfrak{A}/\mathfrak{q})$  to  $Sp(2g, \mathfrak{A})$ , for a proof of this fact when  $\mathfrak{A} = \mathbb{Z}$  see ([11], Thm. 9.2.5).

The goal of this section is to give a self-contained proof of the following result, which contains Lemma 3.1 as a particular case:

**Proposition 3.2.** — *Given an ideal  $\mathfrak{q} \in \mathfrak{A}$ , for any  $g \geq 3$ , the homomorphism  $p_* : H_2(Sp(2g, \mathfrak{A})) \rightarrow H_2(Sp(2g, \mathfrak{A}/\mathfrak{q}))$  is surjective.*

Normal generators of the group  $Sp(2g, \mathfrak{A}, \mathfrak{q})$  can be found in ([1], III.12), as follows. Fix a symplectic basis  $\{a_i, b_i\}_{1 \leq i \leq g}$  and write the matrix by blocks according to the associated decomposition of  $\mathfrak{A}^{2g}$  into maximal isotropic subspaces.

For each pair of distinct integers  $i, j \in \{1, \dots, g\}$  denote by  $e_{ij} \in \mathfrak{M}_g(\mathbb{Z})$  the matrix whose only non-zero entry is a 1 at the place  $ij$ . Set also  $\mathbf{1}_k$  for the  $k$ -by- $k$  identity matrix.



Then following ([1], Lemma 13.1)  $Sp(2g, \mathfrak{A}, \mathfrak{q})$  is the *normal subgroup* of  $Sp(2g, \mathfrak{A})$  generated by the matrices:

$$(4) \quad U_{ij}(q) = \begin{pmatrix} \mathbf{1}_g & qe_{ij} + qe_{ji} \\ 0 & \mathbf{1}_g \end{pmatrix}, \quad U_{ii}(q) = \begin{pmatrix} \mathbf{1}_g & qe_{ii} \\ 0 & \mathbf{1}_g \end{pmatrix},$$

and

$$(5) \quad L_{ij}(q) = \begin{pmatrix} \mathbf{1}_g & 0 \\ qe_{ij} + qe_{ji} & \mathbf{1}_g \end{pmatrix}, \quad L_{ii}(q) = \begin{pmatrix} \mathbf{1}_g & 0 \\ qe_{ii} & \mathbf{1}_g \end{pmatrix},$$

where  $q \in \mathfrak{q}$ .

Denote by  $E(2g, \mathfrak{A}, \mathfrak{q})$  the subgroup of  $Sp(2g, \mathfrak{A}, \mathfrak{q})$  generated by the matrices  $U_{ij}(q)$  and  $L_{ij}(q)$ .

**Lemma 3.4.** — *The group  $E(2g, \mathfrak{A}, \mathfrak{q})$  is the subgroup  $Sp(2g, \mathfrak{A}, \mathfrak{q}|\mathfrak{q}^2)$  of  $Sp(2g, \mathfrak{A})$  of those symplectic matrices  $\begin{pmatrix} A & B \\ C & D \end{pmatrix}$  whose entries satisfy the conditions:*

$$(6) \quad B \equiv C \equiv 0 \pmod{\mathfrak{q}}, \text{ and } A \equiv D \equiv \mathbf{1}_g \pmod{\mathfrak{q}^2}.$$

*Proof.* — We follow closely the proof of Lemma 13.1 from [1]. One verifies easily that  $Sp(2g, \mathfrak{A}, \mathfrak{q}|\mathfrak{q}^2)$  is indeed a subgroup of  $Sp(2g, \mathfrak{A})$ . It then suffices to show that all elements of the form  $\begin{pmatrix} A & 0 \\ 0 & (A^{-1})^\top \end{pmatrix}$ , with  $A \in GL(g, \mathfrak{A})$  satisfying  $A \equiv \mathbf{1}_g \pmod{\mathfrak{q}^2}$  belong to  $E(2g, \mathfrak{A}, \mathfrak{q})$ . Here the notation  $A^\top$  stands for the transpose of the matrix  $A$ . Next, it suffices to verify this claim when  $A$  is an elementary matrix, and hence when  $A$  is in  $GL(2, \mathfrak{A})$  and  $g = 2$ . Taking therefore  $A = \begin{pmatrix} 1 & 0 \\ q_1q_2 & 1 \end{pmatrix}$ , where  $q_1, q_2 \in \mathfrak{q}$ , we can write:

$$(7) \quad \begin{pmatrix} A & 0 \\ 0 & (A^{-1})^\top \end{pmatrix} = \begin{pmatrix} 1_2 & \sigma A^\top \\ 0 & 1_2 \end{pmatrix} \begin{pmatrix} 1_2 & 0 \\ -\tau & 1_2 \end{pmatrix} \begin{pmatrix} 1_2 & \sigma \\ 0 & 1_2 \end{pmatrix} \begin{pmatrix} 1_2 & 0 \\ \tau & 1_2 \end{pmatrix},$$

where  $\sigma = \begin{pmatrix} 0 & q_1 \\ q_1 & 0 \end{pmatrix}$  and  $\tau = \begin{pmatrix} q_2 & 0 \\ 0 & 0 \end{pmatrix}$ . □

Define now

$$(8) \quad R_{ij}(q) = \begin{pmatrix} \mathbf{1}_g + qe_{ij} & 0 \\ 0 & \mathbf{1}_g - qe_{ji} \end{pmatrix}, \text{ for } i \neq j,$$

and

$$(9) \quad N_{ii}(q) = \begin{pmatrix} \mathbf{1}_g + (q + q^2 + q^3)e_{ii} & -q^2e_{ii} \\ q^2e_{ii} & \mathbf{1}_g - qe_{ii} \end{pmatrix}.$$

*Proof of proposition 3.2.* — If  $M$  is endowed with a structure of  $G$ -module we denote by  $M_G$  the quotient module of co-invariants, namely the quotient of  $M$  by the submodule generated by  $\langle g \cdot x - x, g \in G, x \in M \rangle$ .

It is known (see [4], VII.6.4) that an exact sequence of groups

$$1 \rightarrow K \rightarrow G \rightarrow Q \rightarrow 1$$

induces the following 5-term exact sequence in homology (with coefficients in an arbitrary  $G$ -module  $M$ ):

$$(10) \quad H_2(G; M) \rightarrow H_2(Q; M_H) \rightarrow H_1(K)_Q \rightarrow H_1(G, M) \rightarrow H_1(Q, M_H) \rightarrow 0.$$

From the 5-term exact sequence associated to the short exact sequence:

$$1 \rightarrow Sp(2g, \mathfrak{A}, \mathfrak{q}) \rightarrow Sp(2g, \mathfrak{A}) \rightarrow Sp(2g, \mathfrak{A}/\mathfrak{q}) \rightarrow 1$$

we deduce that the surjectivity of  $p_*$  is equivalent to Lemma 3.5 hereafter.

**Lemma 3.5.** — *For any ideal  $\mathfrak{q}$ , if  $g \geq 3$  we have:*

$$(11) \quad H_1(Sp(2g, \mathfrak{A}, \mathfrak{q}))_{Sp(2g, \mathfrak{A}/\mathfrak{q})} = 0.$$

*Proof of Lemma 3.5.* — For each pair of distinct integers  $i, j \in \{1, \dots, g\}$  denote by  $A_{ij}$  the symplectic matrix:

$$(12) \quad A_{ij} = \begin{pmatrix} \mathbf{1}_g - e_{ij} & 0 \\ 0 & \mathbf{1}_g + e_{ji} \end{pmatrix}.$$

Then for each triple of distinct integers  $(i, j, k)$  and  $q \in \mathfrak{q}$  the action of  $A_{ij}$  by conjugacy on the generating matrices of  $Sp(2g, \mathfrak{A}, \mathfrak{q})$  is given by:

$$\begin{aligned} A_{ij} \cdot U_{jj}(q) &= U_{ii}(q)U_{jj}(q)U_{ij}(q)^{-1}, \\ A_{ki} \cdot U_{ij}(q) &= U_{ij}(q)U_{jk}(q)^{-1}, \\ A_{ji} \cdot U_{ij}(q) &= U_{ij}(q)U_{jj}(q)^{-2}, \\ A_{ij} \cdot L_{jj}(q) &= L_{ii}(q)L_{jj}(q)L_{ij}(q), \\ A_{ik} \cdot L_{ij}(q) &= L_{ij}(q), \\ A_{ij} \cdot L_{ij}(q) &= L_{ij}(q)L_{ii}(q)^2, \end{aligned}$$

where we used the notation  $A \cdot U = AUA^{-1}$ . We also have:

$$A_{ij} \cdot R_{jk}(q) = R_{jk}(q)R_{ik}(q).$$

Further the symplectic map  $J = \begin{pmatrix} 0 & -\mathbf{1}_g \\ \mathbf{1}_g & 0 \end{pmatrix}$  acts as follows:

$$J \cdot U_{ij}(q) = -L_{ij}(q), \quad J \cdot U_{ii}(q) = -L_{ii}(q).$$

Denote by lower cases the classes of the maps  $U_{ij}(q)$ ,  $U_{ii}(q)$ ,  $L_{ij}(q)$ ,  $L_{ii}(q)$ ,  $R_{ij}(q)$  and  $N_{ii}(q)$  in the quotient  $H_1(Sp(2g, \mathfrak{A}, \mathfrak{q}))_{Sp(2g, \mathfrak{A}/\mathfrak{q})}$  of the abelianization  $H_1(Sp(2g, \mathfrak{A}, \mathfrak{q}))$ . By definition, the action of  $Sp(2g, \mathfrak{A}/\mathfrak{q})$  on  $H_1(Sp(2g, \mathfrak{A}, \mathfrak{q}))_{Sp(2g, \mathfrak{A}/\mathfrak{q})}$  is trivial.

Using the action of  $J$  we obtain that  $u_{ij}(q) + l_{ij}(q) = 0$  in  $H_1(Sp(2g, \mathfrak{A}, \mathfrak{q}))_{Sp(2g, \mathfrak{A}/\mathfrak{q})}$ , and hence we can discard the generators  $l_{ij}(q)$ . As  $g \geq 3$ , from the action of  $A_{ki}$  on  $u_{ij}(q)$  we derive that  $u_{jk}(q) = 0$ , for every  $j \neq k$ . Using the action of  $A_{ij}$  on  $u_{jj}(q)$  we obtain that  $u_{jj}(q) = 0$  for every  $j$ . Further the action of  $A_{ij}$  on  $r_{jk}(q)$  yields  $r_{ik}(q) = 0$ , for all  $i \neq k$ .

Consider now the symplectic matrix  $B_{ii} = \begin{pmatrix} \mathbf{1}_g & 0 \\ e_{ii} & \mathbf{1}_g \end{pmatrix}$ . Then

$$(13) \quad B_{ii} \cdot U_{ii}(q) = \begin{pmatrix} \mathbf{1}_g - qe_{ii} & qe_{ii} \\ -qe_{ii} & \mathbf{1}_g + qe_{ii} \end{pmatrix}$$

and hence

$$B_{ii} \cdot U_{ii}(q) \equiv U_{ii}(q)L_{ii}(q)^{-1}N_{ii}(q)^{-1} \pmod{\mathfrak{q}^2}.$$

Recall that the elements of  $Sp(2g, \mathfrak{A}, \mathfrak{q}^2) \subset Sp(2g, \mathfrak{A}, \mathfrak{q}|\mathfrak{q}^2)$  can be written as products of the generators  $U_{ij}(q)$  and  $L_{ij}(q)$  according to Lemma 3.4, whose images in the quotient  $H_1(Sp(2g, \mathfrak{A}, \mathfrak{q}))_{Sp(2g, \mathfrak{A}/\mathfrak{q})}$  vanish. Therefore  $B_{ii} \cdot u_{ii}(q) = u_{ii}(q) + l_{ii}(q) - n_{ii}(q)$ . This proves that  $n_{ii}(q) = 0$  in  $H_1(Sp(2g, \mathfrak{A}, \mathfrak{q}))_{Sp(2g, \mathfrak{A}/\mathfrak{q})}$ . Consequently  $H_1(Sp(2g, \mathfrak{A}, \mathfrak{q}))_{Sp(2g, \mathfrak{A}/\mathfrak{q})} = 0$ , as claimed.  $\square$

$\square$

### 3.6. Nondivisibility of the universal symplectic central extension when restricted to level subgroups. — We will show that lemma 3.3 is sharp:

**Lemma 3.6.** — *Let  $L \geq 2$  be an even number. The pull-back of the class of the universal central extension  $c \in H^2(Sp(2g, \mathbb{Z}); \mathbb{Z})$  to  $Sp(2g, L)$  is not divisible by 2 if  $4 \nmid L$ .*

The proof is the result of discussions we had with D. Benson about Lemma 3.3.

*Proof.* — Let  $\iota : Sp(2g, 2) \rightarrow Sp(2g, \mathbb{Z})$  be the inclusion. We will prove by contradiction that  $\iota^*(c) \in H^2(Sp(2g, 2); \mathbb{Z})$  is not divisible by 2. Suppose that there exists an extension  $\tilde{G}$  of  $Sp(2g, 2)$  whose class  $d$  in  $H^2(Sp(2g, 2); \mathbb{Z})$  satisfies  $\iota^*(c) = 2d$ . Then we have a commutative diagram:

$$\begin{array}{ccccccc} 1 & \rightarrow & \mathbb{Z} & \rightarrow & \tilde{G} & \rightarrow & Sp(2g, 2) & \rightarrow & 1 \\ & & \downarrow \times 2 & & \downarrow & & \downarrow \iota & & \\ 1 & \rightarrow & \mathbb{Z} & \rightarrow & \widehat{Sp(2g, \mathbb{Z})} & \rightarrow & Sp(2g, \mathbb{Z}) & \rightarrow & 1. \end{array}$$

Denote by  $\widehat{Sp(2g, \mathbb{Z})}$  the quotient of the universal central extension  $\widehat{Sp(2g, \mathbb{Z})}$  by the square of the generator of the central  $\mathbb{Z}$ .

The composition of group homomorphisms  $\tilde{G} \rightarrow \widehat{Sp(2g, \mathbb{Z})} \rightarrow \widehat{Sp(2g, \mathbb{Z})}$  lifts the inclusion  $\iota$  and factors through  $\tilde{G}/\mathbb{Z} = Sp(2g, 2)$ . Therefore the restriction of the extension  $\widehat{Sp(2g, \mathbb{Z})}$  to  $Sp(2g, 2)$  is split.

The image  $H$  of  $Sp(2g, 2)$  within  $\widehat{Sp(2g, \mathbb{Z})}$  by this section might not be a normal subgroup. However the group generated by squares of elements in  $H$  is a normal subgroup  $K \triangleleft \widehat{Sp(2g, \mathbb{Z})}$ . Moreover,  $K$  is isomorphic to the group  $Sp(2g, 4)$ , which is the group generated by the squares in  $Sp(2g, 2)$ .

By taking the quotient by the normal subgroup  $K$  above we obtain a central extension:

$$1 \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow Sp(\widehat{2g, \mathbb{Z}/4\mathbb{Z}}) \rightarrow Sp(2g, \mathbb{Z}/4\mathbb{Z}) \rightarrow 1.$$

By the proof of Theorem 1.1 this exact sequence is nonsplit and by construction it splits when restricted to the subgroup  $Sp(2g, 2)/Sp(2g, 4) = \mathfrak{sp}_{2g}(\mathbb{Z}/2\mathbb{Z})$ , the symplectic Lie algebra over the field of two elements.

We now compute  $H^2(Sp(2g, \mathbb{Z}/4\mathbb{Z}); \mathbb{Z}/2\mathbb{Z})$  using the Leray-Serre spectral sequence of the extension:

$$1 \rightarrow \mathfrak{sp}_{2g}(\mathbb{Z}/2\mathbb{Z}) \rightarrow Sp(2g, \mathbb{Z}/4\mathbb{Z}) \rightarrow Sp(2g, \mathbb{Z}/2\mathbb{Z}) \rightarrow 1.$$

By Steinberg's computation [21]  $H_2(Sp(2g, \mathbb{Z}/2\mathbb{Z}), \mathbb{Z}/2\mathbb{Z}) = 0$  for  $g \geq 4$ . Since symplectic groups are perfect we derive  $H^2(Sp(2g, \mathbb{Z}/2\mathbb{Z}), \mathbb{Z}/2\mathbb{Z}) = 0$ . By Putman [17, Proof of Thm.G],  $H^1(Sp(2g, \mathbb{Z}/2\mathbb{Z}), \mathfrak{sp}_{2g}(\mathbb{Z}/2\mathbb{Z})) = 0$ , so the only possibility is that  $H^2(Sp(2g, \mathbb{Z}/4\mathbb{Z}); \mathbb{Z}/2\mathbb{Z}) = H^0(Sp(2g, \mathbb{Z}/2\mathbb{Z}); H^2(\mathfrak{sp}_{2g}(\mathbb{Z}/2\mathbb{Z}); \mathbb{Z}/2\mathbb{Z})) \neq 0$ . But this means that the above nonsplit extension of  $Sp(2g, \mathbb{Z}/4\mathbb{Z})$  can not split when restricted to  $\mathfrak{sp}_{2g}(\mathbb{Z}/2\mathbb{Z})$ , a contradiction.

The proof for even  $L$  with  $4 \nmid L$  is similar and we skip the details. □

**Remark 3.3.** — D. Benson informed us that the largest subgroup of  $\mathfrak{sp}_{2g}(\mathbb{Z}/2\mathbb{Z})$  on which the above extension splits has index  $2^{g+1}$ .

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